GUAM board of accountancy

34-17-004
Speaker Benjamin J.F. Cruz

JAN 04 2017
Time: 2:20 [ ] AM [✓] PM File No. ____
Received By:

December 22, 2016

Honorable Eddie B. Calvo
Governor of Guam
Executive Chambers
P.O. Box 2950
Hagatña, GU 96910

Dear Governor Calvo:

In compliance with Public Law 31-233, Chapter XIII, Section 6, enclosed is the FY2017 – NOVEMBER Financial Statement for the Guam Board of Accountancy (the Board). Please note that there were no outstanding prior year obligations as of November 30, 2016, and that the Board receives no funds from the US Federal Government or the Government of Guam. All Board funds are derived directly from CPA application, examination and licensing fees.

To wit, also enclosed is a historical graph of the Guam Computer Test Center (GCTC) paid test events documenting the decline of such revenues during FY2012 - 2017. This decline in paid events is due to international testing in Japan, and is directly proportional with the decline in Board surcharge revenues from the GCTC.

2017 JAN -5 AM 10:58

If you need additional information, please contact us at your earliest convenience by calling 647-0813 or emailing your request to: execdir@guamboa.org. Thank you.

Sincerely,

D. N. Sanford
Executive Director

33-16-2302
Office of the Speaker
Judith T. Won Pat, Ed.D.
Date: 12-22-16
Time: 3:44pm
Received By:

Enclosures 0004

cc: Honorable Judith T. Won Pat
Speaker, 33rd Guam Legislature

tel.671.647.0813 • fax.671.647.0816
email.guamboa@guamboa.org • www.guamboa.org
Suite 101, 335 South Marine Corps Drive, Tamuning, GU 96913

GUAM BOARD OF ACCOUNTANCY

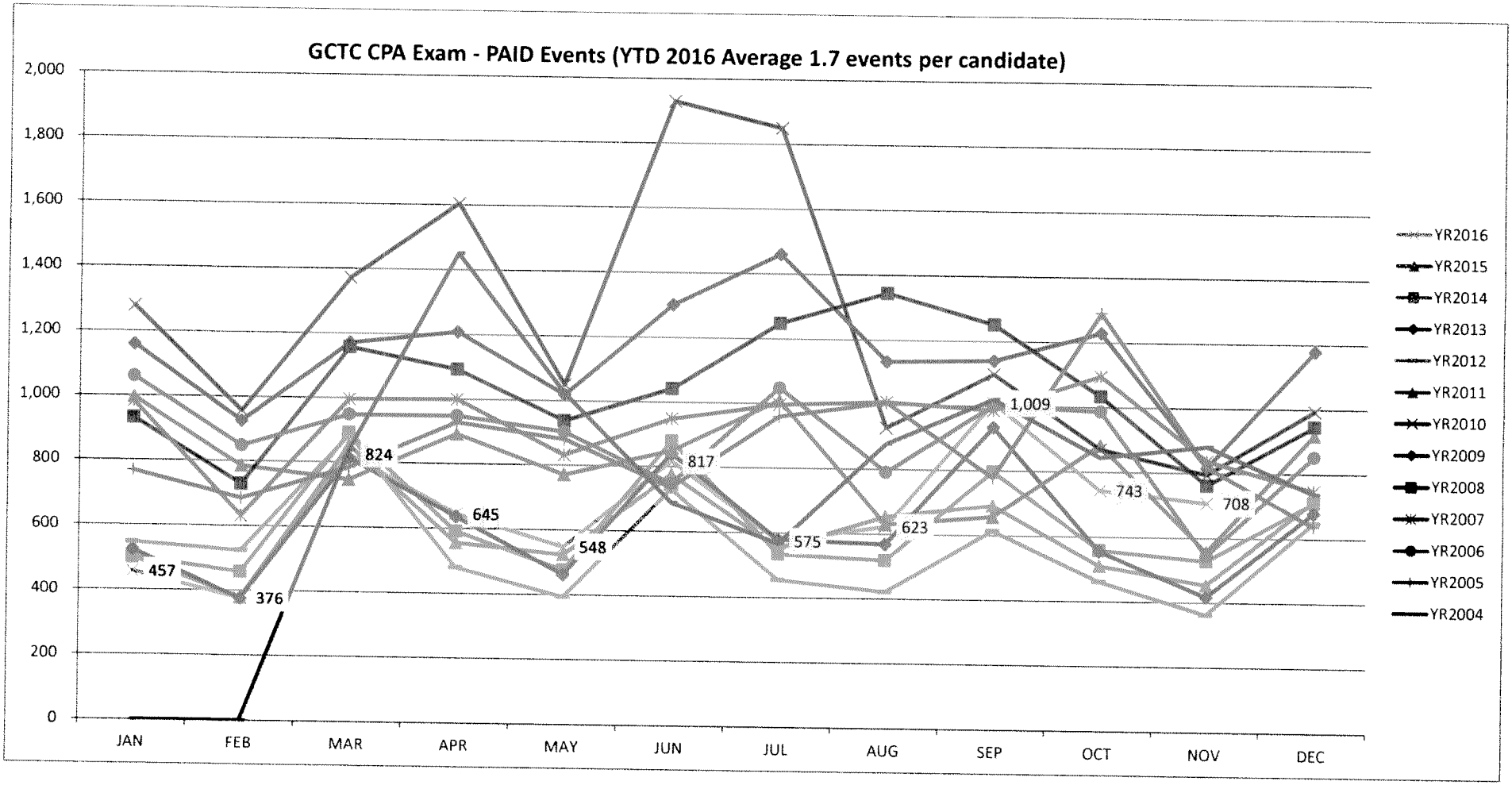
FY2017 Revenue, Expense and Fund Balance Summary w/History and FY2017 Budget

(Modified Accrual Basis: updated 12/15/16 mbs/dns)

BUDGET CATEGORY Description	NOTES	FY2013 Actual	FY2014 Actual	FY2015 Actual	FY2016 Actual	FY2017 Actual YTD 11/30/2016	FY2017 Approved Budget
REVENUES SUMMARY							
Exam Application Fees		79,050	80,300	89,750	120,900	23,250	80,000
Guam Computer Test Center Administration Fees		391,490	397,870	411,320	518,185	99,540	500,000
Certification Fees		49,200	54,200	60,725	100,750	20,750	55,000
Individual Licensing Fees		87,100	102,925	119,425	138,350	3,000	120,000
Firm Licensing Fees		3,800	5,325	5,675	5,450	0	5,000
Penalties/Miscellaneous Fees/Interest		10,590	9,560	16,762	12,302	2,077	0
TOTAL REVENUES		**621,230**	**650,180**	**703,657**	**895,937**	**148,617**	**760,000**
220 Travel		0	0	0	0	0	0
230 Contractual							
Administrative Services Contract		274,867	275,721	290,245	292,273	53,065	295,000
Legal Services Contract		0	0	0	0	0	0
Copier Services		5,920	6,136	4,301	5,638	538	6,500
Education & Testing		0	4,325	0	2,596	0	30,000
Others (Publications, Dues, etc.)		22,100	14,200	14,200	14,200	0	16,000
Web/Database development & maintenance		375	0	0	66	0	12,000
233 Office Space		23,868	23,868	23,868	23,868	3,978	24,000
240 Supplies		10,174	9,429	11,363	14,426	2,337	13,000
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Notices/Compliance Investigations/Others		2,691	1,774	2,882	2,672	0	0
UOG Endowment Contribution		0	0	0	100,000	100,000	200,000
363 Telephone Services		0	0	0	0	0	0
450 Capitalized Equipment		0	0	0	0	0	0
TOTAL EXPENDITURES		**359,726**	**357,429**	**369,567**	**500,893**	**162,394**	**638,500**
NET EXCESS (DEFICIT) OF REVENUES OVER EXPENDITURES		**261,505**	**292,751**	**334,090**	**395,043**	**(13,776)**	**121,500**
FUND BALANCE:							
Beginning	(1)	**1,157,604**	**1,419,109**	**1,711,860**	**1,045,950**	**1,440,993**	**1,427,217**
UOG Endowment Contribution Paid (PL 32-191)				(1,000,000)			
Ending		**1,419,109**	**1,711,860**	**1,045,950**	**1,440,993**	**1,427,217**	**1,548,717**
Consisting of:							
Cash - Bank of Guam (established FY2008)		**344,918**	**1,194,789**	**521,899**	**644,962**	**653,166**	
Cash - Time Certificates of Deposit		**1,055,496**	**501,505**	**501,505**	**751,505**	**751,505**	
Accounts Receivable-NASBA		**50,765**	**43,505**	**47,600**	**69,790**	**48,860**	
Accounts Payable		(32,070)	(27,940)	(25,054)	(25,264)	(26,314)	
Net Fund Balance		**1,419,109**	**1,711,860**	**1,045,950**	**1,440,993**	**1,427,217**	
Restricted Fund Balance		**1,069,000**	**1,039,000**	**1,045,950**	**1,051,000**	**1,051,000**	
Unrestricted Fund Balance		**350,109**	**672,860**	**0**	**389,993**	**376,217**	

NOTES:

(1) During FY2017-Oct the Board paid $25,264 of prior year obligations, shown as FY2016 expenditures. There are no outstanding prior year encumbrances as of 10/31/2016.


GCTC CPA Exam - PAID Events (YTD 2016 Average 1.7 events per candidate)
2,000
1,800
1,600
1,400
1,200
1,000
800
600
400
200
0
JAN
FEB
MAR
APR
MAY
JUN
JUL
AUG
SEP
OCT
NOV
DEC
457
376
824
645
548
817
575
623
1,009
743
708
YR2016
YR2015
YR2014
YR2013
YR2012
YR2011
YR2010
YR2009
YR2008
YR2007
YR2006
YR2005
YR2004

Guam Memorial Hospital Authority
Aturidåt Espetåt Mimuriåt Guåhan

850 GOV. CARLOS CAMACHO ROAD
OKA, TAMUNING, GUAM 96911
TEL: 647-2444 or 647-2330
FAX: (671) 649-0145

November 7, 2016

MEMORANDUM

TO: Senator Tina R. Muna-Barnes
Legislative Secretary
33rd Guam Legislature

FROM: Hospital Administrator/CEO

SUBJECT: Creation of New Position
RE: Administrator, Quality, Patient Safety, and Regulatory Compliance

Hafa Adai! In compliance with Title 4 GCA, Chapter 6, §6303, the Guam Memorial Hospital Authority hereby submits the class specification of the referenced position for file.

Should you have any questions, please contact Ms. Elizabeth Claros, Personnel Services Administrator at 647-2219.

PETERJOHN D. CAMACHO, MPH

Attachments

Cc: File
DOA, Director

HR-17-0102

33-16-2303
Office of the Speaker
Judith T. Won Pat, Ed.D
Date: 12-27-16
Time: 4pm
Received By:

Administrator,
Quality, Patient Safety, and Regulatory Compliance

NATURE OF WORK IN THIS CLASS:

The Associate Administrator administers and oversees the quality, compliance and regulatory programs of the hospital, functioning as an independent and objective body that reviews and evaluates compliance issues/concerns. The position ensures that the Board of Trustees, the Hospital Administrator/CEO, management, and employees are in compliance with the standards and regulations of regulatory agencies; that GMHA policies and procedures are being adhered to; and that behavior in the organization meets the hospital's Standards of Conduct.

This position will also be responsible for providing leadership and direction to both Senior and Middle management and Medical Staff to assess quality, performance, patient safety, infection control, and regulatory compliance program needs and objectives, and establish priorities; coordinate activities and resources necessary to achieve objectives.

This position reports to the Hospital Administrator/CEO, and as needed collaborates with the Associate Administrator of Medical Services, Clinical Services, Operations, and the Chief Financial Officer in leading planning efforts to establish a clear strategy, goals, and tactics to achieve the vision of achieving top level performance for clinical quality and patient safety.

ILLUSTRATIVE EXAMPLES OF WORK (These examples do not list all the duties which may be assigned; any one position may not include all the duties listed.)

Administers the quality, compliance and regulatory programs of the hospital and ensures plans are executed, coordinated, and implemented in line with organizational strategic goals and objectives.

Serves as the organization's resource for The Joint Commission (TJC), Centers for Medicare and Medicaid (CMS); and Conditions of Participation (CoP).

Administers the activities of Sentinel Events by identifying and prioritizing with appropriate leadership, case write up and summation; Root Cause Analyses (RCA) with associated measures of success for all sentinel events; and the accomplishment of Failure Mode and Effects Analyses (FMEA).

Oversees the collection, measurement, and validation of data; the reporting of core measures; and the required reporting to regulatory agencies.

Oversees the coordination of the regulatory survey process including completion and submission of corrective action plans for accrediting agency, application submission and compliance response.

Develops and implements organizational continual survey readiness plan; conducts internal audit process to measure survey readiness; provides survey rapid response assistance as needed; assists with survey response development; oversees action plan compliance.

Coordinates on-site surveys with organization staff; observes survey process; participates in all exit conferences and in action plan development; and assures action plan completion through on-site validation.

Oversees the development and revision of policies and procedures ensuring regulatory and safety requirements are met.

Assures organization wide Performance Initiatives are focused and aligned on improving operational and program efficiencies and effectiveness.

Attends and provides support to the Performance Improvement Committee, Patient Safety Committee, Environment of Care Committee, Infection Control Committee, and Accreditation Committee, and attends various medical staff department and committee meetings.

Prepares detailed reports for C-level leadership and the Governing Board.

MINIMUM KNOWLEDGE, ABILITIES AND SKILLS:

Skill in the application of statistical analysis and data interpretation.

Basic skills in computer systems and software (Microsoft Office Suite)

Knowledge of The Joint Commission (TJC) and Centers for Medicare and Medicaid Standards (CMS) and Conditions of Participation (CoP) requirements.

Knowledge of healthcare regulation and policy, and national accrediting standards.

Knowledge of hospital operations, clinical and administrative programs and their impact on patient care.

Knowledge of management theory and continuous quality and performance improvement processes.

Knowledge of patient safety principles, performance and quality management improvement methodologies.

Ability to provide effective supervision, conflict management, and team building skills.

Ability to manage a diverse and demanding workload.

Ability to administer compliance and regulatory programs.

Ability to provide effective leadership and supervision and provide guidance to the Board of Trustees (BOT) and all other management levels.

Ability to interpret standards, analyze complex compliance issues and develop practical effective solutions.

Excellent verbal and written communication skills.

MINIMUM EXPERIENCE AND TRAINING:

A) Graduation from a recognized college with a Master's degree in Nursing, and four (4) years of experience in a hospital or healthcare system, in which a minimum of two of those four (4) years is management experience in healthcare quality improvement, patient safety, accreditation, and regulatory compliance; or

B) Graduation from a recognized college with a Bachelor's degree in Nursing, and three (3) years of managing compliance and regulatory functions within a hospital setting, including two (2) years in a supervisory and/or administrative capacity.

NECESSARY SPECIAL QUALIFICATION REQUIREMENT:

(A) Current license as a Registered Nurse from the Guam Board of Nurse Examiners.

(B) Current Basic Life Support (BLS) certification.

(C) Must obtain certification as a Certified Professional in Healthcare Quality (CPHQ) or Certified Joint Commission Professional (CJCP) or Certified Professional in Healthcare Risk Management (CPHRM) within two years from the effective date of hire and must maintain certification.

Established:

Job Evaluation:

Know How:	FI3 350
Problem Solving:	E4 (43%) 152
Accountability:	E3C 175
Total Points:	677
Pay Grade:	R (NPP)

Eloy S. Lizama
Chairman, Board of Trustees

Oct. 20, 2016
Date

Guam Memorial Hospital Authority
Aturidåt Espetåt Mimuriåt Guåhan

850 GOV. CARLOS CAMACHO ROAD
OKA, TAMUNING, GUAM 96913
TEL: (671) 647-2444 or 647-2330
FAX: (671) 649-0145

BOARD OF TRUSTEES
Official Resolution No. 2017-03

"RELATIVE TO THE CREATION OF THE ADMINISTRATOR OF QUALITY, PATIENT SAFETY AND REGULATORY COMPLIANCE POSITION FOR THE COMPLIANCE OFFICE"

WHEREAS, the current Compliance Office and the Quality Management Department both work together to provide support to medical and hospital staff for the improvement of clinical care, patient safety and compliance and regulatory requirements; and

WHEREAS, the two areas coordinate activities to assure compliance with The Joint Commission, Centers for Medicare and Medicaid Services and other regulatory programs in order to make a difference in patient care and healthcare quality; and

WHEREAS, the current Compliance Office is staffed with incumbents holding positions that may not be properly classified; and

WHEREAS, for better operational efficiency and coordination of positions, the Compliance Office and Quality Improvement section will merge to become the Quality, Patient Safety, and Regulatory Compliance Department;

WHERAS, the provisions of §6303.1, Chapter 6, Title 4 of the Guam Code Annotated have been met and no comments from the public were received; now, therefore be it

RESOLVED, that the Board of Trustees accepts the recommendation of the HR, BOT Sub-Committee and approve the creation of the Administrator of Quality, Patient Safety and Regulatory Compliance position; and, be it further

RESOLVED, that the Hospital Administrator/CEO is directed to initiate the administrative processes to bring to fruition the utilization of this position; and, be it further

RESOLVED, that the Board of Trustees Chairperson certifies and the Board of Trustees Secretary attests to the adoption of this Resolution.

DULY AND REGULARLY ADOPTED ON THIS 20th DAY OF OCTOBER 2016.

Certified by:

Eloy S. Lizama
Chairman, Board of Trustees

Attested by:

Melissa Waibel
Secretary, Board of Trustees

Guam Memorial Hospital Authority
Aturidåt Espetåt Mimuriåt Guåhan

850 GOV. CARLOS CAMACHO ROAD
OKA, TAMUNING, GUAM 96913
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FAX: (671) 649-0145

December 13, 2016

Senator Tina R. Muna-Barnes
Legislative Secretary
Thirty-third (33rd) Guam Legislature

SUBJECT: Creation of New Positions
RE: Patient Safety Officer
Performance Improvement Coordinator

Buenas yan Hafa Adai! In compliance with Title 4 GCA, Chapter 6, §6303, the Guam Memorial Hospital Authority hereby submits the class specifications of the referenced positions for file.

PETERJOHN D. CAMACHO, MPH

Attachments

17-1623-04
Office of the Speaker
Judith T. Won Pat, Ed.D
Date: 12-27-16
Time: 4pm
Received By:

Guam Memorial Hospital Authority
Aturidåt Espetåt Mimuriåt Guåhan

850 GOV. CARLOS CAMACHO ROAD
OKA, TAMUNING, GUAM 96913
TEL: 647-2444 or 647-2330
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BOARD OF TRUSTEES
Official Resolution No. 2017-11

"RELATIVE TO THE CREATION OF THE PERFORMANCE IMPROVEMENT COORDINATOR AND PATIENT SAFETY OFFICER POSITIONS"

WHEREAS, the current Compliance Office and the Quality Management Department work together to provide support to medical and hospital staff for the improvement of clinical care, patient safety and compliance and regulatory requirements; and

WHEREAS, the two areas coordinate activities to assure compliance with The Joint Commission, Centers for Medicare and Medicaid services and other regulatory programs in order to make a difference in patient care and health care quality; and

WHEREAS, the current Compliance Office is staffed with incumbents holding positions that may not be properly classified; and

WHEREAS, for better operational efficiency and coordination of positions, the Compliance Office and Quality Management sections will merge to become the Quality, Patient Safety, and Regulatory Compliance Department; and

WHEREAS, the provisions of §6303.1, Chapter 6, Title 4 of the Guam Code Annotated have been met and no comments from the public were received; now, therefore be it

RESOLVED, that the Board of Trustees accepts the Human Resources Subcommittee's recommendations and approves the creation of the Performance Improvement Coordinator and the Patient Safety Officer positions; and, be it further

RESOLVED, that the Hospital Administrator/CEO is directed to initiate the administrative processes to bring to fruition the utilization of these positions; and, be it further

RESOLVED, that the Board of Trustees Chairperson certifies and the Secretary attests to the adoption of this Resolution.

DULY AND REGULARLY ADOPTED ON THIS 6th DAY OF DECEMBER 2016.

Certified by:

Eloy S. Lizama
Chairman, Board of Trustees

Attested by:

Melissa Waibel
Secretary, Board of Trustees

Performance Improvement Coordinator

NATURE OF WORK IN THIS CLASS:

This is complex professional work involved in the coordination, development, implementation and evaluation of the hospital's overall performance improvement program.

An employee in this class is responsible for providing support in ensuring compliance with healthcare accrediting body standards and regulations; and identifying performance trends and recommending improvements.

ILLUSTRATIVE EXAMPLES OF WORK: (Any one position may not include all the duties listed, nor do the examples cover all the duties which may me be performed).

Leads and directs analytical support for performance improvement initiatives.

Conducts analysis of changes in performance by monitoring trending sheets of performance indicators compiled and aggregated.

Assist in the systematic measurement and assessment of performance indicators.

Manages multiple projects and determines project scope; develops project plan strategies and implements work plans.

Coordinates the implementation of performance improvement programs for hospital work systems that meet the needs of patients and staff.

Participates on multi-disciplinary teams in the implementation of improvement efforts system-wide aligned to the organizations key initiatives.

Designs complex data analysis tools and provides staff education, assistance and consultation with these tools.

Aggregates data collected and prepares organization-specific reports, performance scorecards, dashboards, etc.

Provides training, education in performance improvement methodology.

Provides assistance in Failure Mode Evaluation Analysis (FMEA) and targeted audits.

Works with hospital departments and medical staff in developing performance improvement plans and identifying performance trends.

Works with hospital departments and medical staff in the investigation and data gathering of information and identifying unnecessary processes ensuring efficiency.

Prepares performance improvement reports and analyses of information and serves as a consultant for the hospital departments and medical staff on their analyses of information.

Conducts evaluations of the performance improvement program and recommends improvements.

MINIMUM KNOWLEDGE, ABILITIES AND SKILLS:

Skill in the use of computer programs such as Word, Excel and PowerPoint.

Skill in developing and maintaining interpersonal relationships with healthcare professionals and hospital leadership.

Knowledge of the principles, practices and theories of professional nursing.

Knowledge of current clinical work systems.

Knowledge of The Joint Commission (TJC), Centers of Medicare and Medicaid Services (CMS), Conditions of Participation (CoP) standards and performance improvement techniques and methodologies.

Ability to collect and organize data.

Ability to evaluate and recommend and initiate changes to improve program effectiveness.

Ability to work effectively with employees and the public.

Ability to communicate effective, orally and in writing.

Ability to work independently and within teams.

MINIMUM EXPERIENCE AND TRAINING REQUIREMENTS:

A. One year of experience in direct clinical care activities and performance improvement work in a hospital setting; and a Master's degree in Healthcare Administration or Management, Health Science, Nursing or other healthcare related field. Or
B. One year of experience in direct clinical care activities in a hospital setting; two years of performance improvement work experience in a hospital setting; and a bachelor's degree in Healthcare Administration or Management, Health Science, Nursing, or other healthcare related field.

NECESSARY SPECIAL QUALIFICATION REQUIREMENT:

Must obtain certification within three years from the effective date of hire and must maintain current certification in:

A. As a certified Professional in Healthcare Quality (CPHQ) by the Healthcare Quality Certification Commission (HQCC); or
B. Healthcare Quality Management (HCQM) by the American Board of Quality Assurance & Utilization Review Physicians (ABQAURP); or
C. Six Sigma by SixSigma.us

Established:

Job Evaluation:

Know How:	EI2 230
Problem Solving:	E3 (38%) 87
Accountability:	E1C 100
Total Points:	417
Pay Grade:	N- General Pay Plan (GPP)

Approved:

Eloy S. Lizama
Chairman, Board of Trustees

Date: 12/06/16

PATIENT SAFETY OFFICER

NATURE OF WORK IN THIS CLASS:

An employee in this class is responsible for managing a hospital-wide patient safety program working collaboratively with other members of the Compliance and Quality Management Teams for the purpose of measurably improving patient safety and reducing patient harm.

An employee in this class will facilitate process improvements within the hospital that support the reduction of medical/health care errors and other factors that contribute to unintended adverse patient outcomes.

ILLUSTRATIVE EXAMPLES OF WORK: (Any one position may not include all the duties listed; nor do the examples cover all duties which may be performed).

Plans, develops, implements, and monitors the hospital-wide patient safety program; conducts safety assessments; coordinates activities of patient safety and quality; and provides ongoing education to hospital staff.

Maintains and manages occurrence reporting systems across the organization and develops patient safety processes in order to achieve compliance with standards and reduce patient harm.

Gathers data detailing patient-related errors; conducts analyses detailing causes of errors; and coordinates patient safety data management for reporting out to the organization.

Develops program guidelines, policies, changes and interprets and explains program requirements to pertinent personnel.

Collaborates with individual patient care areas in order to ensure a safe environment for patients and staff.

Coordinates root cause analysis of patient safety events and assures implementation of resulting action plans.

Coordinates organizational response to regulatory standards related to patient safety including but not limited to Joint Commission National Patient Safety Goals.

Evaluates and improves the patient safety program based on internal needs and external requirements and standards.

Conducts surveys and assessments of patient safety and risks and develops annual patient safety goals.

Facilitates and coordinates process improvement teams that directly support patient safety goals, and develops initiatives to create an appropriate patient safety culture.

MINIMUM KNOWLEDGE, ABILITIES AND SKILLS:

Skill in the use of nursing research and literature in daily practice (evidence based practice).

Skill in developing and maintaining interpersonal relationships with a wide variety of healthcare professionals and hospital leadership.

Knowledge of regulatory requirements pertaining to patient safety.

Knowledge of care processes in a hospital setting.

Knowledge of patient safety principles, methods, procedures and techniques.

Knowledge and application of process improvement tools and techniques (statistical process control tools and team tools).

Knowledge of regulatory and accrediting standards as they apply to patient safety.

Ability to administer patient safety programs and develop patient safety standards to meet specific work situations.

Ability to interpret, apply and enforce patient safety rules, regulations and other program guidelines.

Ability to identify and define problems, collect data, establish facts and draw valid conclusions and evidence patient safety initiatives by means of measurable results.

Ability to enter and abstract data using personal computer, as well as the ability to utilize data to facilitate the improvement and change in processes. (Microsoft Office).

Ability to facilitate patient safety initiatives, effectively present data and promote a collaborative approach toward goal achievement.

Ability to evaluate program effectiveness and recommend/initiate changes to improve program effectiveness.

Ability to work effectively with employees and the public.

Ability to communicate effective, orally and in writing.

Ability to make decisions in accordance with program guidelines.

Ability to work independently and within teams.

MINIMUM EXPERIENCE AND TRAINING:

A. Graduation from a recognized college or university with a Master's degree in Nursing; one year of experience in patient safety, quality improvement, or performance improvement activities in a hospital setting; and two years of experience in direct acute nursing care activities in a hospital setting; or

B. Graduation from a recognized college or university with a bachelor's degree in nursing; two years of experience in patient safety, quality improvement, or performance improvement and two years of experience in direct acute nursing care activities in a hospital setting.

NECESSARY SPECIAL QUALIFICATION REQUIREMENTS:

(A) Current license as a Registered Nurse from the Guam Board of Nurse Examiners.

(B) Current Basic Life Support (BLS) certification.

(C) Must obtain certification as a Certified Professional in Patient Safety (CPPS) by the Certification Board for Professionals in Patient Safety (CBPPS) within two years from the effective date of hire and must maintain current certification.

Established: _____

Job Evaluation:

Know How: EI3 264

Problem Solving: E3 (38%) 100

Accountability: E2C 115

Total Points: 479

Pay Grade: N- O (Nurse Pay Plan)

Approved:

_____

Eloy S. Lizama, Chairman, Board of Trustees

Date: 12/06/16

GUAM BOARD OF ACCOUNTANCY

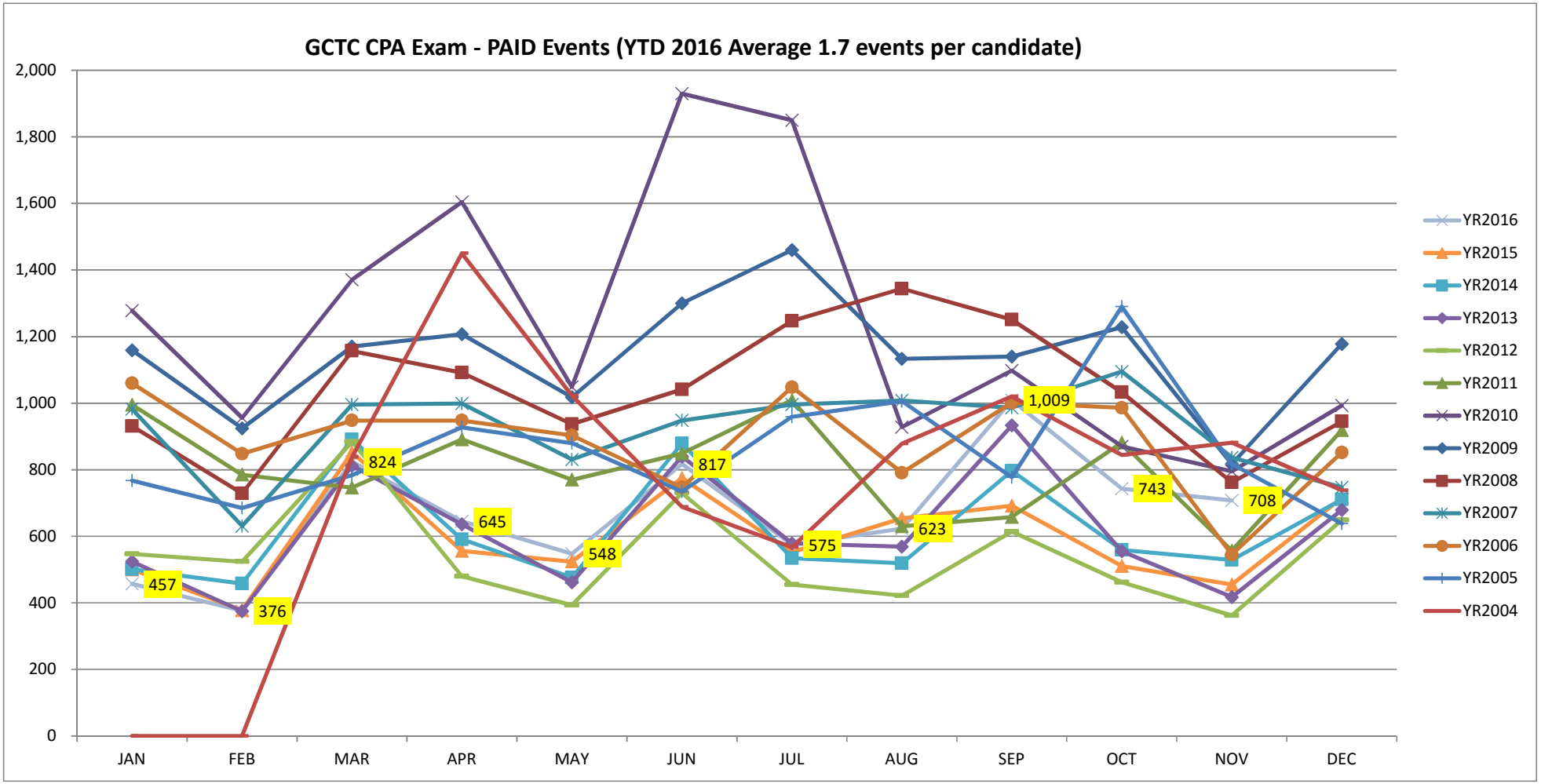
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(Modified Accrual Basis: updated 12/15/16 mbs/dns)

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Ending	**1,419,109**	**1,711,860**	**1,045,950**	**1,440,993**	**1,427,217**	**1,548,717**
Consisting of:						
Cash - Bank of Guam (established FY2008)	**344,918**	**1,194,789**	**521,899**	**644,962**	**653,166**	
Cash - Time Certificates of Deposit	**1,055,496**	**501,505**	**501,505**	**751,505**	**751,505**	
Accounts Receivable-NASBA	**50,765**	**43,505**	**47,600**	**69,790**	**48,860**	
Accounts Payable	**(32,070)**	**(27,940)**	**(25,054)**	**(25,264)**	**(26,314)**	
Net Fund Balance	**1,419,109**	**1,711,860**	**1,045,950**	**1,440,993**	**1,427,217**	
Restricted Fund Balance	**1,069,000**	**1,039,000**	**1,045,950**	**1,051,000**	**1,051,000**	
Unrestricted Fund Balance	**350,109**	**672,860**	**0**	**389,993**	**376,217**	

NOTES:

(1) During FY2017-Oct the Board paid $25,264 of prior year obligations, shown as FY2016 expenditures. There are no outstanding prior year encumbrances as of 10/31/2016.



12/15/2016 - 10:08 AM

Doc. No. 34GL-17-004*

GUAM
board of accountancy

December 28, 2016

33-16-2305
Office of the Speaker
Judith T. Won Pat, Ed.D
Date: 12-28-16
Time: 9:25 AM
Received By:

Honorable Eddie B. Calvo
Governor of Guam
Executive Chambers
POB 2950
Hagatña, GU 96932

Dear Governor Calvo:

In compliance with Public Law 31-233, Chapter 8, Title 5GCA, Section 38, §8113.1, attached is an electronic copy of minutes, agenda and other attachments to our Board meeting held on December 15, 2016.

Should you have any questions, please call us at 647-0813 or email to: execdir@guamboa.org.

Sincerely,

Dave N. Sanford
Executive Director

Attachment: Electronic copy of December 15, 2016 Meeting

cc: Honorable Judith T. Won Pat
Speaker, 33rd Guam Legislature

tel.671.647.0813 • fax.671.647.0816
email.guamboa@guamboa.org • www.guamboa.org
Suite 101, 335 South Marine Corps Drive, Tamuning, GU 96913

GUAM BOARD OF ACCOUNTANCY

335 South Marine Corps Drive, Suite 101, Tamuning, GU 96913

Board Meeting –December 15, 2016

AGENDA

		Pages
I.	CALL TO ORDER	
II.	APPROVAL OF MINUTES – November 17, 2016	1-4
III.	OLD BUSINESS	
	A. Endowment Fund Update	
IV.	NEW BUSINESS	
	A. Requests for Approval	
	• CPA Exam Applications – November	5-9
	• Applications for Initial Certification & Licensure	10-14
V.	GENERAL DISCUSSION/ANNOUNCEMENTS	
	• Exam Fee Implementation Date	15-18
	• State Regulatory Update	19-21
	• Bill 187-33	
	• Preliminary Budget	
VI.	ADJOURNMENT	

GUAM BOARD OF ACCOUNTANCY

335 S. Marine Corps Drive, Suite 101, Tamuning, GU 96913

MINUTES OF MEETING

November 17, 2016

I. CALL TO ORDER:

The meeting was called to order at 4:11 p.m. by Chairman John Onedera. The meeting was held in the GBA Conference Room.

Members Present:	John Onedera, Chairman Todd Smith, Vice Chairman Dafne Shimizu, Asst. Secretary-Treasurer Jennie Chiu, Member
Excused Absences:	Francis Quinto Baba, Secretary-Treasurer John P. Camacho, Ex-Officio Member
Also Present:	Dave Sanford, Executive Director Michele B. Santos, Asst. Executive Director Arleen Gay, Board Secretary

II. APPROVAL OF MINUTES:

Motion was made by Dafne Shimizu and seconded by Jennie Chiu to approve the Board minutes of October 20, 2016. There being no further discussion or objections, the motion carried.

III. OLD BUSINESS:

A. Endowment Fund Update: Executive Director Dave Sanford gave a brief update on the status of the Endowment Fund contribution by going over the latest Raymond James UOGEF – BOA Account Summary as of October 31. He told the member present that approximately $3,200 went towards consultant quarterly fees for the investment company. An additional reimbursement of $10,000 from the Visiting Professorship contribution would show in the next summary report. The recent additional contribution of $100,000 was also not indicated in the report but the Executive Director said it would be in the next report as it was to be reported in the November summary.

The Executive Director said that he had spoken to Professor Ruben Davila at the recent Annual Conference and that Professor Davila was in contact with UOG's Dr. Annette Santos. They were trying to work out a summer visiting professorship that could accommodate Professor Davila's schedule. Member Dafne Shimizu said she would try to schedule a Standing Committee meeting for the month of December.

IV. NEW BUSINESS:

A. Requests for Approval:

- **CPA Exam Applications:** Motion was made by Todd Smith and seconded by Jennie Chiu to approve the CPA Exam Applications for the month of October, as presented. There being no further discussion or objections, the motion carried.

- **NTS Extension – Hasan Raza:** Applicant Hasan Raza sprained his right wrist and was advised by his doctor to refrain from typing or writing for three weeks. His current NTS will expire in December and he was requesting an extension. Because he submitted documents from his physician, the Board members agreed to extend his NTS until the first window of 2017, which would take him up to March 10, 2017.

- **NTS Extension – Yali Tian:** Applicant Yali Tian broke his leg on November 2nd and said he has been advised that he will have to spend a month in the hospital. His NTS expires on November 30th. He is requesting an extension of his NTS but did not submit any supporting documents from his doctor. The Board members agreed to conditionally extend his NTS until the first window of 2017, pending supporting documents from his doctor. This extension approval would take him up to March 10, 2017.

- **Applications for CPA Initial Certification and License to Practice:** Motion was made by Todd Smith and seconded by Dafne Shimizu to approve the Applications for Initial Certification and License to Practice, as presented. There being no further discussion or objections, the motion carried.

  It was suggested that a monthly subtotal breakdown of the types of licenses up for approval be submitted along with the listing. Licensing Administrator Michele Santos said she would provide this beginning with the next Board meeting.

- **Grades – July/August Window**: Motion was made by Todd Smith and seconded by Jennie Chiu to approve the grades for the July/August window. There being no further discussion or objections, the motion carried.

V. GENERAL DISCUSSION/ANNOUNCEMENTS:

- **Candidate Care Concerns 16Q3:** The Executive Director presented the 16Q3 Candidate Concerns Report which included a chart of the different types of errors. Other than the fact that the complaints were down from the second quarter, there were no significant changes. He did make note that once an exam is completed, a

Confirmation of Attendance would be given to each Candidate. Should, for some reason, a confirmation not print, the Candidate will be able to contact the NASBA Candidate Care Department and receive their confirmation.

Concerns relative to the international exam were primarily about how to pay the international surcharge and the difficulty in finding an available seat at an international location.

- **BOE Update Sept Meeting Highlights:** The Executive Director went over the highlights of AICPA's Board of Examiners meeting held in September, 2016. The report covered reports from the various committee chairs and department directors.

- **Minutes From State Policy Priorities Task Force Oct Meeting:** Discussion was had on the highlights of the State Policy Priorities Task Force meeting held in October. Topics highlighted and discussed were that the AICPA state regulation and legislation team is working on an overview of which states have immunity and indemnification provisions in their statutes and rules. Also discussed was several states were expecting to adopt CPA firm mobility in 2017. HR related legislation is expected to increase in 2017. There was discussion on CGMA and the agreement on draft language for the Uniform Accountancy Act which was sent to UAA Committee Chairs. The language will then go through public exposure and comment early next year with the goal to having the language ready in 2018 for the first non-CPA CGMA.

- **NASBA Quarterly Communications Pack:** Discussion was had on the highlighted sections of the NASBA Quarterly Communications report that covered the Board of Directors' meetings held in July in South Dakota and October in Texas. At the July meeting, updated reports were given from the Chair and different Committees. The October meeting highlighted approval of the extension until April 30, 2017 of the Mutual Recognition Agreements with Canada, Mexico, Australia, New Zealand and Ireland. Also approved was an amendment to the Uniform Accountancy Act that will enable recognition of non-U.S. holders of designated accounting credentials to receive a CPA license through an abbreviated pathway.

  The Executive Director went over the results of the responses to the Regional Directors Focus Questions for August – October, 2016. His responses to the Regional Directors Focus Questions due by December 5th were discussed and agreed to by the members present.

- **Practice Continuation Agreements: A Practice Survival Kit:** NASBA recently finalized the Practice Continuation Agreements: A Practice Survival Kit, which is an effort to provide State Boards with information in cases where a CPA either passes away or becomes incapacitated and having a plan in place to ensure client's services continue uninterrupted.

- The Executive Director presented the October financial report telling the members that we still continue to see an increase. He also told the members that he would request that one more TCD be created, making it a total of four TCD's so far.

- CCR submission to the OPA was discussed and the Executive Director said that he was now on NASBA's Communication's Committee and he would approach the staff at the upcoming Annual Conference and ask if they could produce a template to be used for the CCR. He said he was currently working on it and would submit it to the OPA.

- It was thought that NASBA's Ken Bishop and Pat Hartman would be coming to Guam early next year. Mr. Bishop wanted to make a visit to every jurisdiction at least every other year. Pat Hartman or her representative would be coming to finalize the details of their relocation of the test center as their lease at the Bank of Hawaii expired.

VI. ADJOURNMENT:

There being no further discussion, motion was made by Todd Smith and seconded by Jennie Chiu to adjourn the meeting 5:44 p.m. The motion carried.

Respectfully submitted:

Arleen E. Gay
Recording Secretary

	JURIS ID	APP REC'D	AUD	BEC	FAR	REG	Last Name	First Name	State/Country
1	GU16117508	11/16/2016		x	x		Agarwal	Vaibhav	India
2	GU15015976	11/30/2016				x	Ahmed	Atique	U.A.E.
3	GU12024587	11/22/2016	x		x		Akamatsu	Taku	Japan
4	GU16117539	11/28/2016			x		Ali	Mohamed	U.A.E.
5	GU13095315	11/28/2016	x	x		x	Ali	Abdirahman	Qatar
6	GU16117487	11/14/2016		x			Alshirawi	Hamdah	U.A.E.
7	GU08093222	11/3/2016		x			Amano	Yoshiaki	Japan
8	GU16117463	11/1/2016		x	x		Arai	Miwa	Japan
9	GU16117496	11/8/2016		x			Arai	Ryosuke	Singapore
10	GU15076296	11/16/2016		x			Bhargavi	Upadhyayula	Japan
11	GU16117479	11/7/2016	x	x	x	x	Chaaban	Ahmad	Saudi Arabia
12	GU16117554	11/30/2016	x	x	x	x	Chan	Ka Wai	Hong Kong
13	GU12034611	11/18/2016	x	x	x	x	Chan	Chi-Hung	Taiwan
14	GU13125446	11/1/2016		x		x	Chang	Wei-En	Taiwan
15	GU16117500	11/15/2016	x	x			Chang	Chia-Hsiang	Taiwan
16	GU16087174	11/17/2016		x		x	Chang	Keng Ip	Macao
17	GU16117462	11/1/2016	x		x	x	Chen	Si-Hong	Taiwan
18	GU16117485	11/14/2016		x	x		Chen	Li	China
19	GU12104847	11/14/2016		x		x	Chen	Yi-Ju	Ohio
20	GU16077098	11/28/2016	x				Chen	Wei-Tzu	Taiwan
21	GU16127561	11/30/2016	x	x	x	x	Chen	Zanmei	China
22	GU16117510	11/17/2016	x	x	x	x	Cheung	Chung Man	Hong Kong
23	GU15036093	11/14/2016				x	Chia	Pei-Jung	Texas
24	GU16026719	11/23/2017		x			Chiang	Kan-Lun	Taiwan
25	GU16087222	11/29/2016		x	x		Chiang	Meng-Che	Taiwan
26	GU16117549	11/14/2016		x	x		Chiu	Tsai-Hsin	Texas
27	Initial	11/22/2016	x	x			Chou	Fang-His	Taiwan
28	GU16117550	11/30/2016	x	x	x	x	Ciou	Shu-Rong	Taiwan
29	GU16117499	11/14/2016		x	x		Dai	Bing	China
30	GU16056933	11/29/2016		x	x		Dalloul	Mohammed	U.A.E.
31	GU16117467	11/1/2016	x	x	x	x	Desai	Parth Ajaykumar	India
32	GU16117517	11/21/2016	x	x	x	x	Diaz Jr	Ramon	Guam
33	GU16046879	11/9/2016	x	x		x	Duan	Liju	China
34	GU16117544	11/28/2016	x				El Huneidi	Ahmed W.O.	Kuwait
35	GU16127577	11/16/2016	x	x			Elkalaf	Hamza	Qatar
36	GU15106512	11/17/2016	x	x			Expinosa	Maribel	Norway
37	GU16046892	11/1/2016			x		Faroun	Mohammad	Saudi Arabia
38	GU16127568	11/4/2016		x	x		Feng	Wei	China
39	GU16117531	11/23/2016			x		Fukuda	Futa	Japan
40	GU16036782	11/30/2016				x	Fukuda	Shuhei	Japan
41	GU11104444	11/17/2016	x				Gaitonde	Meahendra	U.A.E.
42	GU15126600	11/17/2016	x	x	x	x	Ganta	Ravi	Ohio
43	GU16117529	11/23/2016	x	x	x		Gao	Ge	Massachusetts
44	GU16117526	11/8/2016	x	x			Ghanem	Faris	U.A.E.
45	Initial	11/3/2016			x		Gomi	Hirofumi	Japan
46	GU16077093	11/4/2016		x		x	Guan	Yanchao	China
47	GU16117494	11/14/2016			x		Haider	Nabila	U.A.E.
48	GU16117478	11/3/2016	x	x	x	x	Hiranaka	Anna	Mexico
49	GU16117476	11/3/2016		x	x		Hitomi	Yusuke	Japan
50	GU16117535	11/28/2016		x		x	Hu	Panjun	China
51	GU16117469	11/4/2016	x				Huang	Chen-Fang	Taiwan
52	GU15066292	11/18/2016	x	x		x	Huang	Szu-Ting	Taiwan
53	GU16117469	11/21/2016		x			Huang	Chen-Fang	Taiwan
54	GU16117551	11/30/2016	x	x			Huang	Yu-Ching	Taiwan
55	Initial	11/29/2016	x	x	x		Huang	Yi-Ting	Taiwan
56	Initial	11/22/2016	x	x			Huang	Chiung-Hui	Taiwan
57	GU16056939	11/7/2016		x			Hussein	Walid	Saudi Arabia
58	GU16117528	11/23/2016	x				Ibrahim	Mohamed	U.A.E.
59	GU03111821	11/18/2016				x	Igarashi	Ichiro	Japan
60	GU16036823	11/9/2016	x		x		Imasaka	Yusuke	Japan
61	GU16056953	11/28/2016		x		x	Inami	Shingo	Japan
62	GU16036793	11/18/2016	x		x		Jhariya	Shaheen	India

	JURIS ID	APP REC'D	AUD	BEC	FAR	REG	Last Name	First Name	State/Country
63	GU16036802	11/8/2016		x	x	x	Jiang	Wen	Canada
64	GU16117546	11/29/2016		x	x		Jing	Feng	China
65	GU16117525	11/22/2016			x		Kaji	Noriaki	Japan
66	GU16056924	11/8/2016			x		Kanazawa	Akihiro	Japan
67	GU16117527	11/21/2016		x	x		Kanno	Sho	Japan
68	GU14105875	11/16/2016		x			Kasahara	Shun	Japan
69	GU16087210	11/7/2016				x	Kataoka	Tatsuya	Japan
70	GU13115417	11/28/2016	x			x	Katayama	Ken	Japan
71	GU16117552	11/30/2016	x	x	x	x	Kawasaki	Haruka	Japan
72	GU15116549	11/18/2016	x	x		x	Khandelwal	Anil Kumar	U.A.E.
73	GU14075748	11/3/2016			x	x	Kim	Christina	Guam
74	GU03111884	11/28/2016	x			x	Kim	Young Soo	Korea
75	GU14075748	11/28/2016		x			Kim	Christina Marie	Guam
76	Initial	11/18/2016			x		Kobayakawa	Rio	Japan
77	GU16117543	11/28/2016	x	x	x	x	Kobayashi	Yumi	Japan
78	GU16036834	11/28/2016		x	x		Kok	Chong Hang	China
79	GU14095841	11/21/2016		x			Komiyama	Yuta	New York
80	GU16046863	11/9/2016		x		x	Kumar	Saurabh	U.A.E.
81	GU16117534	11/28/2016		x		x	Kumar	Sujith	U.A.E.
82	GU15106473	11/16/2016				x	Kunii	Hironori	Japan
83	GU10094175	11/14/2016		x			Lai	Zixian	China
84	GU15066252	11/18/2016		x		x	Lam	Chi Hou	China
85	GU16077081	11/9/2016	x	x			Lee	Chao-Yi	Taiwan
86	GU09043445	11/23/2016		x	x		Lee	Chonghyang	Japan
87	GU16117468	11/1/2016			x	x	Li	Yihan	Japan
88	GU16107410	11/9/2016		x		x	Li	Ling	Japan
89	GU16097260	11/10/2016		x			Li	Meiying	Japan
90	GU16026716	11/15/2016		x	x		Li	Xiaoru	China
91	GU16117520	11/17/2016		x	x		Li	Shi	China
92	GU16046902	11/28/2016		x		x	Li	Xiangyi	China
93	GU16066986	11/28/2016	x				Li	Danyang	Illinois
94	GU16117493	11/14/2016		x	x		Liao	Tzu-Chi	United Kingdom
95	GU10104178	11/28/2016			x		Liao	Yueh-Po	Taiwan
96	GU12014530	11/8/2016		x			Lin	Li-Wen	Taiwan
97	GU16066997	11/8/2016	x	x	x	x	Lin	Yun-Wei	Taiwan
98	GU15076338	11/10/2016			x		Lin	Nien Tzu	Taiwan
99	GU16117482	11/10/2016			x	x	Lin	Ying-Jhen	Taiwan
100	GU16117501	11/15/2016	x	x			Lin	Hui-Ting	Taiwan
101	GU15126577	11/15/2016	x		x	x	Lin	Hsuan	Taiwan
102	GU15116573	11/17/2016	x			x	Lin	Pin-Tzu	Taiwan
103	GU16077087	11/28/2016		x			Lin	Szu-Han	Taiwan
104	GU16127573	11/4/2016		x			Lin	Jian	China
105	GU16127575	11/21/2016	x	x	x	x	Lin	Ting-Chun	North Carolina
106	GU16117486	11/14/2016		x	x		Liu	Qi	China
107	GU16117489	11/8/2016	x	x	x	x	Liu	Cheng-Yu	Taiwan
108	GU16046875	11/21/2016	x	x		x	Liu	Xin	China
109	GU16066992	11/22/2016		x			Lourensz	Jonathan	Japan
110	GU16117480	11/7/2016	x	x	x	x	Lu	Yi	Taiwan
111	GU13085267	11/28/2016	x	x			Lu	Jiangjiang	China
112	GU16036837	11/30/2016		x			Lu	Jiaying	Japan
113	GU15126511	11/7/2016			x		Ma	Yao	China
114	GU16117502	11/15/2016		x			Ma	Xing	China
115	GU16036762	11/3/2016	x	x	x	x	Malig	Lerissa Joy	Guam
116	GU16026708	11/3/2016	x				Matsunaga	Kaoru	Japan
117	GU16117511	11/18/2016		x		x	Mendon	Deepa	U.A.E.
118	GU15076351	11/29/2016	x	x			Miglani	Karan	India
119	GU16117475	11/3/2016	x	x		x	Moras	Jennifer	U.A.E.
120	GU16117518	11/15/2016	x		x		Moriguchi	Yukio	Japan
121	GU16117533	11/28/2016		x		x	Mui	Weng Ian	China
122	Initial	11/30/2016	x		x		Muragheh	Anas Sami	Saudi Arabia
123	GU15026065	11/3/2016			x		Nagae	Kenji	Japan
124	GU14105864	11/9/2016			x		Nakamura	Yuichiro	Japan

	JURIS ID	APP REC'D	AUD	BEC	FAR	REG	Last Name	First Name	State/Country
125	Initial	11/9/2016			x		Nakanishi	Hideki	Japan
126	GU16066988	11/14/2016	x			x	Nanda	Priyanka	India
127	GU14125946	11/16/2016	x				Ng	Soi Han	Colorado
128	GU16117474	11/3/2016	x		x		Nishioka	Shin	Japan
129	GU12114907	11/10/2016	x		x	x	Noda	Kentaro	Japan
130	GU16117547	11/29/2016		x	x		Nonomura	Rie	Japan
131	GU16087169	11/16/2016			x		Ochi	Shintaro	Japan
132	GU15066253	11/16/2016	x				Ogura	Naoyuki	Japan
133	GU15036103	11/15/2016		x			Ong	Suet Ching	Hong Kong
134	GU16056935	11/28/2016			x		Osman	Mohamed	Saudi Arabia
135	GU16117548	11/29/2016		x		x	Otaka	Haruna	Japan
136	GU15076347	11/28/2016		x		x	Pan	Ying	China
137	GU16127569	11/23/2016	x	x			Pan	Yu	Indiana
138	Initial	11/28/2016		x	x		Pang	Yiyan	China
139	GU13095324	11/7/2016	x		x		Peng	Shu-Li	Taiwan
140	GU14075758	11/21/2016	x	x	x	x	Piao	Chunmei	China
141	GU15086398	11/1/2016		x	x	x	Pilarca	Dawn	Guam
142	GU16117473	11/3/2016	x		x	x	Qu	Ying	China
143	Initial	11/7/2016				x	Rahman	Faisal	Kuwait
144	GU16117488	11/8/2016			x		Ramadan	Mohmoud	Saudi Arabia
145	GU15096454	11/21/2016	x	x			Rivera	Christian	Guam
146	GU16127555	11/21/2016		x		x	Ruaro	Kazzandra	Guam
147	Initial	11/21/2016		x			Rustagi	Aditee	New Jersey
148	Initial	11/18/2016		x	x		Saito	Chihiro	Japan
149	Initial	11/16/2016		x	x		Sakimura	Kana	Japan
150	GU10053982	11/3/2016				x	Samizo	Shinsuke	Japan
151	GU16127560	11/28/2016		x		x	Samuel	Sharun	India
152	GU15106490	11/8/2016		x	x		Sato	Ena	Japan
153	GU12024586	11/23/2016		x			Sato	Nami	Japan
154	GU15126605	11/28/2016	x	x		x	Sawada	Jun	Japan
155	Initial	11/30/2016	x		x		Shahid	Bilal	Saudi Arabia
156	GU16056918	11/29/2016	x		x		Shaikh	Irfan Haroon	U.A.E.
157	GU16117512	11/18/2016			x		Shibasaki	Koichiro	Japan
158	GU16117483	11/9/2016	x		x		Shima	Reo	Japan
159	GU13065180	11/2/2016			x	x	Shimamoto	Daisuke	Japan
160	GU15096435	11/7/2016		x		x	Shu	Chenliang	China
161	GU16117536	11/28/2016	x	x	x	x	Si	Baoxin	Texas
162	GU16117498	11/7/2016			x		Silva	Jennica	Guam
163	GU12104859	11/9/2016	x		x		Sun	Libo	China
164	GU15026054	11/15/2016		x	x		Sun	Guannan	Japan
165	GU16117553	11/30/2016	x	x	x	x	Sun	Jing	Japan
166	GU16036825	11/3/2016			x		Takano	Yukio	Japan
167	GU16056961	11/4/2016		x			Takekawa	Miki	Japan
168	GU08012965	11/14/2016		x	x		Takimoto	Wakako	Japan
169	GU16117538	11/28/2016		x	x		Takise	Hiromitsu	Japan
170	GU14075745	11/17/2016		x			Takuma	Maki	Japan
171	GU15126594	11/23/2016			x		Tsai	Julie	Taiwan
172	GU15076301	11/14/2016		x			Tsukai	Katsuya	Japan
173	GU16077158	11/14/2016			x		Tsukui	Hidetoshi	Japan
174	GU16036753	11/7/2016	x	x			Tu	Min	Texas
175	Initial	11/1/2016		x	x		Uchida	Keiichiro	Japan
176	GU16117542	11/28/2016	x				Udagawa	Kyoko	Japan
177	GU15126499	11/28/2016	x				Ueto	Daisuke	Japan
178	GU16117537	11/28/2016		x		x	Un	Ka Kit	Macau
179	GU16056937	11/23/2017		x			Vattapoyilil	Bijeesh	U.A.E.
180	GU16117472	11/3/2016	x	x	x	x	Vijayalekshmi	Savitha	U.A.E.
181	GU16097270	11/29/2016	x				Villanueva	John Ivan	U.A.E.
182	GU07012712	11/16/2016	x	x		x	Villaverde	Ariana	Guam
183	GU16117509	11/16/2016		x	x		Wadhwani	Divya	India
184	GU16117471	11/3/2016	x	x	x	x	Wang	Li	Japan
185	GU16056963	11/10/2016		x			Wang	Zijing	China
186	GU16056932	11/7/2016		x		x	Wang	Xinyi	Colorado

	JURIS ID	APP REC'D	AUD	BEC	FAR	REG	Last Name	First Name	State/Country
187	GU16117497	11/1/2016		x		x	Wang	Yingwei	China
188	GU16117521	11/16/2016		x			Wang	Yani	China
189	GU16117530	11/23/2016	x	x	x	x	Wang	Minqing	California
190	GU16117540	11/28/2016	x	x	x	x	Wang	Ying	China
191	GU16087221	11/28/2016		x			Wang	Ching-Wei	Taiwan
192	GU15056220	11/28/2016	x	x	x	x	Wang	Lei	Canada
193	GU16127566	11/9/2016		x		x	Wang	Hui	China
194	Initial	11/14/2016		x	x		Wang	Li-Ting	Taiwan
195	Initial	11/28/2016		x		x	Wong	Meng Hou	Macau
196	GU16117503	11/15/2016		x	x		Wu	Xiaotong	China
197	GU16117541	11/28/2016		x		x	Wu	Yongmei	China
198	GU15106523	11/14/2016	x				Xiao	Dinglei	China
199	GU15016018	11/2/2016			x	x	Xie	Jing	Illinois
200	GU16117522	11/3/2016		x		x	Xing	Xiaofang	China
201	GU16117513	11/18/2016		x	x		Xu	Wenjuan	Japan
202	GU16016673	11/28/2016		x	x		Xu	Ouqian	New Jersey
203	GU16117484	11/1/2016		x	x		Yamamoto	Daishi	Japan
204	GU16056931	11/16/2016		x	x		Yamanami	Shogo	Japan
205	GU13105379	11/10/2016		x			Yamazaki	Hitoshi	Japan
206	GU14055684	11/18/2016				x	Yen	Jung-Hui	Taiwan
207	GU16117481	11/8/2016		x	x		Yu	Ting	China
208	GU14075735	11/10/2016	x	x	x		Yuan	Liang	China
209	GU13075234	11/30/2016			x	x	Zeng	Zhanzhan	China
210	GU16117461	11/1/2016		x	x		Zhang	Chi	China
211	GU16117523	11/22/2016		x	x		Zhang	Xiaohe	China
212	Initial	11/30/2016	x	x			Zhao	Mengan	Canada
213	GU10104192	11/28/2016		x	x		Zheng	Danlei	Washington
214	GU16127576	11/30/2016	x	x	x	x	Zhou	Gongxiaopeng	China
215	GU16117495	11/4/2016	x	x	x	x	Zhu	Li	China
		Total	85	146	112	85			

Becker Students - CPA Examination History - updated 12-8-16 mbs

	Name of Student	Date paid by GBOA	AUDIT	BEC	REG	FAR	
1	Ronalisa Provido (Ogden)	March 2012	1/27/12 61 10/1/12 69 7/1/13 67 11/5/13 71	2/7/12 70 8/23/12 74 1/23/13 76 expr 7/14	5/31/12 77 expr 11/13	2/22/12 63 10/1/12 54 5/28/13 58 2/7/14 62	
2	Elisa Baluyut	March 2012	7/26/13 61		Applied 1/7/12	Applied 1/7/12 5/9/13	
3	Ariel Lugue	March 2012	Applied 4/13/12			Applied 4/13/12	
4	Lucky Lyn Almonte	March 2012	2/10/12 66 2/8/13 55	Applied 5/21/12	Applied 12/20/11	Applied 12/20/2011	
5	Bridgete Maranan	March 2012	1/31/12 58 Applied 6/28/12 5/25/13 55	2/2/12 74 5/29/12 32	5/24/12 53 2/27/13 48 Applied 5/1/13	Applied 11/17/11	
6	Sherillou Cura	March 2012	2/14/12 76 expr 8/13	Applied 12/2/11 2/11/13 68	5/24/12 61 10/22/12 80exp 4/14	Applied 12/2/11 5/21/13 29 Applied 4/28/14 Applied 4/21/16	
7	Daisy Tolentino	March 2012	2/15/12 56 7/31/13 40 Applied 9/3/13	Applied 12/20/11 Applied 2/18/13		Applied 12/20/11 Applied 9/3/13 Applied 9/15/15	
8	Theresa Tropel	March 2012					
9	Mark Julius Lero	March 2012					
10	Nina Kharlene Doromal	June 2014			7/31/13 64		
11	Gloria T. Travis	June 2014	5/1/88 55	5/1/88 57	5/1/88 55 7/5/13 70	5/1/88 50	
12	Maria Rowena Rivera	June 2014			Applied 6/18/14 Applied 10/29/15		
13	Jared Andrada	Dec 2015	7/5/2016 84	8/30/2016 75	11/25/2015 68 2/10/2016 72 11/9/2016 81	7/31/2015 72 4/18/2016 80	Passed all 4 parts
14	Liza Cruz	Dec 2015					
15	Joseph Diaz	Dec 2015			Applied 6/9/15 Applied 10/5/15		
16	Donita Giducos	Dec 2015			Applied 6/18/15		
17	Charmaine Orbe	Dec 2015			Applied 6/30/15		
18	Tiara San Agustin	Dec 2015					
19							

Guam Board of Accountancy
Applications for Initial Certification and License to Practice Page 1
Board Meeting of December 15, 2016

	Name of Applicant	Application for	Employer/period	Schools Attended	Experience	U.S. CPA Verifying Jurisdiction Status	Other licenses held	Recommendation
1	Mr. Ryosuke Sasaki Michigan	Cert # 1774 LTP Active - Attest	EOS Accountants LLP 10/1/16 to present Russel Bedford Mexico City 1/6/15 to 10/16 Tokyo Consulting Firm 4/2013 to 1/2015			Masanobu Tsuchiya Michigan Good Standing	None	Approval - currently has inactive license Changing to active attest
2	Mr. Tarek Walid Yehya Saudi Arabia	Init Cert - LTP Active - Attest	Ernst & Young Riyadh 11/2014 to present Ghannan Group 6/2014 to 10/2014 Taxperts 10/2012 to 6/2013			Ahmad Mustafa Seadan Colorado Good Standing	None	Approval - conditional pending payment
3	Mr. Yahya Mohamed Kamel Abdelmoaty Saudi Arabia	Init Cert LTP Active - Attest	Ernst & Young Saudi Arabia 11/2012 to present Ernst & Young Cairo 4/2010 to 11/2012 KPMG 3/2007 to 3/2010	Zagazig University BA	80% Audit Attest Services 20% Financial Services	Ahmad Mustafa Seadan Colorado Good Standing	None	Approval
4	Ms. Luyi Chen Macau	Init Cert LTP Active - Attest	Deloitte Tohmatsu Macau 9/2010 to present	Jinan University BA Shanghai Univ of Finance and Econ	30% Accounting Services 60% Audit/Attest Services 10% Management Consulting Skills	Sam I Wong New Hampshire Good Standing	None	Approval
5	Mr. Kosuke Imamura Japan	Init Cert LTP Active - Attest	Houtoku-Ji Religious Corp 10/2014 to 7/2016 Ernst & Young LLP 9/2011 to 9/2014 Los Angeles Info Inc. 9/2011 to 8/2013	Mohash University, Australia, BA Cal State East Bay	100% Audit Attest Services	Thomas Kim Illinois Good Standing	None	Approval
6	Ms. Yuki Hakuta Kanai Japan	Init Cert LTP Active -Attest	Ernst & Young Shin Nihon 1/2013'to present Yusei Audit Co. 6/2009 to 12/2012	International Christian University BA	90% Audit Attest Services 10% Consulting Skills	Kristoffer Aldrin Daga Guam Good Standing	None	Approval
7	Mr. Abhishek Kapoor Ridgefield, CT	Init Cert LTP Active - Attest	Deloitte & Touche LLP Stamford, CT 6/2014 to present Deloitte & Touche AERS India 10/2007 to 5/2014 PricewaterhouseCoopers 3/2003 to 10/2007	Univ of Calcutta, India BA	100% Audit Attest Services	William Calder Connecticut Good Standing	None	Approval
8	Mr. Joung Sun Park Seoul, Korea	Init Cert LTP Active - Attest	Shin Nihon Acctg. Corp 11/2012 to present Shin Nihon Acctg Corp 1/2010 to 3/2011	University of Toronto, BA Korea Accounting & Info School	60% Audit Attest Services 30% Financial Services 5% Tax/Tax Advis Services 5% Consulting Services	Michael M. Min Massachusetts Good Standing	None	Approval

10

	Name of Applicant	Application for	Employer/period	Schools Attended	Experience	U.S. CPA Verifying Jurisdiction Status		Other licenses held	Recommendation
9	Mr. Hing Kok Tsang Hong Kong	Cert # 2121 LTP Active - Attest	DCAW CPAs Hong Kong 1/2015 to present		85% Audit Attest Services 10% Accounting Services 5% Tax/Tax Advisory Services	Mun Leung Chung New York Good Standing		None	Approval - currently holds non attest license
10	Mr. Fierce Louie C. Catoc Guam	Init Cert LTP Active - Attest	Deloitte & Touche Guam 11/2014 to present SYCIP Gorres Velayo & Co. 11/2010 to 11/2014	Ateneo De Pa Vao University BA	100% Audit Attest Services	Wei Li Tung Guam Good Standing			
11	Ms. Jayshree Choudhary India	Init Cert LTP Active - Non Attest	Deloitte AERS PVT India 8/25/2014 to present	Delhi University BA	100% Audit Attest Services	Kent J. Francois Virginia Good Standing		None	Approval
12	Mr. Mukul Khullar India	Init Cert LTP Active- Non Attest	Deloitte & Touche AERS 8/2011 to present	Bangalore University BA	100% Audit Attest Services	Kent J. Francois Virginia Good Standing		None	Approval
13	Ms. Mehma Kaur Kohli India	Init Cert LTP Active - Non Attest	Deloitte & Touche AERS 8/2012 to present	University of Delhi BA	100% Audit Attest Services	Kent J. Francois Virginia Good Standing		None	Approval
14	Mr. Rohan Shailesh Nadkarni India	Init Cert LTP Active - Non Attest	Deloitte & Touche AERS 8/2014 to present	Modern College BA	100% Audit Attest Services	Kent J. Francois Virginia Good Standing		None	Approval
15	Mr. Abhijay Singh India	Init Cert LTP Active - Non Attest	Deloitte & Touche AERS 8/2013 to present	University of Delhi, BA	100% Audit Attest Services	Kent J. Francois Virginia Good Standing		None	Approval
16	Ms. Shirvani Verma India	Init Cert LTP Active - Non Attest	Deloitte & Touche AERS 8/2014 to present	University of Delhi, BA	100% Audit Attest Services	Kent J. Francois Virginia Good Standing		None	Approval

	Name of Applicant	Application for	Employer/period	Schools Attended	Experience	U.S. CPA Verifying Jurisdiction Status	Other licenses held	Recommendation
17	Mr. Yongdong Zhu Beijing, China	Init Cert LTP-Active Non Attest	China CAMC Engineering Co. 3/2013 to present	Beijing Univ of Posts & Telecom MA Shandong Econ Univ BA	20% Accounting Services 40% Financial Services 40% Tax/Tax Advis Services	Wei Zhang Guam Good Standing	None	Approval
18	Mr. Ching-Chieh Chou Maine	Init Cert LTP Inactive	None	National Changhua Univ MA National Taiwan University BA			None	Approval
19	Mr. Jawad Habib Saudi Arabia	Init Cert LTP Inactive	Saudi Arabian Airlines Cargo 4/2013 to present U.S. Agency for Int'l Dev 6/2013 to 4/2014 Case International, Pakistan 4/2008 to 6/2010	Al-Khair University BA			None	Approval
20	Ms. Catherine Shih-Chiao Huang Taiwan	Init Cert LTP Inactive	None	National Chengchi Univ BA Peking University MA			None	Approval
21	Ms. Huei-Tzu Huang Taiwan	Init Cert LTP Inactive	Nomura Research Inst. Taiwan 4/2014 to present Yung Feng Yu Investment 6/2013 to 4/2014	National Taiwan University BA			None	Approval
22	Ms. Yu-Tung Huang Taiwan	Init Cert LTP Inactive	Central China Financial Leasing Co. 3/2016 to present	Univ of California LA BA			None	Approval
23	Mr. Edward Chia-Wei Lee Taiwan	Init Cert LTP Inactive	Ming Yuan Accounting Firm 1/2016 to present Hochin Land Dev. Co 2/2014 to 1/2016	Simon Fraser University MS Univ of Oregon MA National Taipei Univ BA			None	Approval
24	Mr. Fei Tao Shanghai, China	Init Cert LTP Inactive	China Central Depository 7/2016 to present	Nanjing Univ of Fin & Econ BA Univ of Calif , San Diego MA			None	Approval

	Name of Applicant	Application for	Employer/period	Schools Attended	Experience	U.S. CPA Verifying Jurisdiction Status	Other licenses held	Recommendation
25	Ms. Yu-Ya Wang Taiwan	Init Cert LTP Inactive	None	National Taiwan Univ BA Univ of Texas, MA			None	Approval
26	Mr. Wei-Sheng Yang Taiwan	Init Cert LTP Inactive	Pricewaterhouse Taiwan 7/2014 to 8/2014	National Taipei University BA			None	Approval
27	Ms. Moe Hirano Tokyo, Japan	Init Cert LTP Inactive	Deloitte Touche Tohmatsu LLC 8/2016 to Present A Beam Consulting Ltd 4/2013 to 7/2016	Kyushu University BA Univ of Tokyo MA Cal State East Bay			None	Approval
28	Ms. Shao-Wen Hsiao Taiwan	Init Cert LTP Inactive	None	University of Texas MA			None	Approval
29	Ms. Yun-Chung Kuo Taiwan	Init Cert LTP Inactive	None	National Taiwan Univ BA			None	Approval
30	Ms. Frances Mae P. Manabat Guam	Init Cert LTP Inactive	None	University of Santo Tomas BA			None	Approval
31	Mr. Tarek Awad M. Mohamed Saudi Arabia	Init Cert LTP Inactive	Al Sannat Co. Ltd. 3/2011 to present Al Nasser Estab. for Trading 8/2009 to 1/2011	Beni Saif University BA IMA USA			None	Approval
32	Cheng-Eng Yang Taiwan	Init Cert LTP Inactive	None	National Chengchi University BA			None	Approval
33	Mohammad Yousuf Wahba Zoraa Egypt	Init Cert LTP Inactive	Accountability State Authority 11/2006 to present	Cairo University BA			None	Approval

Board Meeting of December 15, 2016

Total Number of applications reviewed:	33
Total Number of Active Attest applicants:	10
Total Number of Active - Non Attest applicants:	7
Total Number of Inactive Applicants:	16

Arleen E Gay

From: Dave Sanford <daves@stgguam.com>
Sent: Tuesday, November 15, 2016 2:10 PM
To: 'Arleen E Gay'
Cc: Michele Santos
Subject: FW: Exam Fee Implementation Date
Attachments: 2016 Fee Change Letter Nov 8 FINAL.pdf

ARLEEN – please include in next board meeting package. Thanks! Dave

From: Chris Mays [mailto:cmays@nasba.org]
Sent: Thursday, November 10, 2016 12:26 AM
Cc: Patricia Hartman <phartman@nasba.org>
Subject: FW: Exam Fee Implementation Date

I am forwarding this email on behalf of Pat Hartman, please read below.

Chris Mays
Associate Director, Client Services
- - - - - - - - - -
National Candidate Database
A division of NASBA
150 Fourth Avenue North, Suite 700
Nashville, TN 37219
- - - - - - - - - -
Phone: 615.880.4265
Fax: 615.324.1628
Toll-Free 1.866.696.2722
Email: cmays@nasba.org
www.nasba.org

NOTICE TO CANDIDATES:
To prepare for each section of the Uniform CPA Examination candidates are responsible for reviewing the Uniform CPA Examination tutorial and sample tests. Failure to follow the directions provided in the tutorial and sample tests, including the directions on how to respond, may adversely affect candidate scores. The tutorial and sample tests are posted on the CPA Exams website at www.aicpa.org/cpa-exam under the heading Tutorial and Sample Tests.

For detailed information about what to expect on the day of your test visit Prometric's website at www.prometric.com; select the CPA link; and select "Prepare for Test Day" at top of the page.

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attachments is strictly prohibited. If you have received this message in error, please notify the sender immediately by telephone (615-880-4200), and delete this message and all copies and backups thereof. Thank you.

From: Patricia Hartman
Sent: Tuesday, November 08, 2016 7:17 PM
To: Chris Mays <cmays@nasba.org>
Subject: Exam Fee Implementation Date

Dear Executive Director:
Please see attached regarding new fee implementation date.

Patricia Hartman
Director of Client Services

NASBA
National Association of State Boards of Accountancy
150 Fourth Avenue North • Suite 700 • Nashville, TN 37219-2417 • Tel 615/880-4200 • Fax 615/880/4290 • Web www.nasba.org

November 8, 2016

To Executive Directors:

You should have received a letter dated August 1, 2016 letter from me regarding implementation for the 2017 examination fee increase. The purpose of this letter is to notify you of a necessary change to the implementation dates.

The schedule of testing fees will remain as follows:

AICPA	$90.00 per section
NASBA	$18.00 per section
Prometric	$19.85 per test hour, plus
	$ 6.05 per section security fee

The fee increase due to extended testing time for REG and BEC remains as previously communicated and as shown below:

	AUD	FAR	REG	BEC		Prometric Hourly Rate
Hours	4	4	4	4		$ 19.85
AICPA	$ 90.00	$ 90.00	$ 90.00	$ 90.00		
Prometric Test Fee	79.40	79.40	79.40	79.40		
Prometric Security Fee	6.05	6.05	6.05	6.05		
NASBA	18.00	18.00	18.00	18.00		
Totals	$ 193.45	$ 193.45	$ 193.45	$ 193.45	$ 773.80	

System limitations in the current gateway prevent us from having different roll-out dates for this testing fee change. There are two limitations:

a. Jurisdictions cannot have a different hour requirement for the same section. Therefore, we cannot charge one jurisdiction for 3 hours at the same time that we charge another jurisdiction for 4 hours *on the same section type*.
b. Jurisdictions cannot have a different rate per hour for the same section. The same rate per hour is required for a section type across all jurisdictions. Otherwise, this might have provided an option for different roll-out dates.

Absent a change in the Gateway's capabilities, which is not possible given the exam change timeline, we need to set the same effective date for when the new fee goes into effect for ALL jurisdictions.

As has been explained in prior notification letters, because the AICPA and Prometric are paid their fees based on when a candidate tests and not when the board (or its designee) submits its Authorizations to Test (ATTs) to the National Candidate Database (NCD), a dilemma is created. NASBA has agreed to manage the financial consequences of this dilemma, by allowing boards (or their designees) to pay the new fees effective with ATTs submitted on or after a specific date. In order for NASBA to do this while minimizing its financial carrying costs, the effective date for ATTs must be moved in advance of the announced effective date of the fee changes. The above fee changes will be paid to the AICPA and Prometric for candidates testing on or after April 1, 2017.

The end result is the need to establish an effective date of January 1, 2017 for all jurisdictions.

Any ATT submitted on or after the above date will be subject to the new fees. Invoice jurisdictions may have to begin collecting these fees in advance of the above dates so that they will have collected the proper fees from the candidates whose ATTs they submit on or after the above dates. Coupon jurisdictions will not have the same issue although they will want to notify candidates that any coupons the candidates receive for ATTs submitted on or after the above dates will be at the increased fees.

If you have any questions about this change, please let us know.

Sincerely,

Patricia Hartman

Patricia Hartman
Director of Client Services

Arleen E Gay

From: Dave Sanford <execdir@guamboa.org>
Sent: Monday, December 12, 2016 4:20 PM
To: 'Arleen E Gay'
Subject: FW: State Regulatory Update: Legislative Trends and Priorities Reports, Cybersecurity, Definition of "Client" and "Attest Client", Uniformity Across State Lines, Peer Review Administration, Marijuana

ARLEEN – please include in next board meeting package. Thanks! Dave

From: Suzanne Jolicoeur [mailto:SuzanneJolicoeurCommunicator@e.aicpa.org]
Sent: Friday, December 2, 2016 3:11 AM
To: execdir@guamboa.org
Subject: State Regulatory Update: Legislative Trends and Priorities Reports, Cybersecurity, Definition of "Client" and "Attest Client", Uniformity Across State Lines, Peer Review Administration, Marijuana

New documents highlight 2016 state trends, 2017 profession priorities

AICPA

December 2016

State Regulatory Update

Spotlight



New documents highlight 2016 state trends, 2017 profession priorities

The AICPA has released three key documents on state regulatory and legislative trends affecting the profession. The *2016 Year-in-Review* highlights major trends from the last year, including Uniform Accountancy Act conformity, adoption of the AICPA Code of Professional Conduct, the *N.C. Dental* case, tax and employment issues, and the regulation of marijuana. The *2017 Recommendations Regarding State Accounting Policy* offers a comprehensive overview of major policy issues, along with resources to support advocacy on those topics. Finally, the *2017 State Policy Recommendations Checklist* serves as a quick reference guide for profession leaders in developing state policy agendas. For more information on the issues in these documents, contact Mat Young, AICPA Vice President - State Regulation and Legislation, at myoung@aicpa.org, or tune in to the webcast State Policy Update: Reflecting on 2016, Preparing for 2017 on Wednesday, Dec. 14, at 3 pm EST.

Top News for State Boards of Accountancy

Visit the AICPA State Board Resources Webpage

Mark Your Calendar



State Policy Update: Reflecting on 2016, Preparing for 2017

Learn about trends affecting the accounting profession during the AICPA State Regulation and Legislation Team's annual end-of-year webcast. Wednesday, Dec. 14, at 3 pm EST.

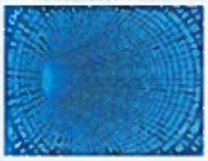
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Uniformity in state accountancy laws, rules a key trend in 2016

More states worked to increase consistency across state lines by adopting key provisions of the Uniform Accountancy Act in 2016. Eight states adopted the comprehensive definition of attest this year: Kansas, Michigan, Mississippi, Nebraska, Pennsylvania, Rhode Island, Washington, and West Virginia. Nationwide, 39 states now have the comprehensive definition. Louisiana and Washington adopted firm mobility, and similar legislation is pending in Illinois. Illinois also adopted CPE reciprocity this year, and three states - Illinois, Mississippi, and Wisconsin - all adopted the full AICPA Code of Professional Conduct.

» Read more



AICPA proposes criteria for cybersecurity risk management

In an important step toward helping businesses and organizations report on their cybersecurity risk management efforts, the AICPA Assurance Services Executive Committee is exposing two sets of criteria for public comment. The first is intended for use by management in designing and describing its cybersecurity risk management program, and by public accounting firms to report on management's description. The second outlines revised AICPA trust services criteria for use by public accounting firms that provide advisory or attestation services to evaluate the controls within an entity's cyber risk management program, or SOC 2® engagements. Comments are due by this Monday, Dec. 5.

» Read more



PEEC proposes revisions to definitions of "client" and "attest client"; clarifies independence requirements

The Professional Ethics Executive Committee (PEEC) has approved for exposure revisions to the definitions of "client" and "attest client" in the AICPA Code of Professional Conduct. The proposed revision to the definition of "client" makes it clear that when an entity *engaging* a licensee to perform a professional service is not the same entity that is *subject* to the professional service, the two entities are separate clients. The proposal clarifies that, with some exceptions, a licensee need not be independent of an engaging entity that is not also an attest client. The Exposure Draft will be released for comment in mid-December, when it will be available for download here.

» Read more



Following success of states' marijuana ballot initiatives, CPAs seek guidance on working with businesses in this industry

Voters in eight states approved marijuana initiatives in November. With 31 states and jurisdictions now having legal marijuana in some capacity, more CPAs are looking to their state boards of accountancy for guidance as to how - and if - licensees can offer professional services to businesses in this industry.

» Read more

AICPA Peer Review Program

Evolution of Peer Review Administration webcast planned for state boards of accountancy

The AICPA will host a webcast for state boards of accountancy on Monday, Jan. 9, at 1:30 pm EST to

Contact Us

Please contact the State Regulation and Legislation Team if you have questions or need additional information.

Mark Peterson
Executive Vice President
Advocacy
mpeterson@aicpa.org
202-434-9206

Mat Young
Vice President
State Regulatory &
Legislative Affairs
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202-434-9202

For more information on these and other issues, visit aicpa.org/stateboards.

Follow us on Twitter at twitter.com/AICPAState.

Lindsay Patterson, Editor

discuss revisions to the Evolution of Peer Review Administration proposal. Registration and dial-in details will be sent in upcoming weeks.

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American Institute of Certified Public Accountants, 220 Leigh Farm Road, Durham, NC 27707-8110



GUAM BOARD OF ACCOUNTANCY

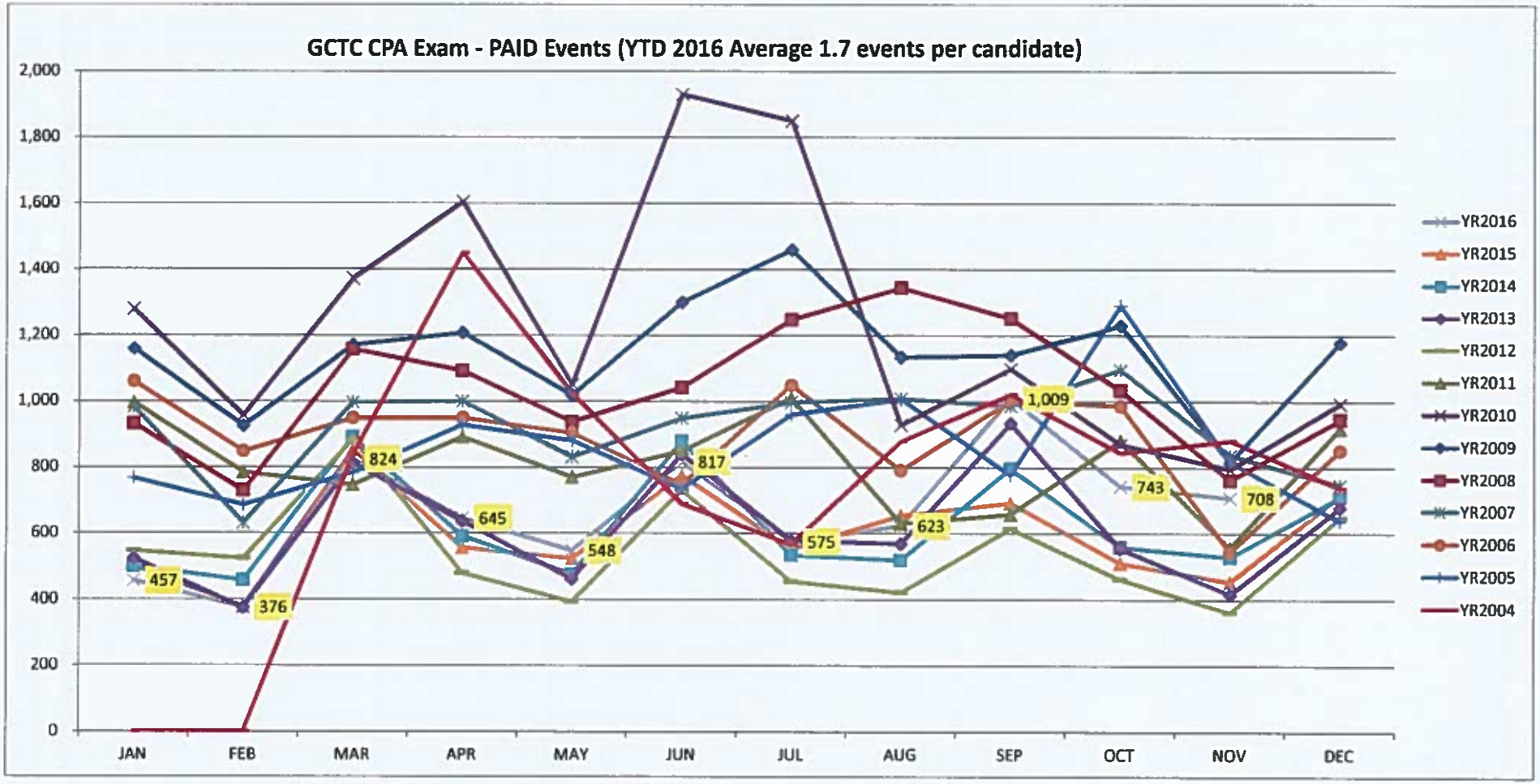
FY2017 Revenue, Expense and Fund Balance Summary w/History and FY2017 Budget

(Modified Accrual Basis: updated 12/15/16 mbs/dns)

BUDGET CATEGORY Description	NOTES	FY2013 Actual	FY2014 Actual	FY2015 Actual	FY2016 Actual	FY2017 Actual YTD 11/30/2016	FY2017 Approved Budget
REVENUES SUMMARY							
Exam Application Fees		79,050	80,300	89,750	120,900	23,250	80,000
Guam Computer Test Center Administration Fees		391,490	397,870	411,320	518,185	99,540	500,000
Certification Fees		49,200	54,200	60,725	100,750	20,750	55,000
Individual Licensing Fees		87,100	102,925	119,425	138,350	3,000	120,000
Firm Licensing Fees		3,800	5,325	5,675	5,450	0	5,000
Penalties/Miscellaneous Fees/Interest		10,590	9,560	16,762	12,302	2,077	0
TOTAL REVENUES		**621,230**	**650,180**	**703,657**	**895,937**	**148,617**	**760,000**
220 Travel		0	0	0	0	0	0
230 Contractual							
Administrative Services Contract		274,867	275,721	290,245	292,273	53,065	295,000
Legal Services Contract		0	0	0	0	0	0
Copier Services		5,920	6,136	4,301	5,638	538	6,500
Education & Testing		0	4,325	0	2,596	0	30,000
Others (Publications, Dues, etc.)		22,100	14,200	14,200	14,200	0	16,000
Web/Database development & maintenance		375	0	0	66	0	12,000
233 Office Space		23,868	23,868	23,868	23,868	3,978	24,000
240 Supplies		10,174	9,429	11,363	14,426	2,337	13,000
250 Small Equipment		2,907	1,319	1,963	2,384	332	5,000
290 Miscellaneous							
Bank Charges		4,784	5,392	6,424	9,391	1,372	7,000
Postage		11,990	15,266	14,322	28,365	772	20,000
Training		50	0	0	5,014	0	10,000
Notices/Compliance Investigations/Others		2,691	1,774	2,882	2,672	0	0
UOG Endowment Contribution		0	0	0	100,000	100,000	200,000
363 Telephone Services		0	0	0	0	0	0
450 Capitalized Equipment		0	0	0	0	0	0
TOTAL EXPENDITURES		**359,726**	**357,429**	**369,567**	**500,893**	**162,394**	**638,500**
NET EXCESS (DEFICIT) OF REVENUES OVER EXPENDITURES		**261,505**	**292,751**	**334,090**	**395,043**	**(13,776)**	**121,500**
FUND BALANCE:							
Beginning	(1)	**1,157,604**	**1,419,109**	**1,711,860**	**1,045,950**	**1,440,993**	**1,427,217**
UOG Endowment Contribution Paid (PL 32-191)				(1,000,000)			
Ending		**1,419,109**	**1,711,860**	**1,045,950**	**1,440,993**	**1,427,217**	**1,548,717**
Consisting of:							
Cash - Bank of Guam (established FY2008)		**344,918**	**1,194,789**	**521,899**	**644,962**	**653,166**	
Cash - Time Certificates of Deposit		**1,055,496**	**501,505**	**501,505**	**751,505**	**751,505**	
Accounts Receivable-NASBA		**50,765**	**43,505**	**47,600**	**69,790**	**48,860**	
Accounts Payable		**(32,070)**	**(27,940)**	**(25,054)**	**(25,264)**	**(26,314)**	
Net Fund Balance		**1,419,109**	**1,711,860**	**1,045,950**	**1,440,993**	**1,427,217**	
Restricted Fund Balance		**1,069,000**	**1,039,000**	**1,045,950**	**1,051,000**	**1,051,000**	
Unrestricted Fund Balance		**350,109**	**672,860**	**0**	**389,993**	**376,217**	

NOTES:

(1) During FY2017-Oct the Board paid $25,264 of prior year obligations, shown as FY2016 expenditures. There are no outstanding prior year encumbrances as of 10/31/2016.



12/15/2016 - 10:08 AM

Office of the Mayor

Ufisinan Maha' Agat

MUNICIPALITY OF AGAT

Carol S. Tayama
Mayor
Kevin James T. Susuico
Vice Mayor

43-16-2306
Office of the Speaker
Judith T. Won Pat, Ed.D
Date: 12/28/16
Time: 12:55
Received By:

December 23, 2016

MEMORANDUM

To: Department of Revenue and Taxation
Governor's Office
✓Speaker of the Guam Legistlature
Public Auditor

From: Mayor and MPC Chairman, Municipality of Agat

Subject: Non-appropriated Funds (NAF)

Buenas yan Hafa Adai! Submitted herewith pursuant to Public Law 30-68 (P.L.), is the Agat Municipal Planning Council's Non-Appropriated Fund (NAF) Account for 2016 4th Quarter.

If you should have any questions, please do not hesitate to call me.

for MAYOR CAROL S. TAYAMA

CC: MCOG Executive Director
File

Agat Mayor's Office
****Official Seal****

GOVERNMENT OF GUAM • P.O. Box 786, Hagåtña, Guam 96932
Office: (671) 565-2524/4335 • Fax: (671) 565-4826

DISTRICT: AGAT
FISCAL YEAR: 2016
NON-APPROPRIATED FUNDS (NAF)
REVENUE AND EXPENDITURE SUMMARY REPORT
[ ] 1ST QTR [ ] 2ND QTR [ ] 3RD QTR [xxxx] 4TH QTR

REVENUE		
Donation (Cash)	$	642.58
Donation (In-Kind)		
Facility Use Donations		
Fiesta Concessions		
Flea Markets/Night Markets	$	2,200.00
Fundraising Activities	$	706.00
Grants	$	2,500.00
Program Registrations	$	500.00
Other	$	4,484.50
TOTAL REVENUE	**$**	**11,033.08**

	OPERATIONS	EXPENDITURE	
220	TRAVEL - Local Mileage Reimbursement		
230	CONTRACTUAL SERVICES	$	270.00
233	OFFICE SPACE RENTAL		
240	SUPPLIES & MATERIALS	$	1,987.38
250	EQUIPMENT	$	1,619.69
270	WORKERS COMPENSATION		
271	DRUG TESTING		
280	SUB-RECIPIENT/SUB-GRANT		
290	**MISCELLANEOUS**		
	Bank Service Fees	$	238.64
	Community Programs	$	6,389.70
	Community Projects	$	300.00
	Donation to NPOs		
	Fiesta Expenses	$	1,571.24
	Food Items	$	2,167.26
	Humanitarian Assistance	$	1,707.85
	Sponsorships	$	650.00
	Other	$	1,679.66
	TOTAL OPERATIONS	**$**	**18,581.42**

	UTILITIES	EXPENDITURE	
361	Power		
362	Water/Sewer	$	-
363	Telephone	$	-
	TOTAL UTILITIES	**$**	-

	CAPITAL OUTLAY	EXPENDITURE	
450	Capital Outlay	**$**	-

Office of the Mayor

Ufisinan Mahor Agat

MUNICIPALITY OF AGAT

Carol S. Tayama
Mayor
Kevin James T. Susuico
Vice Mayor

33-16-2307
Office of the Speaker
Judith T. Won Pat, Ed.D
Date: 12/28/16
Time: 12:55 pm
Received By:

December 23, 2016

MEMORANDUM

To: Department of Revenue and Taxation
Governor's Office
Speaker of the Guam Legistlature
Public Auditor

From: Mayor and MPC Chairman, Municipality of Agat

Subject: Non-appropriated Funds (NAF)

Buenas yan Hafa Adai! Submitted herewith pursuant to Public Law 30-68 (P.L.), is the Agat Municipal Planning Council's Non-Appropriated Fund (NAF) Account for 2016 3rd Quarter.

If you should have any questions, please do not hesitate to call me.

fo MAYOR CAROL S. TAYAMA

CC: MCOG Executive Director
File

Agat Mayor's Office
****Official Seal****

GOVERNMENT OF GUAM • P.O. Box 786, Hagåtña, Guam 96932
Office: (671) 565-2524/4335 • Fax: (671) 565-4826

DISTRICT: AGAT
FISCAL YEAR: 2016
NON-APPROPRIATED FUNDS (NAF)
REVENUE AND EXPENDITURE SUMMARY REPORT
[ ] 1ST QTR [ ] 2ND QTR [XX] 3RD QTR [ ] 4TH QTR

REVENUE	
Donation (Cash)	$ 380.00
Donation (In-Kind)	
Facility Use Donations	$ 140.00
Fiesta Concessions	
Flea Markets/Night Markets	$ 1,795.00
Fundraising Activities	$ 3,066.55
Grants	
Program Registrations (Summer Camps)	$ 1,500.00
Other (Mango Festival,Fest Pac,Weddings)	$ 50,975.38
TOTAL REVENUE	**$ 57,856.93**

	OPERATIONS	EXPENDITURE
220	TRAVEL	
230	CONTRACTUAL SERVICES	**$ 8,996.50**
233	OFFICE SPACE RENTAL	
240	SUPPLIES & MATERIALS	**$ 2,480.79**
250	EQUIPMENT	**$ 3,044.79**
270	WORKERS COMPENSATION	
271	DRUG TESTING	
280	SUB-RECIPIENT/SUB-GRANT	
290	**MISCELLANEOUS**	
	Bank Service Fees	
	Community Programs	$ 56,124.33
	Community Projects	$ 7,703.69
	Donation to NPOs	$ 350.00
	Fiesta Expenses	$ 2,372.50
	Food Items	$ 2,723.44
	Humanitarian Assistance	
	Sponsorships	$ 200.00
	Other (Overdraft/NSF Charge)	$ 929.47
	TOTAL OPERATIONS	**$ 84,925.51**

	UTILITIES	EXPENDITURE
361	Power	
362	Water/Sewer	$ -
363	Telephone	$ -
	TOTAL UTILITIES	**$ -**

	CAPITAL OUTLAY	EXPENDITURE
450	Capital Outlay	**$ -**

GOVERNMENT OF GUAM

DEPARTMENT OF PUBLIC HEALTH AND SOCIAL SERVICES

DIPATTAMENTON SALUT PUPBLEKO YAN SETBISION SUSIAT

EDDIE BAZA CALVO
GOVERNOR

RAY TENORIO
LIEUTENANT GOVERNOR

JAMES W. GILLAN
DIRECTOR

LEO G. CASIL
DEPUTY DIRECTOR

DEC 28 2016

33-16-2308
Office of the Speaker
Judith T. Won Pat, Ed.D
Date: 12/28/16
Time: 1570
Received By:

Honorable Judith T. Won Pat, Ed.D.
Speaker
I Mina'trentai Tres Na Liheslaturan Guåhan
155 Hesler Street
Hagåtña, Guam 96910

Dear Speaker Won Pat:

In accordance with Public Law 31-278, enclosed is the Bureau of Adult Protective Services' Annual Legislative Report for Fiscal Year 2016.

Should you have any questions, you may contact Mr. Arthur U. San Agustin, MHR, Senior Citizens Administrator or Ms. Jocelynn DR Cruz, Social Services Supervisor, Division of Senior Citizens at 735-7421.

JAMES W. GILLAN

Enclosure

123 CHALAN KARETA, MANGILAO, GUAM 96913-6304
www.dphss.guam.gov • Ph.: 1.671.735.7102 • Fax: 1.671.734.5910

DEPARTMENT OF PUBLIC HEALTH AND SOCIAL SERVICES
DIVISION OF SENIOR CITIZENS

BUREAU OF ADULT PROTECTIVE SERVICES
ANNUAL LEGISLATIVE REPORT
FISCAL YEAR 2016

PERIOD COVERED: OCTOBER 1, 2015 THROUGH SEPTEMBER 30, 2016

PREPARED BY: JOCELYNN DR CRUZ
SOCIAL SERVICES SUPERVISOR I

I. DESCRIPTION OF THE ACTIVITIES OF THE BUREAU AND ALL DESIGNATED AGENCIES DURING THE PRECEDING YEAR

The Bureau of Adult Protective Services (BAPS) is responsible for receiving and investigating all suspected reports of elderly or adults with a disability abuse or neglect. *Elderly* refers to a person age sixty (60) years or older. *Adult with a disability* is any person eighteen (18) years or older who has a physical or mental impairment which limits one (1) or more major life activities; or has a history of, or has been classified as having, an impairment which substantially limits one (1) or more major life activities. Major Life Activities include, but are not limited to: caring for oneself, performing manual tasks, standing, walking, seeing, hearing, eating, sleeping, lifting, bending, speaking, breathing, learning, reading, concentrating, thinking and working.

The mission of the BAPS is to provide protective services to the elderly and adults with a disability in a manner least restrictive with respect to their dignity and in consideration of the values and practices of their culture.

On December 28, 2012, Public Law (P.L.) 31-278 updated Guam's Adult Protective Services (APS) mandates (P.L. 19-54 and P.L. 21-33). The updates of P.L. 31-278 refined the definitions for the types of abuse reported to APS; expanded the list of Mandated Reporters to include Emergency Medical Service (EMS) providers, non-emergency medical transport providers, medical and allied health providers, personnel of banking or financial institutions, pension providers and practicum students in the health and human services; defines the organizational and personnel structure of the Bureau of Adult Protective Services (BAPS); and in Sub-Section 2954, a new provision was added that created the BAPS Fund which provides for all fines collected from any person required by Subsections (a) and (b) of §2952 to report a case of suspected elderly or adult with a disability abuse and fails to make a report to APS, to be deposited into the Fund and shall be expended exclusively for purposes used to support the operations of the BAPS.

In FY 2016, the BAPS was staffed by three (3) Social Worker III's and one (1) Social Service Supervisor I. The primary function of the BAPS social workers is case investigation. Upon receiving a referral of alleged abuse or neglect, the social worker(s) meets with the client to assess the situation and proceeds with investigating the allegation(s) of abuse or neglect and develops a case plan which addresses the client's needs in order to intervene if not resolve the abusive situation. Intervention strategies used by the social workers include: crisis intervention, education, protective shelter, multi-disciplinary team meetings, individual and family sessions, and networking with other government and private agencies to provide formal support services. A secondary function is outreach and prevention efforts. Outreach presentations are provided to educate and inform government, private and non-profit entities and the general public of the services available through the BAPS for the prevention of elderly and adults with a disability abuse and neglect.



Further, BAPS administers the Guma Serenidad Program (formerly known as the Emergency Receiving Home) through a contract agreement with Catholic Social Service (CSS) for the Comprehensive Management, Operations, and Maintenance of Protective Services and a Crisis Intervention Hotline (CIH) for seniors, age 60 and older, and adults with a disability, age 18-59, who are victims or alleged victims of abuse and are in immediate or imminent danger and require temporary shelter and support to protect them from further abuse or neglect. The contract between the Department of Public Health and Social Services, Division of Senior Citizens (DPHSS, DSC) and CSS became effective January 1, 2016, upon award of Invitation for Bid No. GSA-003-16 to CSS for a period of 60 months or five (5) years; on a year to year basis.

The Crisis Intervention Hotline (CIH) receives, responds, and manages reports of suspected elderly or adults with disability abuse and neglect. APS referrals received by the CIH between the hours of 8:00 a.m. through 4:30 p.m., Monday through Friday, except on recognized Government of Guam holidays and weekends, are forwarded to the BAPS for investigation, and referrals received after 4:30 p.m., Mondays through Friday and on recognized Government of Guam holidays and weekends are managed by Guma Serenidad staff.

BAPS also administers the Office of the Long Term Care Ombudsman and activities related to Elder Abuse Prevention, Title VII programs. The Office of the Ombudsman provides services to the elderly at St. Dominic's Senior Care Home and the elderly receiving services at the Guam Memorial Hospital Authority, Skilled Nursing Unit (GMHA, SNU) and the three (3) Adult Day Care Centers. The Ombudsman visits elderly at nursing and long term care homes to meet with them and/or their family members to address, advocate, and resolve concerns for the protection of the resident's health, safety, and welfare while ensuring the rights of residents are safeguarded.

OUTREACH AND ADVOCACY:

In FY 2016, BAPS participated in outreach activities which include the 1) Guam Coalition Against Sexual Assault and Family Violence Wave on January 26, 2016, an event to raise awareness on the prevention of Sexual Assault and Human Trafficking; 2) Guam Developmental Disabilities Council (GDDC) Family Fun Day on March 31, 2016, an event held in recognition of Developmental Disabilities Awareness Month, attended by approximately 75 disabilities consumers, their families, and partner service providers; and 3) the Foster Care and Mental Health Month Wave on Friday, May 6, 2016.

Other outreach activities participated by BAPS include 4) the Guam World Health Day Fair; 5) 2016 National Crime Victim's Rights Week; 6) Guam Sexual Awareness Outreach, 7) 2016 Law Day, 8) 5th Annual Guam Conference on Aging, 9) 3rd Annual Senior Citizens Month Outreach and Health Fair, and 10) the 2016 Passport to Services. Listed in **Table 1. Outreach Activities**. were the outreach events participated by BAPS, which provided the opportunity for the bureau to disseminate information on aging services and educational brochures on APS and the Ombudsman Program to a total of 934 outreach participants.

Table 1. Outreach Activities.

Event Title	Date/Location/Time	Sponsoring Agencies/Organizations	Number of Outreach Participants
Guam Coalition Against Sexual Assault and Family Violence Wave	January 26, 2016 Marine Drive in front of Skinner Plaza, Hagatna 4:00 - 5:30 p.m.	Guam Coalition Against Sexual Assault and Family Violence (GCASAFV)	-
Guam Developmental Disabilities Council (GDDC) Family Fun Day	March 31, 2016 Castle Mall Parking Lot, Mangilao 1:00 p.m. - 5:00 p.m.	Guam Developmental Disabilities Council (GDDC)	75
Guam World Health Day Fair	April 2, 2016 Micronesia Mall - Center Court, 10 a.m. - 2 p.m.	Department of Public Health and Social Services, Bureau of Community Health Services	84
2016 National Crimes Victim's Rights Week Outreach	April 9, 2016 Micronesia Mall - Center Court, 10 a.m. - 2 p.m.	Guam Coalition Against Sexual Assault and Family Violence (GCASAFV), Guam Police Department, Domestic Assault Response Team (DART), Guam Crime Stoppers, and the University of Guam School of Business and Public Administration	53
Guam Sexual Assault Awareness Outreach	April 30, 2016 Agana Shopping - Center Court, 11 a.m. - 3 p.m.	Guam Police Department, Domestic Assault Response Team (DART)	50
2016 Law Day Fair	April 30, 2016 Superior Court of Guam 9 a.m. - 1 p.m.	Judiciary of Guam	25
2016 Passport to Services Outreach	May 6, 2016 Hagatna Community Center 9 a.m. - 3 p.m.	Guam Homeless Coalition and Partners	63
Foster Care and Mental Health Month Wave	May 6, 2016 Marine Drive in front of ITC Building, Tamuning 4:00 p.m. - 5:30 p.m.	Department of Public Health and Social Services, Bureau of Social Services Administration, and Guam Behavioral Health and Wellness Center	-

5th Annual Guam Conference on Aging	May 26, 2016 Pacific Star Hotel, Tumon 8 a.m. - 2 p.m.	Department of Public Health and Social Services, Division of Senior Citizens	434
3rd Annual Senior Citizens Month Outreach & Health Fair 2016	June 11, 2016 Micronesia Mall - Center Court 10 a.m. - 2 p.m.	Department of Public Health and Social Services, Division of Senior Citizens, Guam Marianas Lions Club and Lions Club International – District 204	150
		Total Participants for all Outreach Events	**934**

BAPS also conducted 66 awareness presentations to agencies and individuals who deliver services to seniors and adults with a disability in the community, to include home-based and facility-based settings such as the 12 Senior Citizen Centers, Victim Advocates Reaching Out (VARO), Guam Memorial Hospital Skilled Nursing Unit, Guam Regional Medical City, In-Home Services Program, Case Management Services Program, Dededo Mayor's Office, Guma Asusena Group Home, St. Dominic's Senior Care Home, the three (3) Adult Day Care Centers, and volunteers for the 2016 Point-In-Time Homeless Count, and to advocates attending the 2016 kNOw MORE: Speak Up Against Sexual Assault & Domestic Violence Guam Coalition Against Sexual Assault and Family Violence Conference.



Photo (1): BAPS Social Workers William Reyes and Eileen Escalera at the *2016 Guam Coalition Against Sexual Assault and Family Violence Wave* on January 26, 2016.

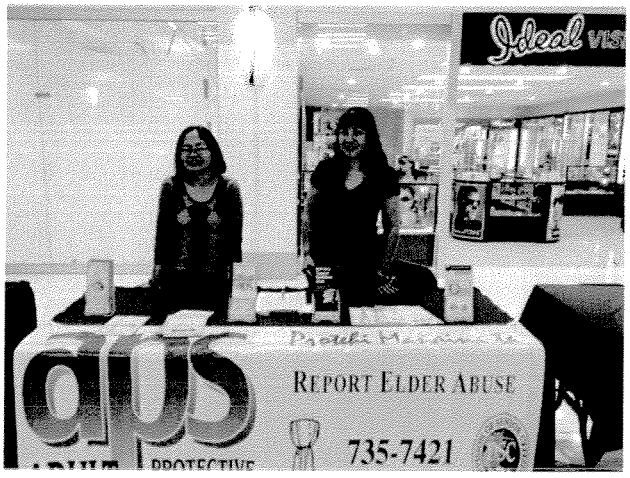


Photo (2): BAPS Social Worker Evelyn Cruz and Social Service Supervisor Jocelynn Cruz at the *Guam Sexual Assault Awareness Outreach* on April 30, 2016.

Additionally, the Ombudsman made monthly visits to St. Dominic's Senior Care Home, GMH Skilled Nursing Unit, and the three (3) Adult Day Care Centers and quarterly visits to the Guma Asusena Group Home for a total of 64 Ombudsman visits conducted. A total of 64 awareness presentations were conducted at the aforementioned sites for residents, clients, family members,

caregivers and staff. In FY 2016, a total of eight (8) Ombudsman cases were received and resolved.

As in prior years, BAPS attended and participated in various meetings for the following Council, Coalitions, and Committees in FY 2016:

1. Healing Hearts Sexual Assault Response Team (SART) Steering Committee;
2. Guam Homeless Coalition;
3. 2016 Senior Citizen's Central Planning Committee;
4. Guam Developmental Disabilities Council; and
5. Human Trafficking Task Force, Victims Services and Crisis Intervention and Treatment Subcommittee held as scheduled by the U.S Attorney's Office, Districts of Guam and the Northern Mariana Islands (NMI).

BUILDING STAFF CAPACITY:

In a continual effort to build the Bureau's capacity and professional development of its employees, the BAPS attended the following trainings in FY 2016:

1. January 19, 2016: BAPS staff attended the mandatory *HIPPA Training* conducted by Dr. Susan Kaneshiro.
2. January 29, 2016: Social Workers Evelyn Cruz and Eileen Escalera and BAPS Supervisor Jocelynn Cruz participated in a listening call/webinar on Introduction to the "*Tools for Risk Intervention and Outcome*".
3. February 17, 2016: BAPS Supervisor Jocelynn Cruz attended WebEx *2016 Fiscal Training* conducted by Ms. Fong Yee, Fiscal Operations Specialist, Administration for Community Living, Region IX.
4. August 20, 2016: Social Workers Evelyn Cruz and William Reyes attended the Guam Coalition Against Sexual Assault and Family Violence (GCASAFV) *Community Advocate Training.*
5. September 26, 2016: Social Worker Eileen Escalera completed an *Introductory Excel 2010 Course* at the New Horizons Computer Learning Center.

HIGHLIGHT OF FY 2016:

GRANT AWARD NOTICE: DEPARTMENT OF JUSTICE (DOJ), OFFICE OF VIOLENCE AGAINST WOMEN (OVW), ENHANCED TRAINING AND SERVICES TO END ABUSE IN LATER LIFE PROGRAM.

On February 12, 2016, the Division of Senior Citizens, DPHSS along with other key partner agencies of the Guam Coalition Against Sexual Assault and Family Violence (GCASAFV) submitted a grant to the U.S. Department of Justice (DOJ), Office of Violence Against Women (OVW) to administer the *"Enhanced Training and Services to End Abuse In Later Life Program"*. The team was comprised of representatives from the DPHSS, Division of Senior Citizens, Bureau of Adult Protective Services (DSC, BAPS); Guam Police Department; Office of the Attorney General, Prosecution Division; GCASAFV; Catholic Social Service, Alee Shelter;

Judiciary of Guam; and the Governor's Community Outreach-Federal Programs Office. In submitting the grant application, the team developed a local program name, the TASI Project, which stands for *Training & Advocacy Services Initiative.* On September 15, 2016, the U. S. Department of Justice, Office of Violence Against Women (OVW), issued the notice of grant award in the amount of $375,000.00 to the DPHSS/DSC for the administration of the grant for a period of 36 months.

The goal of the TASI Project is to enhance collaboration to better coordinate community responses to victims of elder abuse. BAPS will collaborate with its key project partners to provide training opportunities to assist law enforcement, prosecutors, government agencies, and population specific organizations, victim service providers and advocates, in recognizing and addressing instances of elder abuse; provide or enhance services for victims of abuse in later life, including domestic violence, dating violence, sexual assault, stalking, exploitation, and neglect; establish or support multidisciplinary collaborative community responses to victims of abuse in later life; conduct cross-training for law enforcement agencies, prosecutors, agencies or units of local government, attorneys, health care providers, population specific organizations, faith-based advocates, victim service providers, and courts to better serve victims of abuse in late life, individuals 50 years and older.



Photo (3): Division of Senior Citizens Administrator, Mr. Arthur U. San Agustin and Guam Coalition Against Sexual Assualt and Family Violence Executive Director Ms. Cynthia Cabot finalizing *Enhanced Services to End Abuse in Later Life Program* Grant Application for submission to OVW.

II. STATISTICAL INFORMATION ON THE NUMBER AND TYPES OF REPORTS RECEIVED DURING THE YEAR FOR BAPS

BUREAU OF ADULT PROTECTIVE SERVICES (BAPS): In FY 2016, the BAPS responded to 205 Unduplicated Referrals/Intakes, averaging 17 cases per month. The months with the highest number of Referrals/Intakes received were April 2016 with 30 or 14% and August 2016 with 24 or 12% and the lowest being November 2015 with 8 Referrals/Intakes or approximately 4%. All 205 Referrals/Intakes received were determined to be appropriate for investigation by BAPS. The client profile of the 205 appropriate Referrals/Intakes investigated by the BAPS is illustrated in **Table 2. Client Profile**.

Table 2. Client Profile.

Client Ethnicity	Elderly		Adult With a Disability		Elderly With a Disability (Dual)		YTD by Ethnic Group
	Male	Female	Male	Female	Male	Female	
African	-	-	-	1	-	-	1
American Indian	1	-	-	-	-	-	1
Black	-	-		-	1	-	1
Chamorro	7	19	15	19	29	48	137
Chuukese	-	-	1	5	-	1	7
Filipino	5	5	4	5	7	8	34
Hawaiian	-	-	-	-	-	1	1
Indian	-	-	-	-	3	-	3
Korean	1	-	-	-	-	-	1
Mexican	-	-	1	-	-	-	1
Palauan	-	-	-	1	-	2	3
Yapese	-	-	-	1	-	2	3
White	-	-	1	4	3	4	12
YTD by Gender	14	24	22	36	43	66	**205**

- Of the 205 appropriate Referrals/Intakes received:
  - 147 or 72% were Elderly [comprised of Elderly and Elderly with a Disability (Dual)];
    - Of the 147 Elderly Referrals/Intakes investigated,
      - 38 or 26% were Elderly
      - 109 or 74% were Elderly with a Disability (Dual)
  - 58 or 28% were for Adults with Disabilities.

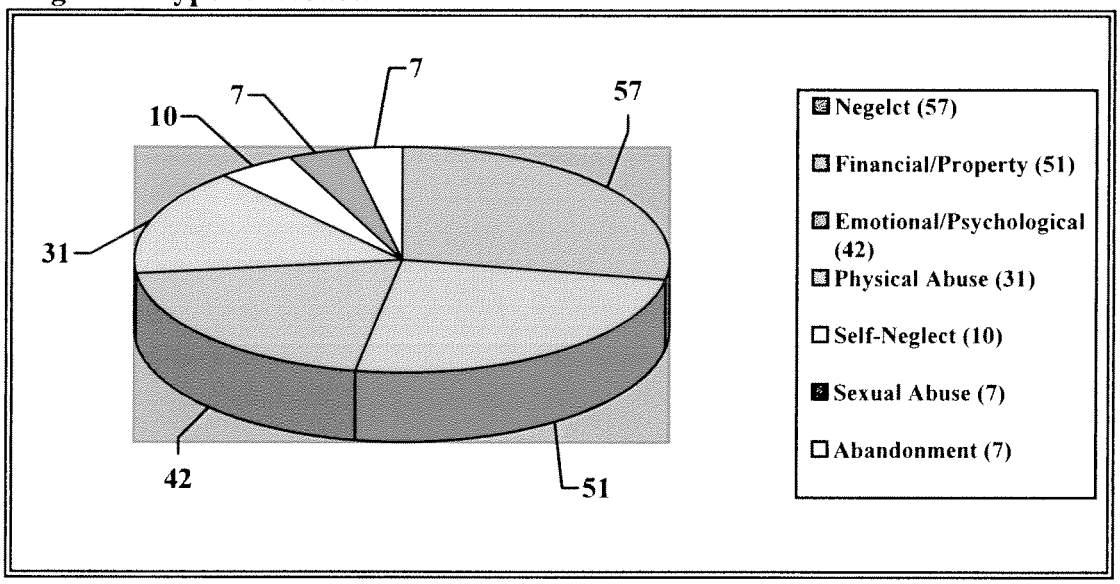
- Of the 205 appropriate Referrals/Intakes received:
  - 126 or 61% were females; and
  - 79 or 39% were males.

- Of the 205 appropriate Referrals/Intakes received, the three (3) highest ethnic groups are Chamorro at 137 or 67%, followed by Filipino at 34 or 16%, and White at 12 or 6%.

- The remaining 22 or 11% were comprised of 10 ethnic groups: 7 Chuukese, 3 Indian, 3 Palauan, 3 Yapese, and 1 each for African, American Indian, Black, Hawaiian, Korean, and Mexican.

A review of the 205 appropriate Referrals/Intakes received revealed the BAPS staff responded to a range of abuses against the elderly and adults with a disability. The 205 appropriate Referrals/Intakes as categorized by type of abuse are illustrated in **Figure 1. Types of Abuse**.

Figure 1. Types of Abuse.



- Of the 205 appropriate Referrals/Intakes received:
  - 57 or 27% were for Neglect
  - 51 or 25% were for Financial/Property Exploitation
  - 42 or 20% were for Emotional/Psychological Abuse
  - 31 or 15% were for Physical Abuse
  - 10 or 5% were for Self-Neglect
  - 7 or 4% were for Sexual Abuse
  - 7 or 4% were for Abandonment

Of the 205 case investigations conducted by BAPS, 20 or 10% of the Referrals/Intakes received were substantiated and 183 or 90% were unsubstantiated.

The compilation of BAPS Units of Service resulted in a total of 5,034 Units of Services performed by the BAPS this FY 2016. As illustrated below in **Table 3. BAPS Units of Service.**, BAPS provided: 205 Unduplicated Referrals/Intakes Received, 2,595 Phone Calls, 91 Office Visits, 405 Home Visits, 1,186 Information and Assistance, 476 Collateral Contacts and 10 Outreach Activities and 66 Presentations.

Table 3. BAPS Units of Service.

Fiscal Year 2016	YTD Total
Unduplicated Referrals/Intakes Received	205
Phone Calls	2,595
Office Visits	91
Home Visits	405
Information and Assistance	1,186
Collateral Contacts	476
Outreach Activities	10

Presentations	66
Total Units of Services Performed	**5,034**

GUMA SERENIDAD PROGRAM: In FY 2016, the contracted Guma Serenidad program staff responded to 30 Unduplicated Referrals/Intakes, averaging 2.5 cases per month. All 30 Referrals/Intakes received were appropriate Referrals/Intakes for investigation. **Table 4. Client Profile**. illustrates the client profile of the 30 appropriate Referrals/Intakes investigated by Guma Serenidad:

Table 4. Client Profile.

Client Ethnicity	Elderly		Adult With a Disability		Elderly With a Disability (Dual)		YTD by Ethnic Group
	Male	Female	Male	Female	Male	Female	
Chamorro	-	1	1	3	5	11	21
Chuukese	-	-	1	-	1	1	3
Filipino	1	1	-	-	-	2	4
Indian	-	-	-	-	1	-	1
White	1	-	-	-	-	-	1
YTD by Gender	2	2	2	3	7	14	**30**

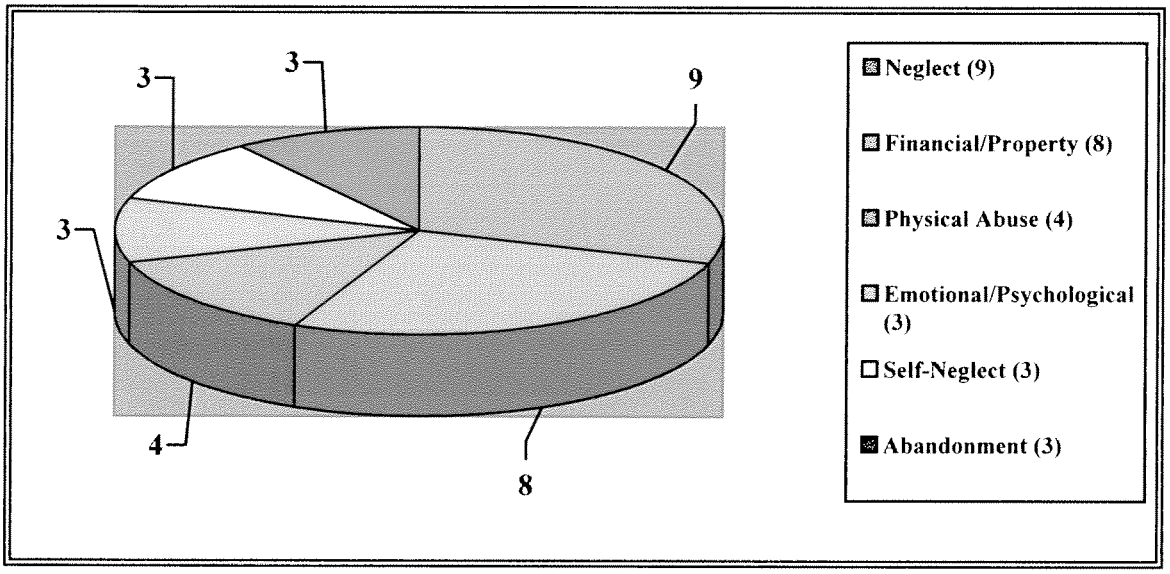
- Of the 30 appropriate Referrals/Intakes received:
  - 25 or 83% were Elderly [comprised of Elderly and Elderly with a Disability (Dual)];
    - Of the 25 Elderly Referrals/Intakes investigated,
      - 4 or 16% were Elderly
      - 21 or 84% were Elderly with a Disability (Dual)
  - 5 or 17% were for Adults with Disabilities.

- Of the 30 appropriate referrals/intakes received:
  - 19 or 63% of the appropriate referrals/intakes were females
  - 11 or 37% were males.

- Of the 30 appropriate Referrals/Intakes received, 21 or 70% were Chamorro, followed by Filipino at 4 or 13%, Chuukese at 3 or 10%, and 1 Indian and 1 White for a combined 7%.

The 30 appropriate referrals/intakes as categorized by Types of Abuse are illustrated in **Figure 2. Types of Abuse**.

Figure 2. Types of Abuse.



- Of the 30 appropriate Referrals/Intakes received:
  - 9 or 30% were for Neglect
  - 8 or 27% were for Financial/Property Exploitation
  - 4 or 13% were for Physical Abuse
  - 3 or 10% were for Emotional or Psychological Abuse
  - 3 or 10% were for Self-Neglect
  - 3 or 10% were for Abandonment

As a result of case investigations, five (5) or approximately 17% of the appropriate Referrals/Intakes received and investigated by the Guma Serindad Social Worker were substantiated and 25 or 83% were unsubstantiated.

There were eight (8) unduplicated clients who required protective shelter in Guma Serenidad during FY 2016. Of the eight (8) clients admitted into Guma Serenidad, two (2) were a carry-over from FY 2015, both clients were elderly males with a disability. **Table 5. Clients Admitted into Guma Serenidad in FY 2016.** illustrates the profile of clients admitted into Guam Serenidad in FY 2016.

Table 5. Clients Admitted into the Guma Serenidad in FY 2016.

Client Ethnicity	Elderly		Adult With a Disability		Elderly With a Disability (Dual)		YTD by Ethnic Group
	Male	Female	Male	Female	Male	Female	
Chamorro	-	-	1	-	3	2	6
Filipino	-	-	-	-	1	1	2
YTD by Gender	-	-	1	-	4	3	**8**

- Of the eight (8) clients admitted in to the ERH:
  - 7 or 88% were Elderly;
  - 1 or 13% was an Adult with a Disability.

  - 3 or 38% were Elderly female;
  - 5 or 62% were males, 1 Adult with a Disability and 4 Elderly with a Disability (Dual).

  - 6 or 75% were Chamorro and 2 or 25% were Filipino.

In FY 2016, the staff of the Guma Serenidad conducted a total of 2,033 Units of Services as follows: 8 admissions, 30 Unduplicated Referrals/Intakes Received, 459 hours of Information and Assistance, provided clients with 451 hours of Case Management, 617 hours of Personal Care, served a total of 466 Meals, and participated in two (2) outreach activities as illustrated in **Table 6. Guma Serenidad Units of Service**.

Table 6. Guma Serenidad Units of Service.

Fiscal Year 2016	**YTD Total**
Admissions at Guma Serenidad	8
Unduplicated Referrals/Intakes Received	30
Information and Assistance	459
Case Management	451
Personal Care Provided	617
Meals Provided	466
Outreach Activities	2
Total Units of Services Performed	**2,033**

Three Year Review of Three Highest Types of Abuse Referred: A review of statistical data of the three (3) highest Types of Abuse received and investigated by the BAPS within the past three (3) fiscal years to include FY 2016 is illustrated in **Table 7. Three Highest Types of Abuse Referred**.

Table 7. Three Highest Types of Abuse Referred.

Fiscal Year	**1st Highest Type**	**2nd Highest Type**	**3rd Highest Type**
FY 2016	66 Neglect	59 Financial/Property	45 Emotional/Psychological
FY 2015	62 Financial/ Property	58 Emotional/Psychological	54 Neglect
FY 2014	87 Emotional/Psychological	71 Financial/Property	59 Neglect

- In FY 2016, Neglect which ranked third highest in FY 2015 ranked highest in FY 2016 with 66 referrals.
- Financial/Property Exploitation which ranked highest in FY 2015 ranked second highest in FY 2016 with 59.

- Emotional/Psychological Abuse which ranked third highest in FY 2015 remains as the third highest with 45 referrals received in FY 2016.
- For all the three (3) years in review, Neglect, Financial/Property Exploitation, and Emotional/Psychological Abuse remain as ranking within the top three types of abuse reported and investigated by BAPS.

III. BUDGET INFORMATION

Expenditures for FY 2016 totaled $676,506.85. A breakdown of FY 2016 expenditures is provided in **Table 7. Expenditures**.

Table 7. Expenditures.

Item	Expenditure	Percentage
Salaries	$166,008.04	24.5%
Benefits	$58,497.43	8.6%
Travel	$2,965.49	0.4%
Contractual Service	$447,837.63	66%
Supplies	$1,198.26	0.2%
Drug Testing	-	-
Telephone	-	-
TOTAL	$676,506.85	

IV. INFORMATION ON THE QUALITY OF SERVICES PROVIDED AND THE RESULTS OF SUCH SERVICE IN TERMS OF ALLEVIATING ABUSE

Currently, the BAPS continues to meet the mandates of Public Law 31-278 by providing intervention in abusive situations and assisting clients and their families in obtaining supportive social services, as practicable. Oftentimes, the BAPS serves as the entry point into Guam's long term services and support system for elderly or adults with disabilities. It is the experience of the BAPS social workers that abuse and neglect are not always intentional, but rather caused by caregiver stress and a lack of knowledge on how to care for their elderly parents or family member who is an adult with disabilities. Through BAPS intervention, caregivers are provided with information on caregiving and linkage to relevant support systems. In most instances, the needs of the APS clients are met thus, alleviating the abusive situation. Although there were 25 cases substantiated in FY 2016, none merited being forwarded to the Office of the Attorney General for further disposition as the clients did not want to file a police report or pursue criminal charges.

The BAPS addresses abuse from a social service as opposed to a law enforcement perspective. As such, this allows clients to make informed decisions as to how they can help themselves alleviate their abusive situation. The clients' basic right to self-determination is promoted while maintaining their integrity and dignity. Without the BAPS, the only recourse for elderly and

adults with disabilities would be assistance from the Guam Police Department. Many incidences of abuse would not be addressed as BAPS clients are reluctant to use police enforcement as a means of resolution, especially in cases involving family members or their primary caregiver. Successful intervention is a continual learning experience of family dynamics, linking available community resources and having a neutral party facilitate through the emotionally laden journey; this has proven to be the most effective means for BAPS to serve their clients.

An important component of the BAPS is the Guma Serenidad/Crisis Intervention Hotline program. Through the Crisis Intervention Hotline, protective services are available seven days a week, twenty-four hours a day, ensuring that elderly and adults with disabilities have access to BAPS services at all times. Availability of the Guma Serenidad has proven essential to the safety of victims of abuse and neglect. The protective shelter affords a safe haven during a crisis. Without the shelter, clients of BAPS would probably remain in the abusive home environment or be placed into a homeless shelter until alternate living arrangements are made.

V. Identification of Problems That May Arise in the Implementation of This Article

1. Public Law 31-278 provides for mandated reporting of suspected elderly or adults with disabilities abuse or neglect for professionals who in the course of their employment come into contact with elderly and persons with disabilities. The law further provides immunity from liability for those individuals who make reports in good faith. However, the law does not provide sanctions for the falsifying of reports. During the course of case investigations, it has been determined by APS social workers that certain case referrals were not made in good faith. Absent any provision for penalties for falsifying reports under this provision, there does not appear to be any means to discourage this action on the part of individuals committing such an act.

2. Public Law 31-278 does not address alleged perpetrators who refuse to cooperate in an APS investigation. Further, APS does not possess the authority to require alleged perpetrators to cooperate with APS investigations which is a contributing variable resulting in higher percentage of inconclusive investigations (unsubstantiated).

3. Although P.L. 31-278 defines the types of abuses in the mandate, the mandate needs to add penalties for substantiated cases of abuse or neglect specific to elders or adults with disabilities, in cases of abandonment, emotional/psychological abuse and neglect. Substantiated cases of physical or sexual abuse are criminal in nature and are forwarded to the Attorney General's Office for their disposition. The Attorney General may investigate and decide whether to initiate criminal proceedings. Cases of substantiated financial or property exploitation can be referred to other legal avenues for possible recourse; however, substantiated cases of abandonment, emotional/psychological abuse and neglect which do not fall under any laws and as such, carry no penalties.

4. Expansion of Services. During the investigations, especially of alleged neglect situations, it

has been determined that the neglect of APS clients was not intentional but rather due to lack of services to support family members in their role as caregivers or to assist an adult individual living in the community requiring some level of formal support that cannot be readily provided by family or friends, either due to limited or competing demands the family is managing or the local resource is limited or non-existent.

5. Long Term Care. Long term care needs for the elderly and adults with disabilities is a major challenge for APS clients and their families; clients who do not have family members to care for them on a long term basis. Additionally, many families require to be gainfully employed to maintain their household while the elderly and adults with disabilities often require supervised care, thus, families are constantly challenged with providing care for them in their homes. The Guma Serenidad Program noted concerns in transitioning clients out of the home or protective shelter due to the lack of family support or long term care facilities.

   Although the maximum stay for clients is 45 days, some individuals have been in protective shelter for more than 90 days while remaining on a waitlist for transition into St. Dominic's or other home and community based program. Given the current trend of clients admitted to the protective shelter, clients will probably exceed the 45 day limit due to weakened or non-existent family support, the absence or limited community-based service programs that could support the individual to be reintegrated into their former setting or be placed in an alternate appropriate setting. The need for long term housing of clients may result in clients being rotated among shelter residential type programs with the client(s) being admitted into the existing array of shelter services multiple times. This situation requires a permanent and responsive solution to this growing need in our community, assisted living facilities would be an option to explore.

VI. Recommendations for Action on the Part of the Legislature Whenever Deemed Vital for the Protection of the Elderly and Adults with a Disability

As recommended in prior year reports, legislation needs to be enacted to expand and fund programs for independent living, alternative/transitional homes and assisted living facilities (non-medical) to address the needs of clients admitted into the protective shelter during crisis, but due to limited resources and services in our community, are difficult to transition out of the protective shelter when protective care is no longer the primary basis for shelter services. During the past year, clients requiring such services were placed at the shelter while awaiting transition into St. Dominic's or to another non-emergency community based residential program on-island and off-island, specifically, Manila Philippines.

Prepared By:

12/27/16

Jocelynn DR Cruz
Social Services Supervisor I

Reviewed By:

12-27-16

Arthur U. San Agustin, MHR
Senior Citizens Administrator

GOVERNMENT OF GUAM

DEPARTMENT OF PUBLIC HEALTH AND SOCIAL SERVICES

DIPATTAMENTON SALUT PUPBLEKO YAN SETBISION SUSIAT

EDWARD J.B CALVO
GOVERNOR

RAYMOND S. TENORIO
LIEUTENANT GOVERNOR

JAMES W. GILLAN
DIRECTOR

LEO G. CASIL
DEPUTY DIRECTOR

DEC 2 3 2016

The Honorable Judith T. Won Pat, Ed.D.
Speaker
Guam Legislature
155 Hesler Place
Hagatna, Guam 96910

Dear Speaker Won Pat:

Submitted for your perusal, please find the Guam Cancer Assistance Treatment (GCAT) Program monthly expenditure report processed for the month of **NOVEMBER 2016** as mandated by P.L. 31-39 (GCAT) Program, Section 2. §81113(b)(1) Chapter 81 of Title 12, Guam Code Annotated.

If you should have any questions, please contact Janet B. Cruz, Management Analyst IV, Bureau of Health Care Financing Administration, Division of Public Welfare, at 735-7471.

Sincerely,

for Leo G. Casil
JAMES W. GILLAN

33-16-2309
Office of the Speaker
Judith T. Won Pat, Ed.D
Date: 12-28-16
Time: 3:04pm
Received By:

Attachments

123 CHALAN KARETA, MANGILAO, GUAM 96913-6304
www.dphss.guam.gov • Ph.: 1.671.735.7102 • Fax: 1.671.734.5910

DEPARTMENT OF PUBLIC HEALTH AND SOCIAL SERVICES
DIVISION OF PUBLIC WELFARE
BUREAU OF HEALTH CARE FINANCING ADMINISTRATION

GUAM CANCER ASSISTANCE AND TREATMENT PROGRAM (GCAT)
MONTHLY EXPENDITURE BREAKDOWN
FISCAL YEAR : 2017

			TOTAL APPROPRIATION:	$ 648,393.05

MONTH:	Travel (220)	Misc. Payment (290)	TOTAL MONTHLY EXPENDITURE BY OBJECT CLASS:	Expenditures (Running Total)	Available Balance:
Available Balance @FY17	$ -	$ 648,393.05	$ -	$ -	$ 648,393.05
OCTOBER 16	$ -	$ -	$ -	$ -	$ 648,393.05
NOVEMBER 16	$ -	$ -	$ -	$ -	$ 648,393.05
DECEMBER 16	$ -	$ -	$ -	$ -	$ 648,393.05
JANUARY 17	$ -	$ -	$ -	$ -	$ 648,393.05
FEBRUARY 17	$ -	$ -	$ -	$ -	$ 648,393.05
MARCH 17	$ -	$ -	$ -	$ -	$ 648,393.05
APRIL 17	$ -	$ -	$ -	$ -	$ 648,393.05
MAY 17	$ -	$ -	$ -	$ -	$ 648,393.05
JUNE 17	$ -	$ -	$ -	$ -	$ 648,393.05
JULY 17	$ -	$ -	$ -	$ -	$ 648,393.05
AUGUST 17	$ -	$ -	$ -	$ -	$ 648,393.05
SEPTEMBER 17	$ -	$ -	$ -	$ -	$ 648,393.05
TOTAL EXPENDITURES FY 2017					**$ 648,393.05**
TRAVEL 220	$ -				
MISC PAYMENTS 290	$ -		$ -		

*10.31.2016 = No Activity This Reporting Period
*11.30.2016 = No Activity This Reporting Period
*12.31.2016 = No Activity This Reporting Period

DEPARTMENT OF PUBLIC HEALTH AND SOCIAL SERVICES
DIVISION OF PUBLIC WELFARE
BUREAU OF HEALTH CARE FINANCING ADMINISTRATION

GUAM CANCER ASSISTANCE AND TREATMENT PROGRAM (GCAT)
ALLOTMENT AND EXPENDITURE REPORT
FY 2017
MONTH OF: NOVEMBER 2016
5100C141722MA006

OBJECT CLASS:	TOTAL APPROPRIATION:	CURRENT EXPENDITURE AMOUNT:	PREVIOUS EXPENDITURE AMOUNT:	TOTAL NUMBER OF CLAIMS	AVAILABLE BALANCE	UNPOSTED CLAIMS BALANCE:
Travel (220)	$ -	$ -	$ -	NA	$ -	$ -
Misc. Payment (290)	$ 648,393.05		$ -		$ 648,393.05	$ -

** EXPENDITURES : Miscellaneous Payments (oc290)

VENDOR NAME:	BATCH NUMBER / DATE:	VENDOR NUMBER	TOTAL:
No Activity, month of October 2016			
No Activity, month of November 2015			
		TOTAL EXPENDITURES:	$ -

~~NOTE : GCAT - Claims charged against the GCAT Account (5641C111722MA203290) as per P.L. 31-39.~~

NOTE : GCAT - Claims charged against the GCAT Account (5100C141722MA006290) as per P.L. 32.179.

GHC
Kotporasion Ginima' Guahan

GUAM HOUSING CORPORATION
P.O. Box 3157 Hagåtña, Guam 96932

33-16-2310
Office of the Speaker
Judith T. Won Pat, Ed.D
Date: 12/28/16
Time: 3:39 pm
Received By:

December 28, 2016

Honorable Eddie Baza Calvo
Governor of Guam
P.O. Box 2950
Hagåtña, Guam 96932

Honorable Judith T. Won Pat
Speaker

253 West O'Brien Drive
Hagåtña, Guam 96910

RE: PL: 33-185 Reporting Requirements

Dear Governor Calvo & Speaker Won Pat:

Attached is a copy of Guam Housing Corporation's November 2016 Unaudited Statement of Revenue and Expenditure as required by PL 33-185 Chapter XIII Section 4.

If you have any questions or concerns regarding the above, please do not hesitate to call me at 647-4143 ext. 134.

Sincerely,

Michael G. Martinez
Acting President

590 S. Marine Corps Drive, Ste. 514 ITC Building, Tamuning, Guam 96931
Telephone Number (671) 647-4143/46 • Fax Number (671) 649-4144

Guam Housing Corporation

Statement of Revenues, Expenses and Changes in Net Position

From 11/1/2016 Through 11/30/2016

	Current Period Actual	Prior Year Current Period Actual
Operating Revenues:		
Interest on loans receivable	108,099.15	110,168.40
Rental Income	79,400.00	78,656.63
Interest on investments held by bond trustees	(2,938.53)	8,566.69
Loan origination fees/cost, net	3,860.41	0.00
Late fees, service fees & return check fees	2,524.00	2,729.91
Total Operating Revenues:	190,945.03	200,121.63
Operating Expenses:		
Interest expense MRB	18,851.25	19,609.17
Interest expense notes payable	0.00	0.00
Salaries	98,434.58	98,030.40
Bad debts and provision for loan losses	0.00	0.00
Retirement contributions	28,568.33	30,076.15
Retiree supplemental, cola & health benefits	17,493.75	17,318.55
First Time Homeowner's Assistance Program	0.00	9,577.81
Depreciation	14,778.17	15,426.26
Contractual services	13,365.84	6,233.31
Professional services	5,449.50	9,489.73
Rent	8,754.50	8,754.50
Other	4,459.88	3,434.02
Travel	0.00	0.00
Employee benefits, other than retirement	5,892.26	6,587.24
Maintenance	5,831.16	13,839.33
Bond trustee fees	1,226.00	1,226.00
Directors' fees	0.00	400.00
Loss on impaired asset or disposal of assets	0.00	0.00
Total Operating Expenses:	223,105.22	240,002.47
Non-operating Revenue (Expenses)		
Interest income on bank deposits	1,476.60	1,386.77
Gain/(loss) on assets	0.00	0.00
Other income	260.00	100.00
Total Non-operating Revenue (Expenses)	1,736.60	1,486.77
Change in net position	(30,423.59)	(38,394.07)
Total net position at beginning of month	37,307,048.97	37,598,156.75
Net position at end of year	37,276,625.38	37,559,762.68

Guam Housing Corporation

Statement of Revenues, Expenses and Changes in Net Position

From 10/1/2016 Through 11/30/2016

	Current Period Actual	Prior Year Current Period Actual
Operating Revenues:		
Interest on loans receivable	227,572.36	237,445.21
Rental Income	159,176.71	164,405.05
Interest on investments held by bond trustees	3,110.60	16,646.32
Loan origination fees/cost, net	13,638.89	0.00
Late fees, service fees & return check fees	5,960.54	6,330.61
Total Operating Revenues:	409,459.10	424,827.19
Operating Expenses:		
Interest expense MRB	37,702.50	39,218.34
Interest expense notes payable	0.00	0.00
Salaries	188,662.53	209,853.13
Bad debts and provision for loan losses	0.00	0.00
Retirement contributions	55,775.90	62,267.03
Retiree supplemental, cola & health benefits	35,031.86	34,666.66
First Time Homeowner's Assistance Program	0.00	9,577.81
Depreciation	29,556.34	30,852.52
Contractual services	16,514.97	15,945.50
Professional services	11,719.00	18,039.74
Rent	17,509.00	17,509.00
Other	6,964.12	7,263.56
Travel	0.00	0.00
Employee benefits, other than retirement	11,492.20	13,460.18
Maintenance	6,305.90	14,804.57
Bond trustee fees	2,347.00	2,452.00
Directors' fees	200.00	800.00
Loss on impaired asset or disposal of assets	0.00	0.00
Total Operating Expenses:	419,781.32	476,710.04
Non-operating Revenue (Expenses)		
Interest income on bank deposits	3,078.97	2,717.42
Gain/(loss) on assets	0.00	0.00
Other income	320.00	210.00
Total Non-operating Revenue (Expenses)	3,398.97	2,927.42
Change in net position	(6,923.25)	(48,955.43)
Total net position at beginning of month	37,283,548.63	37,608,718.11
Net position at end of year	37,276,625.38	37,559,762.68

LEGISCATURE 1

ACKNOWLEDGMENT OF RECEIPT
SUMMARY ZONE CHANGE PACKET

on

Lot 4, Block 1, Tract 1449
Municipality of Mangilao
for
Rodrigo G. and Edna D. Cortez

APPLICATION NO: SZC 2015-41

Legislative Secretary
33rd Guam Legislature

Signature: Clarissa Aguon

Name (print): CLARISSA AGUON

12/22/16 4:20pm
Date / Time

Building Official - Director's Office,
Department of Public Works

Signature: _____

Name (print): _____

_____
Date / Time

RECEIVED
DEC 21 2016
DEPARTMENT OF
PUBLIC WORKS

FOR RECORDATION ONLY:
Deputy Civil Registrar

[ ]

NOT APPLICABLE

SEE ATTACHED NOTICE OF ACTION

Building Official - Building Permits,
Department of Public Works

_____
Signature

_____
Name (print)

_____
Date / Time

[ ]

Name of Applicant and or Representative

_____
Signature

_____/_____

33-16-2311
Office of the Speaker
Judith T. Won Pat, Ed.D
Date: 12-29-16
Time: 9:25 am
Received By: [illegible]

DIPÅTTAMENTON MINANEHAN TÅNO'
(Department of Land Management)
GUBETNAMENTON GUÅHAN
(Government of Guam)

EDDIE BAZA CALVO
Governor

RAY TENORIO
Lieutenant Governor

MICHAEL J.B. BORJA
Director

DAVID V. CAMACHO
Deputy Director

Street Address:
590 S. Marine Corps Drive
Suite 733 ITC Building
Tamuning, GU 96913

Mailing Address:
P.O. Box 2950
Hagåtña, GU 96932

Website:
http://land.guam.gov

E-mail Address:
dlmdir@land.guam.gov

Telephone:
671-649-LAND (5263)

Facsimile:
671-649-5383

January 5, 2016

Honorable Senator Tina Rose Muna-Barnes
Legislative Secretary, 33th Guam Legislature
155 Hessler Place
Hagåtña, Guam 96932

Re: **Summary Zone Change Application No. SZC 2015-41**
Lot 4, Block 1, Tract 1449, Municipality of Mangilao;
for Rodrigo D. and Edna G. Cortez

Buenas Yan Hafa Adai, Honorable Senator Tina Muna-Barnes:

Pursuant to 21 GCA (Real Property), Chapter 61 (Zoning Law), Part 3 (Changes of Zones), Section 61639 (Summary Procedure for Agricultural and Single - Family Residential Rezoning 3 and Executive Order 92-08 (Establishing Interim Rules and Regulations for Individual, Small Landowner Zone Changes), the Department of Land Management is herewith submitting to the Legislature, a Zone Change application for **Lot 4, Block 1, Tract 1449, Municipality Mangilao, from "A" (Agricultural) to "R-1" (Single - Family Dwelling) Zone in order to subdivide the basic lot into Three (3) lots and construct affordable homes.**

As such, I have **APPROVED WITH CONDITIONS** **the Zone Change.**

Your expeditious response on this action is greatly appreciated.

Senseramente,

MICHAEL JB BORJA
Director

MQA
PCG; w/Attachment(s):
1. Staff Report and Notice of Action
2. Certifications of Utility Agencies &Application Review Committee (ARC)
3. Zone Change Application with supporting documents
4. Amendment Map No. 78; Zoning Map No. F3 67 S40
5. MPC Resolution, Mangilao



SUMMARY ZONE CHANGE
APPLICATION NO. 2015-41
LOT 4, BLOCK 1, TRACT 1449
MUNICIPALITY OF MANGILAO

Space for Recordation

Land of Guam, Government of Guam
Department of Land Management Officer of the Recorder

For Recording Instrument No. 887467

Year '01 Month 06 Day 14 Time 2:02

DE-OFFICIO

Lisa M. Quitano

LISA M. QUITANO

SUMMARY ZONE CHANGE
APPLICATION NO.: 2015-41

APPLICANTS:
RODRIGO G. & EDNA D. CORTEZ

PREPARED ON: OCTOBER 22, 2015

FROM: "A"
(AGRICULTURAL)

TO: "R-1"
(SINGLE-FAMILY DWELLING)

LOT: LOT 4

BLOCK: 1

TRACT: 1449

MUNICIPALITY: MANGILAO

PLACE NAME: N/A

SCALE: N/A

AMENDMENT NO.: A-78

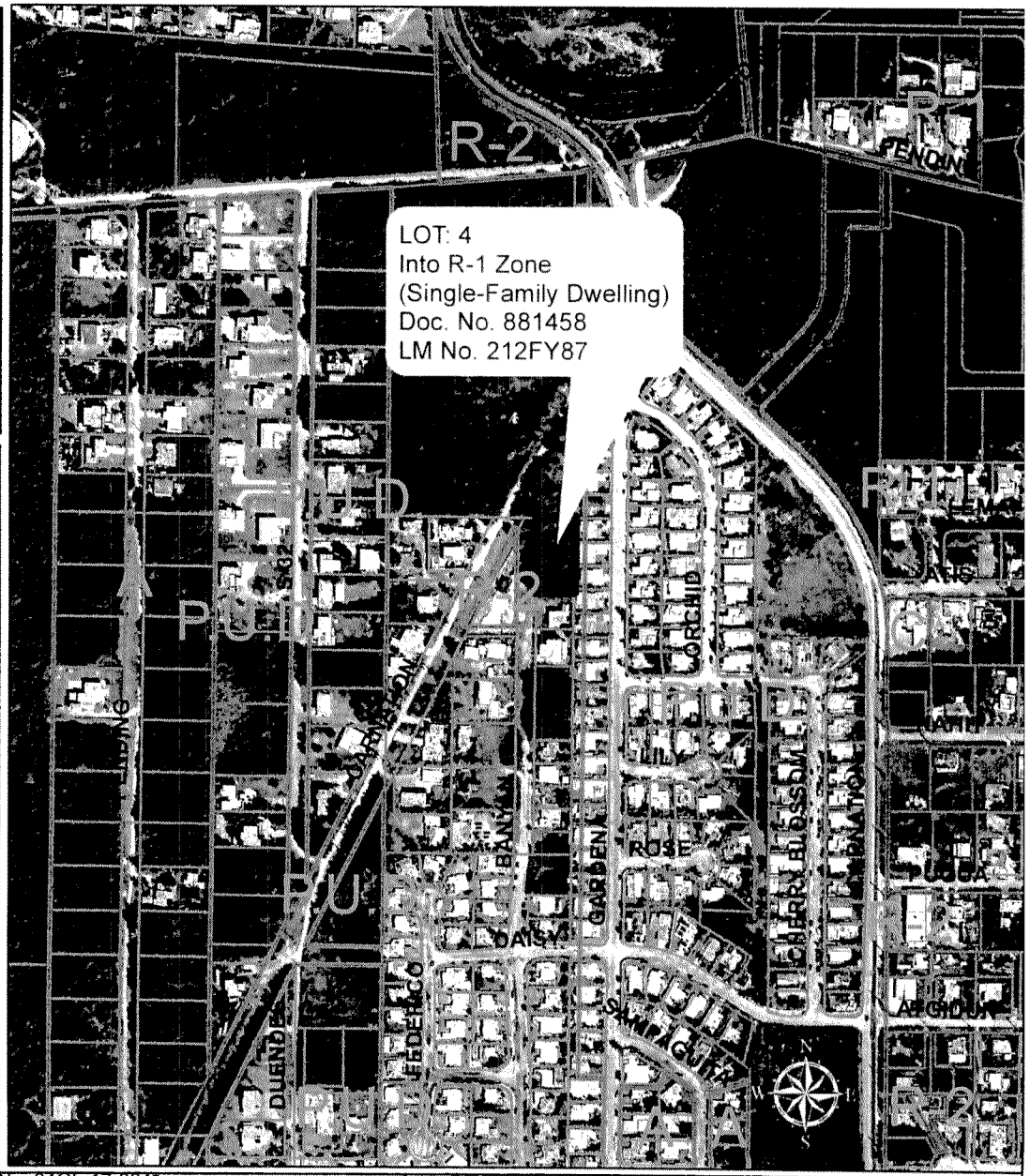
ZONING MAP NO.: F3-67S40

[XX] Approved with conditions as noted in Notice of Action and Pursuant to Title 21 GCA Chapter 61 Section 61639 and Executive Order 98-02.

COPY

1/5/16

MICHAEL J.B. BORJA — DATE
DIRECTOR
DEPARTMENT OF LAND MANAGEMENT



(Space above for Recordation)

SUMMARY ZONE CHANGE

Department of Land Management
Government of Guam
P.O. Box 2950
Hagâtña, Guam 96932

NOTICE OF ACTION

December 17, 2015
Date

To: Rodrigo G. and Edna D. Cortez
P.O. Box 7693
Tamuning, Guam 96931

Application No. 2015-41

The Director of Land Management Pursuant to 21 Guam Code Annotated, Chapter 61, (Zoning Law), Part 3 (Changes of Zone), §61639 (Summary Procedures for Agricultural and Residential rezoning), and Executive Order No. 92-08:

DEPARTMENT ACTION

N/A / APPROVED

XX / **APPROVED WITH CONDITIONS**

N/A/ Disapproved

ZONE CHANGE REQUEST

XX / **FROM "A" (RURAL / AGRICULTURAL) TO "R-1" SINGLE-FAMILY DWELLING; FOR PARCELLING OF BASIC LOT INTO THREE (3) RESIDENTIAL LOTS**

[Ref: Lot 4, Block 1, Tract 1449, Mangilao]

N/A / FROM "**A**" **(RURAL/AGRICULTURAL)** TO "R-2" MULTI-FAMILY DWELLING

N/A / FROM "R-1" (SINGLE-FAMILY DWELLING) TO "R-2" MULTI-FAMILY DWELLING

N/A / OTHER:

Application No. 2015-41

CERTIFICATION OF UNDERSTANDING AND AGREEMENT

I / We Rodrigo D. and Edna D. Cortez / _____
(Applicant [Please print name]) (Representative [Please print name])

I/We _____
(Applicant [Please print name])

Understand and accept the Conditions cited above; and further agree to adhere to all of said Conditions made a part of and/or attached to this Notice of Action as approved by the Director of the Department of Land Management. I/We, acknowledged receipt of this Notice of Action.

I/WE, acknowledged that this Zone Change Approval does not constitute automatic approval or guarantee the issuance of any "**PERMITS**" for the construction of the proposed Development or issuance of any "**LICENSE**" for rentals or leases. I/We further understand that the issuance of any required "**PERMITS**" or "**LICENSE**" shall be contingent on the availability and/or adequacy of infrastructure in the area. Such infrastructure availability and/or adequacy shall be as determined by the appropriate and responsible mandated permitting Agency/Department (i.e., DPW, GEPA, GWA, GPA, PH&SS and GFD, etc).

Signature of Applicant (s) _____ Signature of Representative _____

Date: 1/6/16 Date: _____

ONE (1) COPY OF RECORDED NOTICE OF ACTION RECEIVED BY:

Applicant _____ **Date** 1/6/16 **Representative** _____ **Date** _____

DIPÅTTAMENTON MINANEHAN TÅNO'
(Department of Land Management)
GUBETNAMENTON GUÅHAN
(Government of Guam)

EDDIE BAZA CALVO
Governor

RAY TENORIO
Lieutenant Governor

MICHAEL J.B. BORJA
Director

DAVID V. CAMACHO
Deputy Director

Street Address:
590 S. Marine Corps Drive
Suite 733 ITC Building
Tamuning, GU 96913

Mailing Address:
P.O. Box 2950
Hagåtña, GU 96932

Website:
http://land.guam.gov

E-mail Address:
dlmdir@land.guam.gov

Telephone:
671-649-LAND (5263)

Facsimile:
671-649-5383

January 5, 2016

Honorable Senator Tina Rose Muna-Barnes
Legislative Secretary, 33th Guam Legislature
155 Hessler Place
Hagåtña, Guam 96932

Re: **Summary Zone Change Application No. SZC 2015-41**
Lot 4, Block 1, Tract 1449, Municipality of Mangilao;
for Rodrigo D. and Edna G. Cortez

Buenas Yan Hafa Adai, Honorable Senator Tina Muna-Barnes:

Pursuant to 21 GCA (Real Property), Chapter 61 (Zoning Law), Part 3 (Changes of Zones), Section 61639 (Summary Procedure for Agricultural and Single - Family Residential Rezoning 3 and Executive Order 92-08 (Establishing Interim Rules and Regulations for Individual, Small Landowner Zone Changes), the Department of Land Management is herewith submitting to the Legislature, a Zone Change application for **Lot 4, Block 1, Tract 1449, Municipality Mangilao, from "A" (Agricultural) to "R-1" (Single - Family Dwelling) Zone in order to subdivide the basic lot into Three (3) lots and construct affordable homes.**

As such, I have **APPROVED WITH CONDITIONS** **the Zone Change.**

Your expeditious response on this action is greatly appreciated.

Senseramente,

MICHAEL JB BORJA
Director

MQA
PCG; w/Attachment(s):
1. Staff Report and Notice of Action
2. Certifications of Utility Agencies &Application Review Committee (ARC)
3. Zone Change Application with supporting documents
4. Amendment Map No. 78; Zoning Map No. F3 67 S40
5. MPC Resolution, Mangilao

DIPÅTTAMENTON MINANEHAN TÅNO'
(Department of Land Management)
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January 5, 2016

MEMORANDUM

TO: Director

FROM: Guam Chief Planner

SUBJECT: **Summary Zone Change (SZC) No. 2015-41 ; For Rodrigo D. and Edna D. Cortez**

Buenas Yan Hafa Adai:

Submitted is the SZC Packet for your review and action.

I have reviewed the application and concur with the recommendations of the Case Planner and the Panel of Senior Planners for approval with conditions.

I am available should you have additional questions or need further clarification.

Senseramente,

Marvin Q. Aguilar
Guam Chief Planner

With Attachments

PCG

DIPÅTTAMENTON MINANEHAN TÅNO'
(Department of Land Management)
GUBETNAMENTON GUÅHAN
(Government of Guam)

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671-649-5383

December 17, 2015

To: Director, Department of Land Management

From: Planner IV

Subject: Staff Report - Case No. SZC 2015-41

Re: Summary Zone Change- Lot 4, Block 1, Tract 1449. Municipality of Mangilao; for Rodrigo G. and Edna D. Cortez

1. **PURPOSE:**

a. Application Summary. **The Applicants, Rodrigo G. and Edna D. Cortez, are requesting for a zone change on Lot 4, Block 1, Tract 1449, in the Municipality of Mangilao from "A" (Agricultural) Zone to "R-1" (Single-Family Dwelling) Zone in order to subdivide the basic lot into Three (3) lots and construct affordable homes.**

b. **Legal Authority.** 21 GCA (Real Property), Chapter 61 (Zoning Law), Part 3 (Changes of Zones, Section 61639 (Summary Procedure for Agricultural and Single Family Residential Rezoning) and Executive Order 92-08 (Establishing Interim Rules and Regulations for Individual, Small Landowner Zone Changes), Public Law 21-82:4 as amended by P.L. 21-144:8.

2. **FACTS:**

a. Location. The subject lot is fronting a 50-foot right-of-way (Bunyan Lane) that connect from Daisy Lane (Latte Hts) thru Bunyan Hts Subdivision from the south and is approximately 1,000 feet east of Route No. 26 (Macheche Road near Latte Hts in Mangilao **(see attached vicinity map).**

b. Field Description. The subject lot is vacant . The topography fairly flat and overgrown with vegetation. All public utilities such as sewer, water, power, connections are within 100 feet on the right-of-way.

c. Lot Area. 21,859 square meters or 20,007 square feet

d. Present Zoning. "A" (Agricultural) Zone

100 feet of the public sewer and water connection along the 50-foot right of way which is fronting the subject lot. DLM is mandated by law to continue and process the application and file it to the legislature for their review and further actions.

GPA: The Authority have submitted their Official Certification. The Authority has no objection to the application and the applicant is advised to coordinated with GPA Engineering and Customer Service for all permit requirements and to comply with all requirements pursuant to the National Electric Safety Code and GPA's Service Rules and Regulations.

BoPS: The Bureau have submitted their Official Certification with comments and recommendations and have no objection.

DPR/HPO: The Department of Parks and Recreation and Historical Preservation Officehave submitted their Official Certification with comments and recommendations and have no objection.

(Note): Pursuant to Section 61639 (a)((1), failure to provide the Department of Land Management, from any infrastructure agency as to the availability and/or adequacy of infrastructure (water, power, sewer), within ten (10) working days from receipt of this request, shall constitute an assumption by DLM that the agency not answering the request has no objection to the rezoning.

c. Municipal Public Hearing Results: Pursuant to P.L. 21-144:3, the public hearing on this zone change application has been waived and any hearing is deferred to the Mayor and the Municipal Planning Council of Mangilao.

d. Municipal Planning Council (MPC) Resolution: The Mayor of Mangilao received a copy of the application for the proposed development by Mr. and Mrs. Cortez. An MPC hearing was conducted on November 4, 2015, at 6:00 pm, at the Senior Citizen Center and discussed the application and all voted to support the application for the zone change and proposed development. An MPC Resolution No. 16-01, from the Mangilao Municipal Planning Council supporting the application was received by DLM on November 30, 2015.

Nonito "Nito" C. Blas
Mayor

Allan "Al" R.G. Ungacta
Vice Mayor

Office of the Mayor
Municipality of Mangilao

MPC RESOLUTION 16-01
SPONSORED BY:

Nonito C. Blas	Tomas S.N. Chargualaf	Darlene N. Taitano
Juan A. Aguon	Oscar L. G. Jesus	Joseph A. Yatar
Ben Carbullido	Bobby Pelky	Carmen C. Aguon

Relative to the Mangilao Municipal Planning Council reviewing the application of Rodrigo G. and Edna D. Cortez, applicant/owners of Lot 4, Block 1, Track 1449, Municipality of Mangilao (formerly Barrigada) under Application No. SZC 2015-41 for a Zone Change from "A" (Agricultural) to (R-1" (Single Family) Dwelling , in Order to allow parceling of basis lot into Three (3) lots and construct three (3) affordable single family dwelling homes.

BE IT RESOLVED BY THE MANGILAO MUNICIPAL PLANNING COUNCIL, MUNICIPALITY OF MANGILAO:

WHEREAS, Lot 4, Block 1, Track 1449, Municipality of Mangilao , originally zone as "A" (Agricultural) to "R-1 (Single Family Dwelling) Zone, in order to allow parceling of basic lot into three (3), for construction of three (3) affordable Single family homes.

WHEREAS, the Department of Land Management , pursuant to Public Law 21-144, Section 3, waivers of a Public hearing for subject application and

WHEREAS, A PUBLIC HEARING WAS CONDUCTED ON November 4, 2015 at 6:30 p.m. at the Mangilao Senior Citizen Center, conducted by Mayor Nonito "Nito" C. Blas and Council Srecretary, Rosita . Tosco. There was no one present to object to this application. Therefore b e it.

RESOLVED, that there is water, power and telephone services available in the vicinity and that prior to approval of this application, that the applicant conform to the conditions imposed by Guam Water Works and Guam Power Authority and other Government Agencies during building permit process. Be it further

RESOLVED, that the Mangilao Municipal Planning Council met on their regular monthly meeting on November 4, 2015 and voted unanimously to approve said application with no objection to this application. Be it further

RESOLVED, that should the summary zone change be approved by the Department of Land Management, The applicant must comply with all rules, regulations, codes and request from all required agencies. Be It further

RESOLVED, that the Chairman of the Mangilao Municipal Planning Council certifies and the secretary Attest the adoption hereof and copies of the same be therefore transmitted to the Summary Zone Change Section, Department of Land Management Planning Division.

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DIPÅTTAMENTON MINANEHAN TÅNO'
(Department of Land Management)
GUBETNAMENTON GUÅHAN
(Government of Guahan)

EDDIE B. CALVO
Governor of Guam

RAY TENORIO
Lieutenant Governor of Guam

MICHAEL J.B. BORJA
Director

DAVID V. CAMACHO
Deputy Director

October 8, 2015

Honorable Nonito " Nito " Blas
Mayor of Mangilao c/o Municipal Planning Council (MPC)

Subject: Summary Zone Change (SZC) Public Hearing Waiver

Hafa Adai Mayor Blas:

An application has been filed with the Department of Land Management, Division of Planning by:

RODRIGO G. and EDNA D. CORTEZ, Applicant/owners of Lot 4, Block 1, Tract 1449, Municipality of Mangilao (formerly Barrigada), under Application No. SZC 2015-41 for a zone Change from "A" (Agricultural) to (R- 1" (Single-Family) Dwelling in order to allow parceling of basic lot into Three (3) lots and construct 3 affordable single-family dwelling homes.

Pursuant to Public Law 21-144, Section 3 (Waiver of Formal Public Hearing), based on our review of the application, and the results of our site inspection on September 21, 2015, and the availability of all required infrastructure for proposed development on the subject lot. The Department of Land Management hereby waives the requirement of a formal public hearing and defers any hearing on the application to your Municipal Planning Council (the MPC).

Although the Department has waived the requirements of a formal public hearing, a mandatory MPC Resolution is required prior to any final action on the application. To ensure that our recommendation is consistent with the desire of your community, we request that your MPC submit a Resolution stating its position (i.e, support, objections, concerns, conditions, etc.) on the zone change. The Resolution should be transmitted with in fourteen (14) days from the date of DLM filing with your office. The Resolution can be faxed to the department at 649-5383, to the attention of Summary Zone Change Section, Land Planning Division.

Thank you for your attention to this matter.

Senseramente,

MICHAEL J.B. BORJA
Director

COPY
DLM

MAQ
Penmer C. Gulac, Project Planner
Attachments: SZC Application No. 2015-41

RECEIVED
DATE: 10/12/15

1. The sewer main is located more than three hundred feet from the subject lot. The water pressure along Banyan Street may not be sufficient to accommodate additional development without infrastructure improvements. GWA recommends coordination with the GWA Engineering Department well in advance of the building permit application submittal.

2. If water and sewer infrastructure facilities are installed by the developer, they will require prior approval and shall be subject to inspection by GWA.

3. The applicant shall install the water meters in the right of way or easement.

4. New development is subject to water and/or sewer system development charges (SDC).

This GWA Position Statement shall remain valid for 365 calendar days from the date of this response. Please contact the GWA Engineering Division regarding water and sewer system improvement design and construction standards and procedures. For additional information please contact Mauryn McDonald, Permits and New Area Development Supervisor, at 300-6054.

INFRASTRUCTURE CERTIFICATION FORM

Agency Certifying: **Guam Power Authority**
Applicant: Rodrigo G. and Edna D. Cortez
Location: Lots 4, Block 1, Tract 1449, Mangilao

Type of Application: Summary Zone Change
GLUC/GSPC Application No. 2015-41
Brief Project Description:
"A" to "R-1" to subdivide into 3-lots and build 3 single family dwellings.

For the purposes of this Certification, GOVERNMENT SERVICES, FACILITIES, and INFRASTRUCTURE include, but are not limited to: **power lines poles and facilities; water lines, pumps and facilities; sewer and liquid waste disposal; storm water disposal; solid waste disposal; telephone lines and facilities; schools; health facilities; police and fire fighting service and facilities; roads; traffic and street lights; parks and recreational activities.**

1. I hereby certify that the required GOVERNMENT SERVICES, FACILITIES and INFRASTRUCTURE are currently **AVAILABLE AND IN PLACE** to support this project:
   Yes ☒ No ☐

2. If the answer to #1 above is YES, then:
   I hereby certify that the required GOVERNMENT SERVICES, FACILITIES and INFRASTRUCTURE are currently **ADEQUATE** to support this project:
   Yes ☐ No ☒

3. If the required GOVERNMENT SERVICES, FACILITIES and INFRASTRUCTURE currently in place are **NOT AVAILABLE** or they are **AVAILABLE, BUT NOT ADEQUATE,** itemize the services, facilities and infrastructure that are needed, the estimated cost thereof and whether funds are currently available and identified to develop such services, facilities and infrastructure:

Services, Facilities and Infrastructure Needed	Cost of Upgrades	Funds Available	Date Available	Funds Identified
Please see comments below				

I hereby certify that the foregoing is true and correct to the best of my knowledge.

JOHN M. BENAVENTE, P.E.
General Manager

10/23/15
Date

Comments:
Based on a preliminary inspection of the site, the electrical facilities may require upgrading to meet the demand of the proposed project. A system impact assessment maybe required to determine the effect of this facility on GPA's existing power distribution system. The applicant will be responsible for the cost of any required system upgrade.

ASG/arp

The Bureau recommends the applicant submit detailed information of the proposed plan to include the size and height of all three homes.

2. **Water Source Protection and Stormwater Management.** The applicant's Mangilao property is located in the Northern Watershed, which is home to the Northern Guam Lens Aquifer (NGLA). The aquifer is an essential resource for Guam and is the primary source of drinking water for eighty percent of the island population. Moreover, the U.S. Environmental Protection Agency has designated the NGLA a sole source aquifer for Guam's drinking water. Therefore, it is of paramount importance to prevent sources of contamination from entering Guam's water supply. The primary goal for protecting the aquifer is to safeguard human health and the environment by maintaining water quality for continued use.

   The Bureau is concerned that the applicant's proposal to construct three homes will increase stormwater runoff. Surface runoff from rooftops, driveways, and sidewalks carry pollutants into the water or groundwater. If not managed, this activity can adversely impact Guam's water source and may cause flooding to the surrounding neighbors. Therefore, should this application be approved, the Bureau recommends the applicant to work closely with the Guam Environmental Protection Agency (GEPA) for 1) an aquifer protection review pursuant to the "Federal Safe Drinking Water Act," §1424 and "Guam Safe Drinking Water Act," 10 GCA Chapter 53 and; 2) for implementation of best management practices in preventing stormwater runoff pursuant to the CNMI and Guam Stormwater Management Manual 2006.

3. **Erosion Control.** Should this application be approved, the Bureau advises the applicant implement erosion control methods before, during, and after construction to prevent sediment from leaving the project site. The applicant is advised to work with GEPA for best management practices.

4. **Low Impact Development.** Impervious surfaces from parking stalls, driveways, and rooftops accelerate stormwater runoff. The applicant is encouraged to implement low impact development practices such as permeable parking and walkways, grassed swales or rain gardens into the landscaping design as a means to reduce runoff and control erosion from their property.

5. **Native Flora and Fauna Protection.** Considering the Rhino Beetle and Fire Ants outbreak, the protection from invasive species is crucial in preserving Guam's native plant and animal species. Should the applicant incorporate a landscaping plan into the project, the Bureau recommends the applicant to consult with the Department of Agriculture's (DOAg) Division of Forestry and Soil Resources Division and Agricultural Services Division on using native plants to avoid invasive species outbreaks. The Bureau suggests placing measures to ensure that the property will be landscaped in such a way to provide a buffer between the subject lot and the other residential dwellings. Additionally, this will help reduce storm water runoff and provide aesthetic features.

Department of Parks and Recreation
Government of Guam
490 Chalan Palasyo
Agana Heights, Guam 96910
Director's Office: (671) 475-6296/7
Facsimile: (671) 477-0997
Parks Division: (671) 475-6288/9
Guam Historic Resources Division: (671) 475-6294/5
Facsimile: (671) 477-2822

Eddie B. Calvo
Governor

Ray Tenorio
Lt. Governor

Robert S. Lizama
Director

William N. Reyes
Director

RECEIVED
OCT 22 2015
Department of Land Management
Time 9:30 Init MD

In reply refer to:
RC2016-0004

October 22, 2015

Memorandum

To: Executive Secretary, Guam Land Use Commission

From: Director, Department of Parks and Recreation

Subject: DLM Application No. 2015-41, Summary Zone Change
Lot 4, Block 1, Tract 1449, Municipality of Mangilao
Applicant: Rodrigo G. and Edna D. Cortez
Case Planner: Penmer C. Gulac

We reviewed the above subject DLM Application and determined that the Zone Change will not affect historic properties within Lot 4, Block 1, Tract 1449 in the Municipality of Mangilao. Therefore, we have no objection to the approval of this Zone Change Application.

If you have any questions, please do not hesitate to call us.

Robert S. Lizama
Acting

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(Department of Land Management)
GUBETNAMENTON GUÅHAN
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October 1, 2015

To: Certifying Agencies/Departments
Application Review Committee (ARC)

From: Guam Chief Planner

Subject: **Request for Certification**

Attached for your review and action is an application under Public Law 21-82, Section 4; Summary Zone Change Program.

Applicant(s): **Rodrigo G. and Edna D. Cortez**

Application No. **SZC 2015- 41**

Project Description: To rezone the property from "A" (Agricultural) to "R-1" (Single-Family Dwelling) Zone in order to subdivide basic lot into 3-lots and build 3 affordable single family dwellings, reference **Lot 4, Block 1, Tract 1449, Municipality of Mangilao (formerly Barrigada)**

Date Received: **September 17, 2015**

Date Accepted: **September 21, 2015**

**** Due Date for Certification:** **October 15, 2015**
(Public Law 21-144, Section 8(b)(A)(1)

Certification must be directed to Director, Department of Land Management, Division of Planning. If you have any questions, please call 649-5385 or 5390, and ask for Penmer C. Gulac, the assigned case planner.

Thank You.

Marvin Q. Aguilar

PCG: Attachment: SZC Application No. 2015- 41 and supporting documents

2015-41
Rodrigo & Edna Cortez
Summary Zone Change
Accepted Date: 21-Sep-15
Case Planner: PenmerG
ACCEPTED 9/21/15 PG

September 17, 2015

P.Gulac 9/17/15 RECEIVED Land Planning Div PLM

To: Mr. Michael J.B. Borja, Director, Department of Land Management
c/o Land Planning Division, Zone Change Section
Government of Guam
P.O. Box 2950
Hagatna, Guam 96932

Subject: Summary Zone Change Application on **Lot 4 Block 1 Tract 1449 Municipality of Mangilao, Guam**

Dear Mr. Borja,

Pursuant to Public Law 21-82, (as amended by P.L. 21-144, Section 8), I am submitting an application for a Summary Zone Change on the above property. The following information are provided as required to evaluate our application:

a. **What** is the current zone on the property? **"A" (Rural)**
b. **Who** currently owns the property? **Rodrigo G. Cortez and Edna D. Cortez**
c. **How** did you acquire the property? **Purchase/Warranty Deed; Document No. 881458 (see attached with property map, Drawing No. 212 FY87).**
d. **What** is currently on the property? **Vacant**
e. **Is/Are** your structure(s) serviced by public sewer? **Proposed structure(s) will be hooked up to public sewer upon completion.**
f. **What** are your intentions or plans? **To subdivide into 3 lots for 3-single family dwellings and connect to public sewer.**
g. **Surrounding Uses:** To the north is a residential subdivision, to the south are single and duplex residential dwellings.
h. **Topography:** Flat
i. **Soil Composition:** Mixed
j. **Access:** Serviced by a 40' (foot) wide right of way.
k. **All others:** To provide affordable homes for purchase or rental to the public due to high demand for single-family homes in the area.

Your favorable consideration to our proposal is greatly appreciated. Rest assured that we will adhere to the requirements of all Government of Guam agencies impacted by our proposed rezoning application.

Should you need more information, please feel free to contact me at 688-3382 or 482-3362. Thank you.

Yours sincerely,

Rodrigo G. Cortez

"ORIGINAL COPY"

SUMMARY ZONE CHANGE

(P. L. 21-82:4 Short Form as Amended by P.L. 21-144:8)

5. **Support Information.** The following information shall be attached to this application:

b. A map, drawn to scale, showing existing zoning within 1000 feet radius and all parcels and their uses within 750 feet radius from the subject lot boundaries. The map shall contain:

(6) Lot number for every parcel(s);
(7) Identify by name and use all existing activities on all parcel(s) through a legend/code reference;
(8) All adjacent easements and roads leading to, and serving property, their widths, and condition of surfaces;
(9) The nearest location of all public utilities to the subject lot; and
(10) Show any/all features of property such as flat/hilly terrain, flood hazard, etc.

e. The most recent survey map certified and recorded at the Department of Land Management, of the subject property.

f. For "R-1" Zone Change request: A proposed property map showing how many lots are to be subdivided.

g. For "R-2" Zone Change request: Preliminary sketch plan shall be drawn to scale and show the following items:

(1) Density: Number of units and type. (i.e. Eight (8) units, three (3) bedroom, two baths, etc.)
(2) All setback or distances from proposed building to property boundary line.
(2) Parking Stalls must be numbered. Also, show one parking for persons with disabilities for every sixty (60) regular stalls (minimum).
(4) Recreational area or playground must be provided for children of tenants.
(5) Show nearest location of sewer, water, power connection or hook-up.
(6) Entrance and Exit of project area.
(9) Etc...Any other information you feel is necessary or pertinent to your request.
(10) In addition the following information is required by the Agencies as follows:

GUÅHAN POWER AUTHORITY

2. Include Ownership and Encumbrance Title Report.

2. Provide Proposed Power Demand in Kilovolt Amps (KVA).

GUÅHAN ENVIRONMENTAL PROTEACTION AGENCY

3. Copy of Site Layout showing the existing building structures and the outline of the septic tank and leaching field system, if not connected to public sewer.
4. Copy of building Permits for any extensions (additional structures) to the existing dwelling unit.

6. **Filing Fee: Twenty-Five Dollars ($25.00)** filing fee, signed and approved by the Governor of Guåhan on May 18, 2007, under Public Law 29-02, Chapter V, Part III *(Fees and Charges Assessed by the Department of Land Management).*

Issued at Guam, Government of Guam
Department of Land Management, Chief Officer of the Recorder

881458
15 08 06 1:32
225 41283

WARRANTY DEED

TO ALL WHOM THESE PRESENTS MAY COME, GREETINGS:

THAT ON THIS 6th day of **August, 2015, ROGELIO A. SARDEA and EDITA L. SARDEA, Husband and Wife,** whose address is, PO Box 6961, Tamuning, Guam 96931, hereinafter referred to as "GRANTORS", for and in consideration of the sum of TEN DOLLARS ($10.00) and other valuable consideration to them paid by, **RODRIGO G. CORTEZ and EDNA D. CORTEZ, Husband and Wife,** whose address is, P.O. Box 7693 TAMUNING, Guam 96931, and hereinafter referred to as "GRANTEES", the receipt, adequacy and sufficiency whereof is hereby acknowledged, do hereby GRANT, BARGAIN, SELL and CONVEY unto the GRANTEES as **Joint Tenants with Rights of Survivorship,** the following described property:

LOT NUMBER 4, BLOCK NUMBER 1, TRACT NUMBER 1449, (Formerly Lot Number 5351-1-3), **MUNICIPALITY OF MANGILAO,** (Formerly BARRIGADA), TERRITORY OF GUAM, ESTATE NUMBER 67873, SUBURBAN, as said Lot is marked and designated on Drawing Number RRV87-12ROS, as L.M. Check Number 212 FY 87, as described in that Agricultural Subdivision Map, dated JANUARY 21, 1988 and recorded MARCH 08, 1988, at the Records Division, Department of Land Management, Government of Guam, under Document Number **395434.**

Registered Land, with the LAST REGISTERED OWNER being **OSIAS B. DEL ROSARIO and CAROL P. DEL ROSARIO,** the OWNER OF RECORD being **ROGELIO A. SARDEA and EDITA L. SARDEA, Husband and Wife, as Joint Tenants with Rights of Survivorship** and the LAST CERTIFICATE OF TITLE REGISTRATION NUMBER being **93940.**

AREA: **1,859 +/- SQUARE METERS**
20,007 +/- SQUARE FEET

GUAM, U.S.A.)
) ss
CITY OF HAGATNA)

ON THIS 6th day of **August, 2015**, before me, a Notary Public in and for GUAM, U.S.A., personally appeared **ROGELIO A. SARDEA and EDITA L. SARDEA,** and they acknowledged to me that they executed the foregoing WARRANTY DEED, as their free and voluntary act and deed for the purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year first above written.

NOTARY PUBLIC
My commission expires:

ERLINE C. FENWICK
NOTARY PUBLIC
In and for Guam, U.S.A.
My Commission Expires: Sept. 12, 2015
Herman Cortez St. Title Guaranty Bldg.
Suite 320, Hagatna, Guam 96932

GUAM, U.S.A.)
) ss
CITY OF HAGATNA)

ON THIS 6th day of **August, 2015**, before me, a Notary Public in and for GUAM, U.S.A., personally appeared **RODRIGO G. CORTEZ and EDNA D. CORTEZ**, and they acknowledged to me that they executed the foregoing WARRANTY DEED, as their free and voluntary act and deed for the purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year first above written.

NOTARY PUBLIC
My commission expires:

ERLINE C. FENWICK
NOTARY PUBLIC
In and for Guam, U.S.A.
My Commission Expires: Sept. 12, 2015
Herman Cortez St. Title Guaranty Bldg.
Suite 320, Hagatna, Guam 96932

Esc#331.15/EF

LEGISCATURE 1

ACKNOWLEDGMENT OF RECEIPT
SUMMARY ZONE CHANGE PACKET
on

Lot 4, Block 1, Tract 1449
Municipality of Mangilao
for
Rodrigo G. and Edna D. Cortez

APPLICATION NO: SZC 2015-41

Legislative Secretary
33rd Guam Legislature

Signature: Clynia a. Aguon

Name (print): CLYNIA A AGUON

12/22/16 4:22p
Date / Time

Building Official - Director's Office,
Department of Public Works

Signature: _____

Name (print): _____

_____
Date / Time

RECEIVED BY
DEC 21 2016
DEPARTMENT OF PUBLIC WORKS

FOR RECORDATION ONLY:
Deputy Civil Registrar

[ ]

NOT APPLICABLE

SEE ATTACHED NOTICE OF ACTION

Building Official - Building Permits,
Department of Public Works

_____
Signature

_____
Name (print)

_____
Date / Time

[ ]

Name of Applicant and or Representative

_____
Signature

_____/_____

777-16-2311
Office of the Speaker
Judith T. Won Pat, Ed.D
Date: 12-29-16
Time: 9:25 am
Received By: [illegible]

DIPÅTTAMENTON MINANEHAN TÅNO'
(Department of Land Management)
GUBETNAMENTON GUÅHAN
(Government of Guam)

EDDIE BAZA CALVO
Governor

RAY TENORIO
Lieutenant Governor

MICHAEL J.B. BORJA
Director

DAVID V. CAMACHO
Deputy Director

Street Address:
590 S. Marine Corps Drive
Suite 733 ITC Building
Tamuning, GU 96913

Mailing Address:
P.O. Box 2950
Hagåtña, GU 96932

Website:
http://land.guam.gov

E-mail Address:
dlmdir@land.guam.gov

Telephone:
671-649-LAND (5263)

Facsimile:
671-649-5383

January 5, 2016

Honorable Senator Tina Rose Muna-Barnes
Legislative Secretary, 33th Guam Legislature
155 Hessler Place
Hagåtña, Guam 96932

Re: **Summary Zone Change Application No. SZC 2015-41**
Lot 4, Block 1, Tract 1449, Municipality of Mangilao;
for Rodrigo D. and Edna G. Cortez

Buenas Yan Hafa Adai, Honorable Senator Tina Muna-Barnes:

Pursuant to 21 GCA (Real Property), Chapter 61 (Zoning Law), Part 3 (Changes of Zones), Section 61639 (Summary Procedure for Agricultural and Single - Family Residential Rezoning 3 and Executive Order 92-08 (Establishing Interim Rules and Regulations for Individual, Small Landowner Zone Changes), the Department of Land Management is herewith submitting to the Legislature, a Zone Change application for **Lot 4, Block 1, Tract 1449, Municipality Mangilao, from "A" (Agricultural) to "R-1" (Single - Family Dwelling) Zone in order to subdivide the basic lot into Three (3) lots and construct affordable homes.**

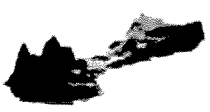
As such, I have **APPROVED WITH CONDITIONS** **the Zone Change.**

Your expeditious response on this action is greatly appreciated.

Senseramente,

MICHAEL JB BORJA
Director

MQA
PCG; w/Attachment(s):
1. Staff Report and Notice of Action
2. Certifications of Utility Agencies &Application Review Committee (ARC)
3. Zone Change Application with supporting documents
4. Amendment Map No. 78; Zoning Map No. F3 67 S40
5. MPC Resolution, Mangilao



SUMMARY ZONE CHANGE
APPLICATION NO. 2015-41
LOT 4, BLOCK 1, TRACT 1449
MUNICIPALITY OF MANGILAO

Space for Recordation

Land of Guam, Government of Guam

Department of Land Management Officer of the Recorder

Instrument No. 887467

Year '01 Day 06 Time 2:02

DE-OFFICIO

Lisa M. Quitano

LISA M. QUITANO

SUMMARY ZONE CHANGE
APPLICATION NO.: 2015-41

APPLICANTS:
RODRIGO G. & EDNA D. CORTEZ

PREPARED ON: OCTOBER 22, 2015

FROM: "A"
(AGRICULTURAL)

TO: "R-1"
(SINGLE-FAMILY DWELLING)

LOT: LOT 4

BLOCK: 1

TRACT: 1449

MUNICIPALITY: MANGILAO

PLACE NAME: N/A

SCALE: N/A

AMENDMENT NO.: A-78

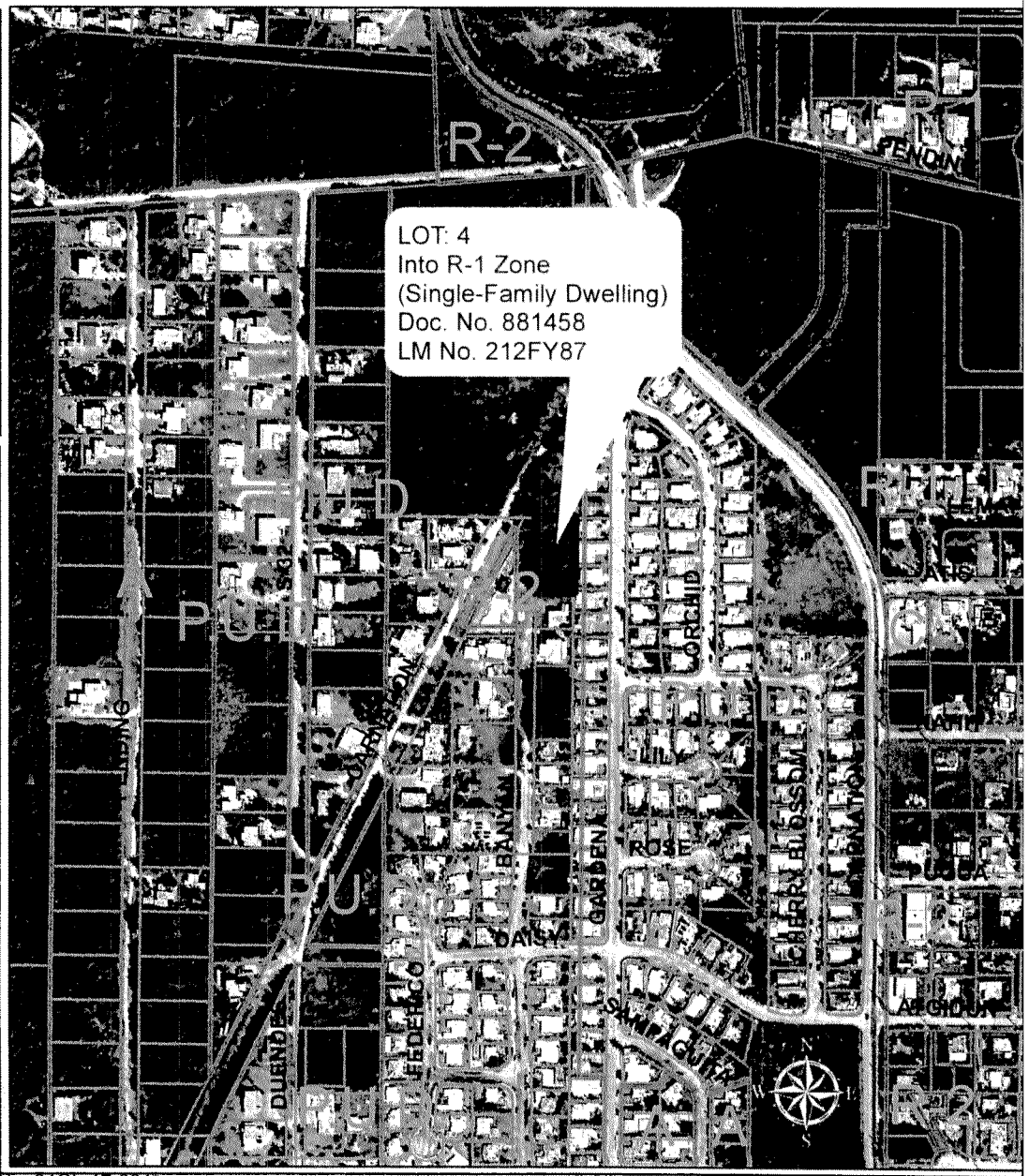
ZONING MAP NO.: F3-67S40

[XX] Approved with conditions as noted in Notice of Action and Pursuant to Title 21 GCA Chapter 61 Section 61639 and Executive Order 98-02.

COPY

1/5/16

MICHAEL J.B. BORJA — DATE
DIRECTOR
DEPARTMENT OF LAND MANAGEMENT



(Space above for Recordation)

SUMMARY ZONE CHANGE

Department of Land Management
Government of Guam
P.O. Box 2950
Hagåtña, Guam 96932

NOTICE OF ACTION

December 17, 2015
Date

To: Rodrigo G. and Edna D. Cortez
P.O. Box 7693
Tamuning, Guam 96931

Application No. 2015-41

The Director of Land Management Pursuant to 21 Guam Code Annotated, Chapter 61, (Zoning Law), Part 3 (Changes of Zone), §61639 (Summary Procedures for Agricultural and Residential rezoning), and Executive Order No. 92-08:

DEPARTMENT ACTION

N/A / APPROVED

XX / **APPROVED WITH CONDITIONS**

N/A/ Disapproved

ZONE CHANGE REQUEST

XX / **FROM "A" (RURAL / AGRICULTURAL) TO "R-1" SINGLE-FAMILY DWELLING; FOR PARCELLING OF BASIC LOT INTO THREE (3) RESIDENTIAL LOTS**

[Ref: Lot 4, Block 1, Tract 1449, Mangilao]

N/A / FROM "**A**" **(RURAL/AGRICULTURAL)** TO "R-2" MULTI-FAMILY DWELLING

N/A / **F**ROM "R-1" (SINGLE-FAMILY DWELLING) TO "R-2" MULTI-FAMILY DWELLING

N/A / OTHER:

Application No. 2015-41

1. APPLICATION SUMMARY: The Applicant/Owners, Rodrigo G. and Edna D. Cortez , requests approval for rezoning of Lot 4, Block 1, Tract 1449, Mangilao, from "A" (Rural/Agricultural) to "R-1" (Single-Family Dwelling) Zone in order to allow parceling of subject lot into Three (3) residential lots to construct affordable homes.

2. DIRECTOR'S CONDITIONS OF APPROVAL: Applicant(s) shall:

a. Comply with all permitting Agency Conditions and requirements; and

b. That the any Site Development Plan shall show details of structure location, sewage disposal type and connection; and

c. That the development shall be hooked up to public sewer and comply with GEPA's wastewater disposal system requirements and conditions; and

d. That the applicants comply with all subdivision/parceling conditions and requirements.

1.5.2016
MARVIN Q. AGUILAR — Date
Guam Chief Planner

1/5/16
MICHAEL J.B BORJA — Date
Director

ATTACHMENTS

Cc: Building Permits Section, DPW (Attn: Bldg Official/Administrator)
Real Property Tax Division, Department of Revenue and Taxation

Case Planner: Penmer Gulac, Planner IV

Application No. 2015-41

CERTIFICATION OF UNDERSTANDING AND AGREEMENT

I / We Rodrigo D. and Edna D. Cortez / _____
(Applicant [Please print name]) (Representative [Please print name])

I/We _____
(Applicant [Please print name])

Understand and accept the Conditions cited above; and further agree to adhere to all of said Conditions made a part of and/or attached to this Notice of Action as approved by the Director of the Department of Land Management. I/We, acknowledged receipt of this Notice of Action.

I/WE, acknowledged that this Zone Change Approval does not constitute automatic approval or guarantee the issuance of any "**PERMITS**" for the construction of the proposed Development or issuance of any "**LICENSE**" for rentals or leases. I/We further understand that the issuance of any required "**PERMITS**" or "**LICENSE**" shall be contingent on the availability and/or adequacy of infrastructure in the area. Such infrastructure availability and/or adequacy shall be as determined by the appropriate and responsible mandated permitting Agency/Department (i.e., DPW, GEPA, GWA, GPA, PH&SS and GFD, etc).

Signature of Applicant (s) _____ Signature of Representative _____

Date: 1/6/16 Date: _____

ONE (1) COPY OF RECORDED NOTICE OF ACTION RECEIVED BY:

Applicant _____ **Date** 1/6/16 **Representative** _____ **Date** _____

Planning Staff Review and Recommendation(s);

1. **Frank P. Taitano, Planner IV** ✓ Approved ___ Disapproved

Comments: looks good, compatible w/ surrounding uses

Signature Frank Taitano Date: 12-22-15

2. **Celine Cruz, Planner IV** ✓ Approved ___ Disapproved

Comments: Request is consistent with land uses in neighboring areas. Also request is supported by Mtnly MCP and should be considered favorably.

Signature [signature] Date: 12.21.15

DIPÅTTAMENTON MINANEHAN TÅNO'
(Department of Land Management)
GUBETNAMENTON GUÅHAN
(Government of Guam)

EDDIE BAZA CALVO
Governor

RAY TENORIO
Lieutenant Governor

MICHAEL J.B. BORJA
Director

DAVID V. CAMACHO
Deputy Director

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dlmdir@land.guam.gov

Telephone:
671-649-LAND (5263)

Facsimile:
671-649-5383

January 5, 2016

Honorable Senator Tina Rose Muna-Barnes
Legislative Secretary, 33th Guam Legislature
155 Hessler Place
Hagåtña, Guam 96932

Re: **Summary Zone Change Application No. SZC 2015-41**
Lot 4, Block 1, Tract 1449, Municipality of Mangilao;
for Rodrigo D. and Edna G. Cortez

Buenas Yan Hafa Adai, Honorable Senator Tina Muna-Barnes:

Pursuant to 21 GCA (Real Property), Chapter 61 (Zoning Law), Part 3 (Changes of Zones), Section 61639 (Summary Procedure for Agricultural and Single - Family Residential Rezoning 3 and Executive Order 92-08 (Establishing Interim Rules and Regulations for Individual, Small Landowner Zone Changes), the Department of Land Management is herewith submitting to the Legislature, a Zone Change application for **Lot 4, Block 1, Tract 1449, Municipality Mangilao, from "A" (Agricultural) to "R-1" (Single - Family Dwelling) Zone in order to subdivide the basic lot into Three (3) lots and construct affordable homes.**

As such, I have **APPROVED WITH CONDITIONS** **the Zone Change.**

Your expeditious response on this action is greatly appreciated.

Senseramente,

MICHAEL JB BORJA
Director

MQA
PCG; w/Attachment(s):
1. Staff Report and Notice of Action
2. Certifications of Utility Agencies &Application Review Committee (ARC)
3. Zone Change Application with supporting documents
4. Amendment Map No. 78; Zoning Map No. F3 67 S40
5. MPC Resolution, Mangilao

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lmdir@land.guam.gov

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671-649-LAND (5263)

Facsimile:
671-649-5383

January 5, 2016

MEMORANDUM

TO: Director

FROM: Guam Chief Planner

SUBJECT: **Summary Zone Change (SZC) No. 2015-41 ; For Rodrigo D. and Edna D. Cortez**

Buenas Yan Hafa Adai:

Submitted is the SZC Packet for your review and action.

I have reviewed the application and concur with the recommendations of the Case Planner and the Panel of Senior Planners for approval with conditions.

I am available should you have additional questions or need further clarification.

Senseramente,

Marvin Q. Aguilar
Guam Chief Planner

With Attachments

PCG

DIPÅTTAMENTON MINANEHAN TÅNO'
(Department of Land Management)
GUBETNAMENTON GUÅHAN
(Government of Guam)

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EDDIE BAZA CALVO
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December 21, 2015

MEMORANDUM

TO: Frank P. Taitano, Planner IV
Celine Cruz, Planner IV

FROM: Director

SUBJECT: Appointment as Panel Members Ref: Summary Zone Change (SZC) Program

Buenas Yan Hafa Adai:

Pursuant to SZC Guidelines, Paragraph 17, effective December 17, 2015, I hereby appoint you as panel members to review and provide recommendations relative to **SZC Application No. 2015-41 for (Mr. and Mrs. Rodrigo G. and Edna D. Cortez**

Coordinate with Mr. Penmer Gulac who oversees the SZC Program on the matter.

Your appointment as panel members shall expire upon your submittal of your recommendations to the Guam Chief Planner.

Senseramente,

Michael JB Borja
Director

Cc: Masterfile – Land Planning, Ref: SZC 2015-41

DIPÅTTAMENTON MINANEHAN TÅNO'
(Department of Land Management)
GUBETNAMENTON GUÅHAN
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671-649-LAND (5263)

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671-649-5383

December 17, 2015

To: Director, Department of Land Management

From: Planner IV

Subject: Staff Report - Case No. SZC 2015-41

Re: Summary Zone Change- Lot 4, Block 1, Tract 1449. Municipality of Mangilao; for Rodrigo G. and Edna D. Cortez

1. **PURPOSE:**

a. Application Summary. **The Applicants, Rodrigo G. and Edna D. Cortez, are requesting for a zone change on Lot 4, Block 1, Tract 1449, in the Municipality of Mangilao from "A" (Agricultural) Zone to "R-1" (Single-Family Dwelling) Zone in order to subdivide the basic lot into Three (3) lots and construct affordable homes.**

b. **Legal Authority.** 21 GCA (Real Property), Chapter 61 (Zoning Law), Part 3 (Changes of Zones, Section 61639 (Summary Procedure for Agricultural and Single Family Residential Rezoning) and Executive Order 92-08 (Establishing Interim Rules and Regulations for Individual, Small Landowner Zone Changes), Public Law 21-82:4 as amended by P.L. 21-144:8.

2. **FACTS:**

a. Location. The subject lot is fronting a 50-foot right-of-way (Bunyan Lane) that connect from Daisy Lane (Latte Hts) thru Bunyan Hts Subdivision from the south and is approximately 1,000 feet east of Route No. 26 (Macheche Road near Latte Hts in Mangilao **(see attached vicinity map).**

b. Field Description. The subject lot is vacant . The topography fairly flat and overgrown with vegetation. All public utilities such as sewer, water, power, connections are within 100 feet on the right-of-way.

c. Lot Area. 21,859 square meters or 20,007 square feet

d. Present Zoning. "A" (Agricultural) Zone

e. 1967 Master Plan. Agricultural/Residential

f. Community Design Plan. Agricultural/Residential; Low to Medium Density

g. Surrounding Area. The surrounding area consists of single-family dwellings, duplexes, and multi-family dwellings (within 500 - 750 - 1,000 feet), and some vacant lots as well as Latter Hts Sudivision (abutting on the east and Bunyan Hts subdivision on the south, there are commercial activities along Route 26 (Macheche Avenue) and to the north and east the lot within 2,000 – 2,500 feet are apartment complexes in the R2 zones and retail stores in commercial zones. The proposed development is conducive to the existing land use trend comprising of mix uses of single-family, duplexes, multi-family and commercial activities that are sporadically increasing within 2,000-2,500 feet to the east, north and along Route No. 26. All public utilities are within 100 feet on the 50-foot right-of-way. No major impacts are expected to existing infrastructure or immediate surroundings.

3. APPLICATION CHRONOLOGICAL FACTS.

a. Date Application Accepted: September 21, 2015

b. Certifications:

DPW: Have not submitted their Official Certification. Per DLM inspection water and sewer service are available along the 50-right of way (Bunyan St) within 100 feet of the subject development. DLM is mandated by law to continue and process the application and file it to the legislature for their review and further actions.

GWA: Have submitted their Official Certification. Per DLM inspection water and sewer service are available along the 50-right of way (Bunyan St) within 100 feet of the subject development. Any new sewer and water service requirements should be coordinated with GWA"s Customer Service unit, all fees are borned by the applicants/customers.

GEPA: Guam EPA have not submitted their Official Certification as of Staff Report Date. Per Planning Staff inspection, the property is within

100 feet of the public sewer and water connection along the 50-foot right of way which is fronting the subject lot. DLM is mandated by law to continue and process the application and file it to the legislature for their review and further actions.

GPA: The Authority have submitted their Official Certification. The Authority has no objection to the application and the applicant is advised to coordinated with GPA Engineering and Customer Service for all permit requirements and to comply with all requirements pursuant to the National Electric Safety Code and GPA's Service Rules and Regulations.

BoPS: The Bureau have submitted their Official Certification with comments and recommendations and have no objection.

DPR/HPO: The Department of Parks and Recreation and Historical Preservation Officehave submitted their Official Certification with comments and recommendations and have no objection.

(Note): Pursuant to Section 61639 (a)((1), failure to provide the Department of Land Management, from any infrastructure agency as to the availability and/or adequacy of infrastructure (water, power, sewer), within ten (10) working days from receipt of this request, shall constitute an assumption by DLM that the agency not answering the request has no objection to the rezoning.

c. Municipal Public Hearing Results: Pursuant to P.L. 21-144:3, the public hearing on this zone change application has been waived and any hearing is deferred to the Mayor and the Municipal Planning Council of Mangilao.

d. Municipal Planning Council (MPC) Resolution: The Mayor of Mangilao received a copy of the application for the proposed development by Mr. and Mrs. Cortez. An MPC hearing was conducted on November 4, 2015, at 6:00 pm, at the Senior Citizen Center and discussed the application and all voted to support the application for the zone change and proposed development. An MPC Resolution No. 16-01, from the Mangilao Municipal Planning Council supporting the application was received by DLM on November 30, 2015.

4. **STAFF RECOMMENDATION: Planning Staff recommends <u>APPROVAL</u> of the Summary Zone Change request with the following conditions:**

a. Comply with all permitting Agency Conditions and requirements; and

b. That the any Site Development Plan shall show details of structure location, sewage disposal type and connection; and

c. That the development shall be hooked up to public sewer and comply with GEPA's wastewater disposal system requirements and conditions; and

d. That the applicants comply with all subdivision/parceling conditions and requirements.

December 17, 2015
Date

Penmer C. Gulac
Planner IV, Project Planner

PG
Attachments as noted

Nonito "Nito" C. Blas
Mayor

Allan "Al" R.G. Ungacta
Vice Mayor

Office of the Mayor
Municipality of Mangilao

MPC RESOLUTION 16-01
SPONSORED BY:

Nonito C. Blas	Tomas S.N. Chargualaf	Darlene N. Taitano
Juan A. Aguon	Oscar L. G. Jesus	Joseph A. Yatar
Ben Carbullido	Bobby Pelky	Carmen C. Aguon

Relative to the Mangilao Municipal Planning Council reviewing the application of Rodrigo G. and Edna D. Cortez, applicant/owners of Lot 4, Block 1, Track 1449, Municipality of Mangilao (formerly Barrigada) under Application No. SZC 2015-41 for a Zone Change from "A" (Agricultural) to (R-1" (Single Family) Dwelling , in Order to allow parceling of basis lot into Three (3) lots and construct three (3) affordable single family dwelling homes.

BE IT RESOLVED BY THE MANGILAO MUNICIPAL PLANNING COUNCIL, MUNICIPALITY OF MANGILAO:

WHEREAS, Lot 4, Block 1, Track 1449, Municipality of Mangilao , originally zone as "A" (Agricultural) to "R-1 (Single Family Dwelling) Zone, in order to allow parceling of basic lot into three (3), for construction of three (3) affordable Single family homes.

WHEREAS, the Department of Land Management , pursuant to Public Law 21-144, Section 3, waivers of a Public hearing for subject application and

WHEREAS, A PUBLIC HEARING WAS CONDUCTED ON November 4, 2015 at 6:30 p.m. at the Mangilao Senior Citizen Center, conducted by Mayor Nonito "Nito" C. Blas and Council Srecretary, Rosita . Tosco. There was no one present to object to this application. Therefore b e it.

RESOLVED, that there is water, power and telephone services available in the vicinity and that prior to approval of this application, that the applicant conform to the conditions imposed by Guam Water Works and Guam Power Authority and other Government Agencies during building permit process. Be it further

RESOLVED, that the Mangilao Municipal Planning Council met on their regular monthly meeting on November 4, 2015 and voted unanimously to approve said application with no objection to this application. Be it further

RESOLVED, that should the summary zone change be approved by the Department of Land Management, The applicant must comply with all rules, regulations, codes and request from all required agencies. Be It further

RESOLVED, that the Chairman of the Mangilao Municipal Planning Council certifies and the secretary Attest the adoption hereof and copies of the same be therefore transmitted to the Summary Zone Change Section, Department of Land Management Planning Division.

DULY RECORDED AND ADOPTED BY THE MANGILAO MUNICIPAL PLANNING COUNCIL ON THE 4TH DAY OF NOVEMBER 2015.

CERTIFIED:

Mayor Nonito "Nito" C. Blas
Chairman, MMPC

ATTESTED:

Rosita D. Tosco
MMPC Secretary

DIPÅTTAMENTON MINANEHAN TÅNO'
(Department of Land Management)
GUBETNAMENTON GUÅHAN
(Government of Guahan)

EDDIE B. CALVO
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RAY TENORIO
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E-mail Address:
dlmdir@land.guam.gov

Telephone:
671-649-LAND (5263)

Facsimile:
671-649-5383

October 8, 2015

Honorable Nonito " Nito " Blas
Mayor of Mangilao c/o Municipal Planning Council (MPC)

Subject: Summary Zone Change (SZC) Public Hearing Waiver

Hafa Adai Mayor Blas:

An application has been filed with the Department of Land Management, Division of Planning by:

RODRIGO G. and EDNA D. CORTEZ, Applicant/owners of Lot 4, Block 1, Tract 1449, Municipality of Mangilao (formerly Barrigada), under Application No. SZC 2015-41 for a zone Change from "A" (Agricultural) to (R- 1" (Single-Family) Dwelling in order to allow parceling of basic lot into Three (3) lots and construct 3 affordable single-family dwelling homes.

Pursuant to Public Law 21-144, Section 3 (Waiver of Formal Public Hearing), based on our review of the application, and the results of our site inspection on September 21, 2015, and the availability of all required infrastructure for proposed development on the subject lot. The Department of Land Management hereby waives the requirement of a formal public hearing and defers any hearing on the application to your Municipal Planning Council (the MPC).

Although the Department has waived the requirements of a formal public hearing, a mandatory MPC Resolution is required prior to any final action on the application. To ensure that our recommendation is consistent with the desire of your community, we request that your MPC submit a Resolution stating its position (i.e, support, objections, concerns, conditions, etc.) on the zone change. The Resolution should be transmitted with in fourteen (14) days from the date of DLM filing with your office. The Resolution can be faxed to the department at 649-5383, to the attention of Summary Zone Change Section, Land Planning Division.

Thank you for your attention to this matter.

Senseramente,

MICHAEL J.B. BORJA
Director

COPY
DLM

MAQ
Penmer C. Gulac, Project Planner
Attachments: SZC Application No. 2015-41

RECEIVED
DATE: 10/12/15

RECEIVED
11/5/2015

GUAM WATERWORKS AUTHORITY
Gloria B. Nelson Public Service Building
688 Route 15, Mangilao, Guam 96913

RECEIVED
NOV 6 2015
Department of Land Management
Time 9:30 Intl

MB 11/6

MEMORANDUM

October 21, 2015

TO: Director, Department of Land Management

FROM: Mark Miller, Interim General Manager 10/28/15

SUBJECT: Position Statement on Application No. SZC 2015-41
Lot No. 4, Block 1, Tract 1449, Municipality of Mangilao
Zone Change from "A" (Agricultural) to "R-1" (Single-Family Dwelling)

APPLICANT: Rodrigo G. and Edna D. Cortez

The Guam Waterworks Authority (GWA) has reviewed the applicant's request to change the subject property zoning from "A" (Agricultural) to "R-1" (Single-Family Dwelling). The applicant proposes to subdivide the subject lot into three lots and build three affordable single-family dwellings.

This memorandum shall serve as GWA's position statement to the above zone change request related to availability of water and sewer infrastructures to serve the above subject lot. This position statement shall not be construed as notice that water and sewer systems have the capabilities to accommodate the proposed development including fire flow without on-site or off-site improvements. Any extension of the water and sewer systems and/or capacity upgrades required to serve property shall be subject to the rules and regulations of GWA. Any required extension to the existing facilities to serve the subject properties shall be at expense of the applicant.

Given the information provided in the application and existing conditions observed in the field, the following is GWA's position on the zone change application:

08

1. The sewer main is located more than three hundred feet from the subject lot. The water pressure along Banyan Street may not be sufficient to accommodate additional development without infrastructure improvements. GWA recommends coordination with the GWA Engineering Department well in advance of the building permit application submittal.

2. If water and sewer infrastructure facilities are installed by the developer, they will require prior approval and shall be subject to inspection by GWA.

3. The applicant shall install the water meters in the right of way or easement.

4. New development is subject to water and/or sewer system development charges (SDC).

This GWA Position Statement shall remain valid for 365 calendar days from the date of this response. Please contact the GWA Engineering Division regarding water and sewer system improvement design and construction standards and procedures. For additional information please contact Mauryn McDonald, Permits and New Area Development Supervisor, at 300-6054.

Ena 10-014

GUAM POWER AUTHORITY

ATURIDÅT ILEKTRESEDÅT GUAHAN
P.O.BOX 2977 • AGANA, GUAM U.S.A. 96932-2977

October 19, 2015

RECEIVED
OCT 28 2015
Department of Land Management
Time 10 Initial

WB 10/28

MEMORANDUM

To: Chairman, Guam Land Use Commission
Executive Secretary, Guam Land Use Commission

From: General Manager

Subject: Lots 4, Block 1, Tract 1449, Municipality of Mangilao, (Rodrigo G. and Edna D. Cortez); Summary Zone Change Application from "A" (Agricultural) to "R-1" (Single-Family Dwelling) to subdivide into 3-lots and build 3 single family dwellings. **Application No. 2015-41 SZC**

Guam Power Authority has reviewed the application described above and submits the following position statement:

A. Comments and Recommendations Concerning GPA requirements:

1. Applicant is required to comply with the following pursuant to the National Electric Code, National Electric Safety Code and GPA's Service Rules and Regulations:
   - Coordinate overhead/underground power requirements with GPA Engineering for new structures.
   - Maintain minimum clearances as defined by the current edition of the National Electrical Safety Code and National Electrical Code.
   - Maintain adequate clearance between any structures and electric utility easements in accordance with NESC and GPA requirements.
   - Developer/Owner shall provide necessary electric utility easements to GPA prior to final connection.
   - Provide scheduling and magnitude of project power demand requirements for new loads.
   - All relocation costs for GPA's facilities, if necessary, is 100% chargeable to the applicant including but not limited to labor and materials.

2. Primary distribution overhead and underground line extensions and GPA service connections must adhere to the guidelines outlined in the current issue of GPA's Service Rules and Regulations.

3. A system impact assessment may be required to determine the effect of this facility on GPA's existing power facilities.

4. All costs associated with the modification of GPA facilities shall be chargeable to the customer. This includes relocation costs, new installation costs and any required system upgrades.

B. General Comments
GPA has no objection to the request subject to the conditions cited above.

JOHN M. BENAVENTE, P.E.

ASG/arp

INFRASTRUCTURE CERTIFICATION FORM

Agency Certifying: **Guam Power Authority**
Applicant: Rodrigo G. and Edna D. Cortez
Location: Lots 4, Block 1, Tract 1449, Mangilao

Type of Application: Summary Zone Change
GLUC/GSPC Application No. 2015-41
Brief Project Description:
"A" to "R-1" to subdivide into 3-lots and build 3 single family dwellings.

For the purposes of this Certification, GOVERNMENT SERVICES, FACILITIES, and INFRASTRUCTURE include, but are not limited to: **power lines poles and facilities; water lines, pumps and facilities; sewer and liquid waste disposal; storm water disposal; solid waste disposal; telephone lines and facilities; schools; health facilities; police and fire fighting service and facilities; roads; traffic and street lights; parks and recreational activities.**

1. I hereby certify that the required GOVERNMENT SERVICES, FACILITIES and INFRASTRUCTURE are currently **AVAILABLE AND IN PLACE** to support this project:
   Yes ☒ No ☐

2. If the answer to #1 above is YES, then:
   I hereby certify that the required GOVERNMENT SERVICES, FACILITIES and INFRASTRUCTURE are currently **ADEQUATE** to support this project:
   Yes ☐ No ☒

3. If the required GOVERNMENT SERVICES, FACILITIES and INFRASTRUCTURE currently in place are **NOT AVAILABLE** or they are **AVAILABLE, BUT NOT ADEQUATE,** itemize the services, facilities and infrastructure that are needed, the estimated cost thereof and whether funds are currently available and identified to develop such services, facilities and infrastructure:

Services, Facilities and Infrastructure Needed	Cost of Upgrades	Funds Available	Date Available	Funds Identified
Please see comments below				

I hereby certify that the foregoing is true and correct to the best of my knowledge.

JOHN M. BENAVENTE, P.E.
General Manager

10/23/15
Date

Comments:
Based on a preliminary inspection of the site, the electrical facilities may require upgrading to meet the demand of the proposed project. A system impact assessment maybe required to determine the effect of this facility on GPA's existing power distribution system. The applicant will be responsible for the cost of any required system upgrade.

ASG/arp

Eddie Baza Calvo
Governor of Guam

Ray Tenorio
Lieutenant Governor

BUREAU OF STATISTICS & PLANS
SAGAN PLANU SIHA YAN EMFOTMASION
Government of Guam
P.O. Box 2950 Hagåtña, Guam 96932
Tel: (671) 472-4201/3
Fax: (671) 477-1812

William M. Castro
Director
James T. McDonald
Deputy Director

OCT 22 2015

RECEIVED
OCT 23 2015
Department of Land Management
Time 10:11 Init

MB 10/23

RGulac 10/22/15
RECEIVED
Land Plng DW

MEMORANDUM

To: Chairman, Guam Land Use Commission

Via: Executive Secretary, Department of Land Management

From: Director, Bureau of Statistics and Plans

Subject: **APPLICATION NO. SZC** 2015-41; **LOCATION:** Lot No. 4, Block 1, Tract 1449, Mangilao; **APPLICANT:** Rodrigo G. and Edna D. Cortez; **PROPOSED USE:** Rezone property from "A" (Agricultural) to "R1" (Single-Family) to subdivide into 3-lots and construct 3 single family dwellings.

Buenas yan Håfa Adai! Applicants, Rodrigo G. and Edna D. Cortez, are requesting a summary zone change of Lot No. 4, Block 1, Tract 1449 in the village of Mangilao from an "A" Agricultural to "R-1" Single-family residential. The request to rezone the subject lot will allow the existing vacant lot to be subdivided into three lots for three single-family dwellings. The subject property has an area of 20,007(±) square feet or a total of .5 (±) acres. The surrounding land uses to the north of the subject lot is a residential subdivision and to the south are single and duplex residential dwellings. Predominantly within the 750 foot and 1,000 foot radius are zoned agricultural, planned unit development (PUD), and single-family residential.

The Bureau has completed its review of the subject application and has the following comments and recommendations:

1. **Summary Zone Change.** The application lacks a sketch of the proposed development. Title 21 of the Guam Code Annotated; Chapter 61 Zoning Law states that the application should include a sketch of the development. §61639 Summary Procedure for Agricultural and Single Family Residential Zoning states:

   (a) "The submission of a completed application to the Director of Land Management who shall render a decision thereon within sixty (60) calendar days; provided that: (2) the applicant meets all other requirements established by DLM. This shall include the provision of a rough sketch of the development which the applicant intends to undertake on the land involved."

The Bureau recommends the applicant submit detailed information of the proposed plan to include the size and height of all three homes.

2. **Water Source Protection and Stormwater Management.** The applicant's Mangilao property is located in the Northern Watershed, which is home to the Northern Guam Lens Aquifer (NGLA). The aquifer is an essential resource for Guam and is the primary source of drinking water for eighty percent of the island population. Moreover, the U.S. Environmental Protection Agency has designated the NGLA a sole source aquifer for Guam's drinking water. Therefore, it is of paramount importance to prevent sources of contamination from entering Guam's water supply. The primary goal for protecting the aquifer is to safeguard human health and the environment by maintaining water quality for continued use.

   The Bureau is concerned that the applicant's proposal to construct three homes will increase stormwater runoff. Surface runoff from rooftops, driveways, and sidewalks carry pollutants into the water or groundwater. If not managed, this activity can adversely impact Guam's water source and may cause flooding to the surrounding neighbors. Therefore, should this application be approved, the Bureau recommends the applicant to work closely with the Guam Environmental Protection Agency (GEPA) for 1) an aquifer protection review pursuant to the "Federal Safe Drinking Water Act," §1424 and "Guam Safe Drinking Water Act," 10 GCA Chapter 53 and; 2) for implementation of best management practices in preventing stormwater runoff pursuant to the CNMI and Guam Stormwater Management Manual 2006.

3. **Erosion Control.** Should this application be approved, the Bureau advises the applicant implement erosion control methods before, during, and after construction to prevent sediment from leaving the project site. The applicant is advised to work with GEPA for best management practices.

4. **Low Impact Development.** Impervious surfaces from parking stalls, driveways, and rooftops accelerate stormwater runoff. The applicant is encouraged to implement low impact development practices such as permeable parking and walkways, grassed swales or rain gardens into the landscaping design as a means to reduce runoff and control erosion from their property.

5. **Native Flora and Fauna Protection.** Considering the Rhino Beetle and Fire Ants outbreak, the protection from invasive species is crucial in preserving Guam's native plant and animal species. Should the applicant incorporate a landscaping plan into the project, the Bureau recommends the applicant to consult with the Department of Agriculture's (DOAg) Division of Forestry and Soil Resources Division and Agricultural Services Division on using native plants to avoid invasive species outbreaks. The Bureau suggests placing measures to ensure that the property will be landscaped in such a way to provide a buffer between the subject lot and the other residential dwellings. Additionally, this will help reduce storm water runoff and provide aesthetic features.

6. **Entrance/Exit.** The Bureau recommends the applicant coordinate with the Department of Public Works (DPW) to determine appropriate ingress and egress as well as proper parking configuration and dimensions. Additionally, the Bureau suggests the applicant work with DPW to ensure all building codes and regulations mandated under *21 GCA Chapter 61 of The Parking and Loading Space Regulations and 21 GCA Chapter 67 of The Building Code.*

7. **Setbacks.** The Bureau recommends that proper setback requirements are followed and to include a perimeter or buffer area either through landscaping or fencing to ensure the minimum yard and lot areas is established for multi-family residential zones. The Bureau recommends the applicant coordinate with Department of Land Management (DLM) for compliance pursuant to the "Zoning Law", 21 GCA, Chapter 61.

8. **Historic Preservation**. To preserve historic properties and artifacts, the Applicant is advised to coordinate with the Department of Parks and Recreation (DPR), Guam Historic Resources Division, especially if excavation is involved.

In light of the points presented in the application, the Bureau finds that activities of the proposed construction can adversely affect the landscape and surrounding area if measures are not in place. Furthermore, the application lacks sufficient information on the size and height of the proposal. Therefore, should this application be approved, the Bureau recommends that the applicants, Rodrigo G. and Edna D. Cortez comply with the above listed recommendations.

As government officials, it is our primary responsibility to ensure that the construction and operations of this proposed endeavor are in a manner designed to protect the public health, safety, and to promote the public welfare and convenience. We also encourage the applicant to protect Guam's natural resources and to ensure they are used in a sustainable manner.

WILLIAM M. CASTRO

cc: GEPA
DPW
GWA
DPR
GPA
DOAg

Department of Parks and Recreation
Government of Guam
490 Chalan Palasyo
Agana Heights, Guam 96910
Director's Office: (671) 475-6296/7
Facsimile: (671) 477-0997
Parks Division: (671) 475-6288/9
Guam Historic Resources Division: (671) 475-6294/5
Facsimile: (671) 477-2822

Eddie B. Calvo
Governor

Ray Tenorio
Lt. Governor

Robert S. Lizama
Director

William N. Reyes
Director

RECEIVED
OCT 22 2015
Department of Land Management
Time 9:30 Init MP

In reply refer to:
RC2016-0004

October 22, 2015

Memorandum

To: Executive Secretary, Guam Land Use Commission

From: Director, Department of Parks and Recreation

Subject: DLM Application No. 2015-41, Summary Zone Change
Lot 4, Block 1, Tract 1449, Municipality of Mangilao
Applicant: Rodrigo G. and Edna D. Cortez
Case Planner: Penmer C. Gulac

We reviewed the above subject DLM Application and determined that the Zone Change will not affect historic properties within Lot 4, Block 1, Tract 1449 in the Municipality of Mangilao. Therefore, we have no objection to the approval of this Zone Change Application.

If you have any questions, please do not hesitate to call us.

Robert S. Lizama
Acting

DIPÅTTAMENTON MINANEHAN TÅNO'
(Department of Land Management)
GUBETNAMENTON GUÅHAN
(Government of Guam)

EDDIE BAZA CALVO
Governor

RAY TENORIO
Lieutenant Governor

MICHAEL J.B. BORJA
Director

DAVID V. CAMACHO
Deputy Director

Street Address:
590 S. Marine Corps Drive
Suite 733 ITC Building
Tamuning, GU 96913

Mailing Address:
P.O. Box 2950
Hagåtña, GU 96932

Website:
http://land.guam.gov

E-mail Address:
lmdir@land.guam.gov

Telephone:
671-649-LAND (5263)

Facsimile:
671-649-5383

October 1, 2015

To: Certifying Agencies/Departments
Application Review Committee (ARC)

From: Guam Chief Planner

Subject: **Request for Certification**

Attached for your review and action is an application under Public Law 21-82, Section 4; Summary Zone Change Program.

Applicant(s): **Rodrigo G. and Edna D. Cortez**

Application No. **SZC 2015- 41**

Project Description: To rezone the property from "A" (Agricultural) to "R-1" (Single-Family Dwelling) Zone in order to subdivide basic lot into 3-lots and build 3 affordable single family dwellings, reference **Lot 4, Block 1, Tract 1449, Municipality of Mangilao (formerly Barrigada)**

Date Received: **September 17, 2015**

Date Accepted: **September 21, 2015**

** **Due Date for Certification:** **October 15, 2015**
(Public Law 21-144, Section 8(b)(A)(1)

Certification must be directed to Director, Department of Land Management, Division of Planning. If you have any questions, please call 649-5385 or 5390, and ask for Penmer C. Gulac, the assigned case planner.

Thank You.

Marvin Q. Aguilar

PCG: Attachment: SZC Application No. 2015- 41 and supporting documents

2015-41
Rodrigo & Edna Cortez
Summary Zone Change
Accepted Date: 21-Sep-15
Case Planner: PenmerG
ACCEPTED 9/21/15 PG

September 17, 2015

To: Mr. Michael J.B. Borja, Director, Department of Land Management
c/o Land Planning Division, Zone Change Section
Government of Guam
P.O. Box 2950
Hagatna, Guam 96932

P. Gulac 9/17/15 RECEIVED Land Planning Div DLM

Subject: Summary Zone Change Application on **Lot 4 Block 1 Tract 1449 Municipality of Mangilao, Guam**

Dear Mr. Borja,

Pursuant to Public Law 21-82, (as amended by P.L. 21-144, Section 8), I am submitting an application for a Summary Zone Change on the above property. The following information are provided as required to evaluate our application:

a. **What** is the current zone on the property? **"A" (Rural)**
b. **Who** currently owns the property? **Rodrigo G. Cortez and Edna D. Cortez**
c. **How** did you acquire the property? **Purchase/Warranty Deed; Document No. 881458 (see attached with property map, Drawing No. 212 FY87).**
d. **What** is currently on the property? **Vacant**
e. **Is/Are** your structure(s) serviced by public sewer? **Proposed structure(s) will be hooked up to public sewer upon completion.**
f. **What** are your intentions or plans? **To subdivide into 3 lots for 3-single family dwellings and connect to public sewer.**
g. **Surrounding Uses:** To the north is a residential subdivision, to the south are single and duplex residential dwellings.
h. **Topography:** Flat
i. **Soil Composition:** Mixed
j. **Access:** Serviced by a 40' (foot) wide right of way.
k. **All others:** To provide affordable homes for purchase or rental to the public due to high demand for single-family homes in the area.

Your favorable consideration to our proposal is greatly appreciated. Rest assured that we will adhere to the requirements of all Government of Guam agencies impacted by our proposed rezoning application.

Should you need more information, please feel free to contact me at 688-3382 or 482-3362. Thank you.

Yours sincerely,

Rodrigo G. Cortez

"ORIGINAL COPY"

SUMMARY ZONE CHANGE

(P. L. 21-82:4 Short Form as Amended by P.L. 21-144:8)

TO: **Director, Department of Land Management**
c/o Division of Land Planning
Government of Guåhan
P.O. Box 2950, Hagatna, Guåhan 96932

P. Gulac 9/12/11 RECEIVED Land Planning Div

The Undersigned owner(s)/lessee(s) of the following described property hereby request consideration for a *Zone Change.*

1. Information on Applicant:

Name of Applicant: Rodrigo G. Cortez and Edna D. Cortez U.S. Citizen: [X] Yes [ ] No

Mailing Address: P.O. Box 7693, Tamuning, Guam 96931

Telephone No.: Business: 671-688-3382/482-2262 Home: 671-632-3362

2. Location, Description and Ownership: *(NOTE: The maximum lot cannot exceed two (2) acres or 8,093.743 square meters or 87,120 square feet, gross area.)*

Subdivision Name: N/A

Lot(s): 4 Block: 1 Tract: 1449

Lot Area: Acres: 1/2 Square Meters: 1,859 Square Feet: 20,007

Village: Banyan Heights Municipality: Mangilao

Registered Owner(s): Rodrigo G. Cortez and Edna D. Cortez

Certificate of Title No.: N/A Recorded Document No.: N/A

Deed (Gift, Warranty, etc.): Warranty Deed Deed Document No.: 881458
Note: Survey Map Ref LM 212 FY 87

3. Current and Proposed Land Use:

Current Use: Vacant Current Zoned: "A" (Agricultural)

Proposed Use: To subdivide into 3-Residential lots. Proposed Zone: "R-1" (Single-Family Dwelling)

4. Justification Letter: Attach a typed, brief and concise justification (letter format if possible) explaining your intentions and purpose of the Zone Change. Your letter should be addressed to the Director of Land Management. (See Attached)

"ORIGINAL COPY"

SUMMARY ZONE CHANGE

(P. L. 21-82:4 Short Form as Amended by P.L. 21-144:8)

5. **Support Information.** The following information shall be attached to this application:

b. A map, drawn to scale, showing existing zoning within 1000 feet radius and all parcels and their uses within 750 feet radius from the subject lot boundaries. The map shall contain:
   (6) Lot number for every parcel(s);
   (7) Identify by name and use all existing activities on all parcel(s) through a legend/code reference;
   (8) All adjacent easements and roads leading to, and serving property, their widths, and condition of surfaces;
   (9) The nearest location of all public utilities to the subject lot; and
   (10) Show any/all features of property such as flat/hilly terrain, flood hazard, etc.

e. The most recent survey map certified and recorded at the Department of Land Management, of the subject property.

f. For "R-1" Zone Change request: A proposed property map showing how many lots are to be subdivided.

g. For "R-2" Zone Change request: Preliminary sketch plan shall be drawn to scale and show the following items:

   (1) Density: Number of units and type. (i.e. Eight (8) units, three (3) bedroom, two baths, etc.)
   (2) All setback or distances from proposed building to property boundary line.
   (2) Parking Stalls must be numbered. Also, show one parking for persons with disabilities for every sixty (60) regular stalls (minimum).
   (4) Recreational area or playground must be provided for children of tenants.
   (5) Show nearest location of sewer, water, power connection or hook-up.
   (6) Entrance and Exit of project area.
   (9) Etc...Any other information you feel is necessary or pertinent to your request.
   (10) In addition the following information is required by the Agencies as follows:

GUÅHAN POWER AUTHORITY

2. Include Ownership and Encumbrance Title Report.

2. Provide Proposed Power Demand in Kilovolt Amps (KVA).

GUÅHAN ENVIRONMENTAL PROTEACTION AGENCY

3. Copy of Site Layout showing the existing building structures and the outline of the septic tank and leaching field system, if not connected to public sewer.
4. Copy of building Permits for any extensions (additional structures) to the existing dwelling unit.

6. **Filing Fee: Twenty-Five Dollars ($25.00)** filing fee, signed and approved by the Governor of Guåhan on May 18, 2007, under Public Law 29-02, Chapter V, Part III *(Fees and Charges Assessed by the Department of Land Management).*

"ORIGINAL COPY"

SUMMARY ZONE CHANGE

(P. L. 21-82:4 Short Form as Amended by P.L. 21-144:8)

7. **Required Signatures:** All legal owners/lessees of designated parcel shall sign form with name(s) typed or handwritten, signed and dated:

I/We hereby certify that all information contained in this application and its supplements are true and correct. I/We also understand that any misrepresentation in this application shall void the entire submission.

Rodrigo G. Cortez	Edna D. Cortez
Owner *(Print/Sign)*	**Owner *(Print/Sign)***
September 17, 2015	September 17, 2015
Date	**Date**
Representative *(If any)*	**Date**

THIS FORM SHALL NOT BE MAILED. APPLICANT OR REPRESENTATIVE SHALL SUBMIT IN PERSON, BY APPOINTMENT ONLY, TO THE LAND PLANNING DIVISION, DEPARTMENT OF LAND MANAGEMENT.

Issued of Guam, Government of Guam
Department of Land Management Chief Officer of the Recorder

881458
15 08 06 1:32
225 41283

WARRANTY DEED

TO ALL WHOM THESE PRESENTS MAY COME, GREETINGS:

THAT ON THIS 6th day of **August, 2015, ROGELIO A. SARDEA and EDITA L. SARDEA, Husband and Wife,** whose address is, PO Box 6961, Tamuning, Guam 96931, hereinafter referred to as "GRANTORS", for and in consideration of the sum of TEN DOLLARS ($10.00) and other valuable consideration to them paid by, **RODRIGO G. CORTEZ and EDNA D. CORTEZ, Husband and Wife,** whose address is, PO Box 7693 TAMUNING, Guam 96931, and hereinafter referred to as "GRANTEES", the receipt, adequacy and sufficiency whereof is hereby acknowledged, do hereby GRANT, BARGAIN, SELL and CONVEY unto the GRANTEES as **Joint Tenants with Rights of Survivorship,** the following described property:

LOT NUMBER 4, BLOCK NUMBER 1, TRACT NUMBER 1449, (Formerly Lot Number 5351-1-3), **MUNICIPALITY OF MANGILAO,** (Formerly BARRIGADA), TERRITORY OF GUAM, ESTATE NUMBER 67873, SUBURBAN, as said Lot is marked and designated on Drawing Number RRV87-12ROS, as L.M. Check Number 212 FY 87, as described in that Agricultural Subdivision Map, dated JANUARY 21, 1988 and recorded MARCH 08, 1988, at the Records Division, Department of Land Management, Government of Guam, under Document Number **395434.**

Registered Land, with the LAST REGISTERED OWNER being **OSIAS B. DEL ROSARIO and CAROL P. DEL ROSARIO,** the OWNER OF RECORD being **ROGELIO A. SARDEA and EDITA L. SARDEA, Husband and Wife, as Joint Tenants with Rights of Survivorship** and the LAST CERTIFICATE OF TITLE REGISTRATION NUMBER being **93940.**

AREA: **1,859 +/- SQUARE METERS**
20,007 +/- SQUARE FEET

TOGETHER with reversions, remainders, rents, issues and profits thereof and all of the estate, right, title and interest of the GRANTOR, both at law and in equity, therein and thereto.

TO HAVE AND TO HOLD the same, together with all buildings, improvements, rights, easements, privileges and appurtenances thereon and thereto belonging or appertaining or held and enjoyed therewith, unto GRANTEE, in fee simple, GRANTEE'S successors and assigns forever.

AND GRANTOR, for GRANTOR and GRANTORS' heirs, executors and administrators do hereby WARRANT and COVENANT with the GRANTEE, and GRANTEE'S successors and assigns, that they are lawfully seized of the above described property in fee simple; that the same is free and clear of all encumbrances excepting current real property taxes not yet due and payable.

THAT the GRANTEES shall have the right of quiet enjoyment of said property, and that Grantor and Grantor's heirs, executors and administrator warrant and defend the same to GRANTEES, their successors and assigns against the lawful claims and demands of all persons.

AND GRANTEE, for GRANTEE and GRANTEE'S successors and assigns does hereby acknowledge and confirm that water and power are immediately available on the property or within 100 feet of the property described above.

IN WITNESS WHEREOF, this instrument has been executed the day and year first above written.

GRANTORS:

ROGELIO A. SARDEA

EDITA L. SARDEA

GRANTEES:

RODRIGO G. CORTEZ

EDNA D. CORTEZ

GUAM, U.S.A.)
) ss
CITY OF HAGATNA)

ON THIS 6th day of **August, 2015**, before me, a Notary Public in and for GUAM, U.S.A., personally appeared **ROGELIO A. SARDEA and EDITA L. SARDEA,** and they acknowledged to me that they executed the foregoing WARRANTY DEED, as their free and voluntary act and deed for the purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year first above written.

NOTARY PUBLIC
My commission expires:

ERLINE C. FENWICK
NOTARY PUBLIC
In and for Guam, U.S.A.
My Commission Expires: Sept. 12, 2015
Herman Cortez St. Title Guaranty Bldg.
Suite 320, Hagatna, Guam 96932

GUAM, U.S.A.)
) ss
CITY OF HAGATNA)

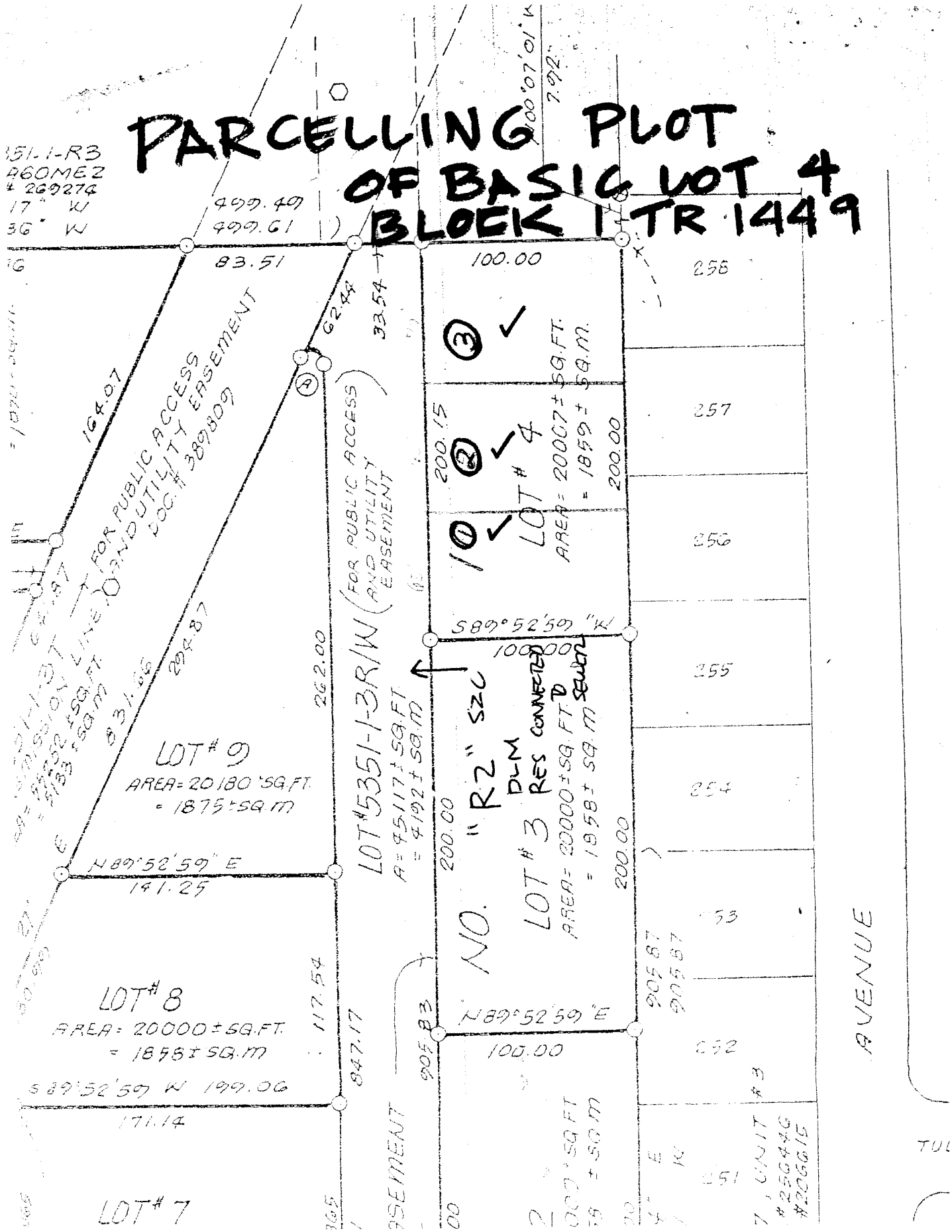
ON THIS 6th day of **August, 2015**, before me, a Notary Public in and for GUAM, U.S.A., personally appeared **RODRIGO G. CORTEZ and EDNA D. CORTEZ**, and they acknowledged to me that they executed the foregoing WARRANTY DEED, as their free and voluntary act and deed for the purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed my official seal the day and year first above written.

NOTARY PUBLIC
My commission expires:

ERLINE C. FENWICK
NOTARY PUBLIC
In and for Guam, U.S.A.
My Commission Expires: Sept. 12, 2015
Herman Cortez St. Title Guaranty Bldg.
Suite 320, Hagatna, Guam 96932

Esc#331.15/EF


PARCELLING PLOT
OF BASIC LOT 4
BLOCK I TR 1449
499.49
499.61
83.51
100.00
62.44
33.54
164.07
200.15
200.00
LOT # 4
AREA = 20007± SQ.FT.
= 1859± SQ.M.
FOR PUBLIC ACCESS AND UTILITY EASEMENT DOC. # 389809
S89°52'59"W
100.00
LOT #5351-I-3R/W (FOR PUBLIC ACCESS AND UTILITY EASEMENT)
A = 45117± SQ.FT
= 4192± SQ.M
262.00
294.87
831.06
LOT # 9
AREA = 20180 SQ.FT.
= 1875± SQ.M
N89°52'59" E
141.25
200.00
"R2" SZC
DL-M
RES CONNECTION
LOT # 3
AREA = 20000± SQ.FT.
= 1858± SQ.M
200.00
NO.
LOT # 8
AREA = 20000± SQ.FT.
= 1858± SQ.M
117.54
847.17
905.83
N89°52'59" E
100.00
S89°52'59" W 199.06
171.14
LOT # 7
AVENUE

GUAM COMMUNITY COLLEGE
Kulehon Kumunidát Guáhan

Office of the President
Mary A.Y. Okada, Ed.D

DEC 29 2016

33-16-2312
Office of the Speaker
Judith T. Won Pat, Ed.D
Date: 12-29-16
Time: 3:15pm
Received By:

MEMORANDUM

Judith T. Won Pat, Speaker
33rd Guam Legislature
155 Hesler Street
Hagatna, Guam 96910

SUBJECT: Reporting Requirements – November 2016

Transmitted herewith is the reporting requirements and staffing pattern for your review. Pursuant to Public Law 29-113, Chapter VII, Section 20, the Guam Community College has no prior year obligations to report.

If there are any questions, please contact me at 735-5700.

Mary A.Y. Okada

Mary A.Y. Okada, Ed.D.
President

P.O. Box 23069, Barrigada, Guam 96921 Phone: (671) 735-5700/5638 Fax: (671) 734-1003
Website: www.guamcc.edu

Guam Community College Financial Report

All Current Operating Funds
Revenues and Expenditures (Un-Audited)
Fiscal Year 2017
As of November 30, 2016

Revenues	GovGuam Appropriations Gen Fund/MDF	All Other (NAF)	Local Grants	Federal Grants	Total
	$3,488,682	$3,710,923	$62,442	$0	$7,262,048
Received	0	3,710,923	0	0	3,710,923
FY 2017 Revenue Budget	$20,711,323	$13,700,314	$2,671,765	$6,325,862	$43,409,264
Percent Received	**0%**	**27%**	**0%**	**0%**	**9%**

Expenditures/ Encumbrances	GovGuam Appropriations General Fund/MDF	All Other (NAF)	Local Grants	Federal Grants	Total
Personnel Services	$1,712,353	$301,340	$31,283	$78,415	$2,123,391
Travel	$0	$11,698	$8,294	$16,822	36,815
Contractual Services	$359,215	$630,618	$2,023,551	$25,852	3,039,236
Supplies & Materials	$22,046	$54,672	$41,448	$7,642	125,808
Equipment (under $250)	$390	$403,288	$2,854	$162,099	568,631
Utilities	$261,755	$0	$0	$0	261,755
Miscellaneous	$6,160	$31,354	$0	$2,902,316	2,939,830
Grant Aid	$0	$0	$0	$0	0
Capital Outlay	$0	$0	$0	$279,060	279,060
Administrative Cost Recoveries	$0	$0	$647	$0	647
Transfers	$0	$59,176	$0	$0	59,176
Funds Pending Allocation	$0	$0	$0	$0	0
TOTAL	**$2,361,920**	**$1,492,147**	**$2,108,078**	**$3,472,206**	**$9,434,351**
Total Encumbrances	**266,714**	**732,164**	**2,045,635**	**353,132**	**3,397,646**
Total Expenditures	**$2,095,206**	**$759,983**	**$62,442**	**$3,119,074**	**$6,036,705**
Percent Expended/Encumbered	**11%**	**11%**	**79%**	**55%**	**22%**
Operating Income (loss)	($2,361,920)	**$2,218,777**	($2,108,078)	($3,472,206)	($5,723,427)

GovGuam Appropriations	Billed	Received	Budget
General Fund	2,983,845	-	17,903,072
TAF-Lodging Management	24,154	-	24,154
LPN/Vocational Guidance	139,267	-	835,600
GF Apprenticeship Program	62,492	-	374,951
ManPower Development	182,437	-	1,094,624
Capital Improvements Fund	46,487	-	278,922
First Generation Trust Fund Initiati	50,000	-	200,000
	3,488,682	**-**	**20,711,323**

Guam Community College Staffing Pattern with Vacancies as of 30-NOV-16

asn/12.13.16	Position Number	Organization	Position Title	Name of Incumbent	Hire Date	Grade/ Step	Increment Date	Salary	Retirement 27.41%	Retire (DDI)	Medicare	Life	Medical (Premium)	Dental (Premium)	Pay Stat	Total Benefits	Total
1	PRE004	Office of the President	Administrative Secretary	Guerrero, Bertha M.	19-Mar-2012	I-6	19-Mar-2017	34,445	9,441	495	499	178	2,473	224	26	13,311	47,756
2	PRE005	Office of the President	President	Okada, Mary A.	16-Jun-2007	R-12-a	01-Jan-2017	158,101	43,335	0	2,292	178	3,852	1,236	26	50,894	208,995
3	PRE006	Office of the President	Private Secretary	Muna, Esther A.	01-Oct-2007	I-10	01-Apr-2017	39,250	10,758	495	569	178	0	0	26	12,000	51,250
4	PRE007	Office of the President	Program Specialist	Santo Tomas, Dennis J.	12-Sep-2016	K-7-c	12-Sep-2017	52,811	14,476	495	766	0	0	0	26	15,736	68,548
5	PRE002	Communications and Pr	Assistant Director	Flores, Jayne T.	27-Jul-2009	O-5-b	01-Jan-2017	81,578	22,360	495	1,183	178	1,806	240	26	26,262	107,840
6	ASD001	Planning and Developm	Administrative Assistant	Arceo, Josephine T.	12-Feb-1990	J-14	14-Aug-2017	48,339	13,250	495	0	178	4,489	299	26	18,711	67,050
7	ASD016	Planning and Developm	Program Specialist	Johns, Priscilla C.	04-Aug-2014	K-10-b	01-Jan-2017	58,929	16,152	0	854	178	2,473	224	26	19,882	78,811
8	ASD021	Planning and Developm	Assistant Director	Perez, Doris C.	11-Jun-2001	O-8-b	01-Jan-2017	91,915	25,194	0	1,333	178	2,473	224	26	29,402	121,317
68	ASD004	Planning and Developm	Planner IV	**Vacant-Benavente, J.	Vacant	N-8	Vacant	58,053	15,912	495	842	178	6,234	404	26	24,065	82,118
9	AAD079	High School Equivalency	Test Examiner	Cruz, Evangeline P.	05-Dec-1994	I-9	10-Dec-2017	38,043	10,428	0	552	178	4,489	299	26	15,945	53,988
10	ASD009	Facilities	Refrigeration Mechanic	Quichocho, Joseph R.	27-Dec-2010	I-9	07-Jul-2018	38,043	10,428	495	552	178	4,489	299	26	16,440	54,483
11	ASD022	Facilities	Maintenance Worker	Toves, III, Albert S.	27-Jun-2011	H-4	27-Jun-2017	29,640	8,124	495	430	178	6,234	404	26	15,865	45,505
12	ASD033	Facilities	Program Specialist	**Vacant-Perez, L.	Vacant	K-5-b	Vacant	48,300	13,239	495	700	178	0	0	26	14,612	62,912
13	ASD034	Facilities	Maintenance Worker	Manglona, Richard R.	01-Jun-2015	H-2	01-Jun-2017	27,518	7,543	495	399	178	0	0	26	8,615	36,133
14	ASD036	Facilities	Maintenance Worker	Roberto, Joey C.	10-Oct-2011	H-4	10-Oct-2017	29,640	8,124	495	430	178	2,473	224	26	11,924	41,564
15	ASD037	Facilities	Maintenance Specialist	**Vacant-Quenga, B.	Vacant	I-4	Vacant	31,970	8,763	495	464	178	2,981	404	26	13,285	45,255
16	ASD041	Facilities	Maintenance Supervisor	Pritchard, Richard W.	23-Feb-2015	L-2	23-Feb-2017	38,501	10,553	495	558	178	6,234	404	26	18,422	56,923
17	ASD048	Facilities	Maintenance Worker	Tyquiengco, Jon J.	24-Jun-2013	H-4	24-Jun-2017	29,640	8,124	495	430	178	2,473	224	26	11,924	41,564
18	ASD206	Facilities	Refrigeration Mechanic	Mantanona, Jonathan P.	07-Apr-2014	H-3	07-Apr-2017	28,558	7,828	495	414	178	6,234	404	26	15,553	44,111
19	AAD036	VP Business	Program Specialist	Gima, Wesley T.	17-Feb-1998	K-10-b	01-Jan-2017	58,926	16,152	495	854	178	1,320	224	26	19,223	78,150
20	BFD013	VP Business	Administrative Assistant	Cruz, Vivian D.	11-Sep-2006	J-9	11-Mar-2017	41,350	11,334	0	600	178	0	0	26	12,112	53,462
21	BFD022	VP Business	Vice President	Santos, Carmen K.	03-Dec-2007	P-10-a	01-Jan-2017	112,341	30,793	495	1,629	178	6,234	404	26	39,733	152,073
22	BFD003	Business Office	Accountant I	Mayo, Lucille A.	09-Nov-2015	K-2	09-Nov-2017	35,194	9,647	495	510	178	0	0	26	10,830	46,024
23	BFD004	Business Office	Accountant I	Lam, Pik Man	16-Aug-2010	K-4	16-Aug-2017	37,918	10,393	495	550	178	0	0	26	11,616	49,534
24	BFD005	Business Office	Accountant II	Guerrero, Carol A.	04-Mar-1997	M-9	29-Sep-2018	54,238	14,867	495	786	178	2,473	224	26	19,023	73,261
25	BFD008	Business Office	Cashier II	Okada, Roma P.	25-Apr-2016	F-1	25-Apr-2017	23,171	6,351	495	336	178	0	0	26	7,360	30,531
26	BFD009	Business Office	Accounting Technician I	Mesa, Catherine S.	03-Jun-2013	H-4	03-Jun-2017	29,640	8,124	495	430	178	3,773	240	26	13,240	42,880
27	BFD010	Business Office	Accountant II	Santos Torres, Linda	05-Jan-1995	M-8	10-Aug-2017	52,562	14,407	495	762	178	2,473	224	26	18,539	71,101
28	BFD012	Business Office	General Accounting Sup	San Nicolas, Cheryl B.	29-Jan-2003	P-4	18-Feb-2017	62,046	17,007	0	900	178	0	0	26	18,084	80,130
29	BFD015	Business Office	Accounting Technician II	Borja, Levonne G.	04-Jan-2016	I-1	04-Jan-2017	28,600	7,839	495	415	178	6,234	404	26	15,565	44,165
30	BFD029	Business Office	Controller	Limtuatco, Edwin E.	18-Jan-2011	N-7-c	01-Jan-2017	78,250	21,448	495	1,135	178	1,806	240	26	25,302	103,551
31	BFD030	Business Office	Accounting Technician I	Sablan, Darlynn T.	25-Mar-2013	H-4	25-Mar-2017	29,650	8,127	495	430	178	1,806	240	26	11,276	40,926
32	ASD002	Management Informatic	Systems Programmer	Bautista, Kenneth C.	06-Jun-2005	N-10	06-Jun-2018	61,796	16,938	0	896	178	2,473	224	26	20,709	82,505
33	ASD005	Management Informatic	Computer Operator II	David, Margarita Q.	19-Nov-1990	I-16	22-Nov-2017	47,341	12,976	0	0	178	2,145	0	26	15,299	62,640
34	ASD006	Management Informatic	Computer Technician II	De Roca, Victor F.	27-Jul-2015	J-2	27-Jul-2017	32,261	8,843	495	468	178	3,176	0	26	13,160	45,421
35	ASD007	Management Informatic	Teleprocessing Netwk C	Reyes, Richard J.	29-Jul-2013	K-4	29-Jul-2017	37,918	10,393	495	550	178	2,473	224	26	14,313	52,231
36	ASD008	Management Informatic	Computer Systems Anal	**Vacant-Rivera, D.	Vacant	M-6	Vacant	49,088	13,455	0	712	178	6,234	404	26	20,983	70,071
37	ASD010	Management Informatic	Data Processing System	Camacho, Francisco C.	23-Aug-1999	N-8-a	01-Jan-2017	79,830	21,882	0	1,158	178	6,234	404	26	29,855	109,685
38	ASD011	Management Informatic	Teleprocessing Netwk C	Camacho, Christopher J.	03-Feb-2003	K-6	17-Sep-2017	40,851	11,197	495	592	178	3,176	404	26	16,043	56,894
39	ASD025	Management Informatic	Computer Technician II	De Leon, Benedict C.	31-Oct-2005	J-4	30-Apr-2017	34,736	9,521	495	504	178	1,320	224	26	12,242	46,978
40	ASD027	Management Informatic	Computer Systems Anal	Dacanay, Gerard L.	04-Jun-2001	M-12	04-Jun-2017	59,571	16,328	0	864	178	1,320	224	26	18,914	78,485

Guam Community College Staffing Pattern with Vacancies as of 30-NOV-16

asn/12.13.16	Position Number	Organization	Position Title	Name of Incumbent	Hire Date	Grade/ Step	Increment Date	Salary	Retirement 27.41%	Retire (DDI)	Medicare	Life	Medical (Premium)	Dental (Premium)	Pay Stat	Total Benefits	Total
82	AAD151	Automotive Technology	Assistant Instructor	Lawcock, Danilo J.	21-Aug-1989	I-15-c	01-Aug-2017	55,877	15,316	0	810	178	2,473	224	21	19,001	74,878
83	AAD153	Automotive Technology	Instructor	Tudela, Erwin F.	25-Jun-1990	J-14-b	01-Aug-2017	60,614	16,614	0	879	178	0	0	26	17,671	78,285
84	AAD154	Automotive Technology	Instructor	Egana, Joel E.	01-Oct-2010	J-9-a	01-Aug-2017	49,190	13,483	495	713	178	6,234	404	26	21,507	70,697
85	AAD155	Automotive Technology	Tool Mechanic	Josha, Golder C.	10-Feb-2014	F-3	10-Feb-2017	24,960	6,842	495	362	178	6,234	404	26	14,514	39,474
86	AAD182	Cosmetology	Assistant Instructor	**Vacant-Bukikosa, I.	Vacant	I-7-a	Vacant	39,850	10,923	495	578	178	6,234	404	26	18,812	58,661
87	AAD183	Cosmetology	Associate Professor	**Vacant-Abshire, R.	Vacant	L-10-c	Vacant	67,855	18,599	495	984	178	6,234	404	26	26,894	94,749
88	AAD010	Early Childhood Educati	Instructor	Palomo, Melissa L.	01-Aug-2010	J-6-a	01-Aug-2017	43,646	11,963	495	633	178	4,489	299	26	18,057	61,703
89	AAD147	Early Childhood Educati	Instructor	Lauilefue, Eleanor H.	12-Aug-2016	J-3-a	01-Aug-2018	38,741	10,619	495	562	178	0	0	26	11,854	50,594
90	AAD185	Early Childhood Educati	Professor	Postrozny, Marsha M.	18-Jan-2000	M-11-d	01-Aug-2017	89,038	24,405	495	1,291	178	1,806	240	26	28,415	117,453
91	AAD198	Early Childhood Educati	Professor	Leon Guerrero, Sarah S.	01-Oct-2009	M-13-a	01-Aug-2017	85,445	23,420	0	1,239	178	2,473	224	26	27,534	112,979
92	AAD207	Early Childhood Educati	Administrative Assistant	Pascua, Tara Rose A.	01-Apr-2015	J-2	01-Apr-2017	32,261	8,843	495	468	178	2,473	224	26	12,681	44,942
93	AAD089	Criminal Justice and Soc	Assistant Professor	**Vacant-Sison, B.	Vacant	K-5-b	Vacant	48,300	13,239	495	700	178	1,320	224	26	16,156	64,456
94	AAD176	Criminal Justice and Soc	Professor	Cruz, Donna M.	03-May-1999	M-13-c	01-Aug-2017	87,158	23,890	495	1,264	178	1,320	224	26	27,371	114,529
95	AAD186	Criminal Justice and Soc	Administrative Assistant	**Vacant-Quitugua, R.	Vacant	J-10	Vacant	42,661	11,693	0	619	178	1,806	240	26	14,536	57,197
96	AAD051	Social Science	Instructor	Concepcion, Jonah M.	10-Aug-2015	J-4-a	01-Aug-2017	40,303	11,047	495	584	178	2,473	224	26	15,001	55,304
97	AAD053	Social Science	Associate Professor	Munoz, Jose U.	16-Aug-1990	L-10-d	01-Aug-2017	68,527	18,783	495	994	178	2,473	224	26	23,147	91,674
98	AAD019	Business and Visual Com	Instructor	Lee, Jooho	12-Aug-2016	I-2-b	LTA	32,978	9,039	495	478	0	2,473	224	21	12,710	45,688
99	AAD188	Adult Basic Education	Program Coordinator I	Joker, Darwin K.	04-Aug-2014	K-4	15-Nov-2017	37,918	10,393	495	550	178	3,773	240	26	15,629	53,547
100	AAD056	Nursing and Allied Healt	Instructor	Uchima, Katsuyoshi	22-Jan-2003	J-12-c	01-Aug-2017	56,532	15,495	495	820	178	6,234	404	26	23,626	80,158
101	AAD156	Nursing and Allied Healt	Assistant Professor	delos Santos, Maria Cecilia H.	01-Oct-1998	K-14-b	01-Aug-2017	69,098	18,940	0	1,002	178	1,806	240	26	22,166	91,264
102	AAD157	Nursing and Allied Healt	Instructor	Romulo, Dan-Michael B.	01-Aug-2014	J-6-b	01-Aug-2017	44,083	12,083	495	639	178	2,473	224	26	16,092	60,175
103	AAD158	Nursing and Allied Healt	Instructor	Dumchus, Karen I.	05-Aug-2002	J-14-a	01-Aug-2017	60,010	16,449	495	870	178	1,320	224	21	19,536	79,546
104	AAD159	Nursing and Allied Healt	Instructor	Mafnas, Barbara C.	31-Jul-2000	J-14-b	01-Aug-2017	60,614	16,614	495	879	178	0	0	26	18,166	78,780
105	AAD029	Tourism and Hospitality	Assistant Instructor	Takano, Carl C.	18-Aug-2016	I-2-b	LTA	32,978	9,039	495	478	0	0	0	21	10,013	42,991
106	AAD055	Tourism and Hospitality	Associate Professor	Blas, Doreen J.	01-Oct-2010	L-12-d	01-Aug-2017	74,206	20,340	0	1,076	178	6,234	404	26	28,232	102,438
107	AAD057	Tourism and Hospitality	Assistant Professor	Schrage, Marivic C.	02-Feb-1998	K-13-d	01-Aug-2017	74,189	20,335	495	1,076	178	0	0	26	22,084	96,273
108	AAD060	Tourism and Hospitality	Emergency Instructor	Torrella, Patricia N.	12-Aug-2016	I-1-a	LTA	31,382	8,602	495	455	0	2,473	0	21	12,025	43,407
109	AAD062	Tourism and Hospitality	Assistant Professor	Aguilar, Norman L.	03-Jan-2012	K-11-a	01-Aug-2017	60,715	16,642	495	880	178	2,473	224	26	20,892	81,607
110	AAD063	Tourism and Hospitality	Professor	Chong, Eric K.	17-Aug-1994	M-13-d	01-Aug-2017	88,032	24,130	495	1,276	178	2,145	299	26	28,523	116,555
111	AAD065	Tourism and Hospitality	Instructor	Evangelista, Frank F.	17-Oct-1994	J-13-a	01-Aug-2017	57,674	15,808	495	836	178	1,806	240	21	19,364	77,038
112	AAD066	Tourism and Hospitality	Instructor	Ji, Eric Y.	01-Aug-2014	J-4-a	01-Aug-2017	40,303	11,047	495	584	178	2,981	404	26	15,689	55,992
113	AAD067	Tourism and Hospitality	Instructor	Dingcong, David John P.	12-Aug-2016	J-3-a	LTA	38,741	10,619	495	562	0	0	0	21	11,676	50,416
114	AAD068	Tourism and Hospitality	Assistant Professor	Cruz, Carol R.	05-Aug-2002	K-12-b	01-Aug-2017	63,806	17,489	0	925	178	2,145	299	26	21,036	84,842
115	AAD069	Tourism and Hospitality	Instructor	Cosico, Narciso H.	12-Aug-2016	J-3-a	LTA	38,741	10,619	0	562	0	2,981	404	21	14,566	53,306
116	AAD070	Tourism and Hospitality	Administrative Aide	Blas, Joanne M.	14-May-2007	F-6	14-May-2017	27,907	7,649	495	405	178	2,473	224	26	11,424	39,331
117	AAD082	Tourism and Hospitality	Assistant Instructor	Talavera, Jesse O.	12-Aug-2016	I-2-b	LTA	32,978	9,039	495	478	0	2,473	224	21	12,710	45,688
118	AAD098	Tourism and Hospitality	Assistant Instructor	Haurillon, Bertrand J.	10-Aug-2015	I-4-c	01-Aug-2018	36,070	9,887	495	523	178	2,981	0	26	14,064	50,133
119	AAD017	Construction Trades	Emergency Instructor	Tenorio, Leonard A.	12-Aug-2016	I-1-a	LTA	31,382	8,602	495	455	0	0	0	21	9,552	40,934
120	AAD035	Construction Trades	Assistant Instructor	Santos, Ronald T.	01-Aug-2010	I-6-d	01-Aug-2017	39,446	10,812	495	572	178	2,473	224	26	14,754	54,200
121	AAD088	Construction Trades	Assistant Instructor	Diaz, Vicente P.	09-Sep-2016	I-2-c	LTA	33,314	9,131	496	483	0	0	0	21	10,111	43,425
122	AAD130	Construction Trades	Associate Professor	**Vacant-San Nicolas, A.	Vacant	L-12-d	Vacant	74,206	20,340	0	1,076	178	6,510	404	26	28,508	102,714

Guam Community College Staffing Pattern with Vacancies as of 30-NOV-16

asn/12.13.16	Position Number	Organization	Position Title	Name of Incumbent	Hire Date	Grade/ Step	Increment Date	Salary	Retirement 27.41%	Retire (DDI)	Medicare	Life	Medical (Premium)	Dental (Premium)	Pay Stat	Total Benefits	Total
163	AAD103	Assessment and Counse	Associate Professor	Terlaje, Patricia M.	03-Dec-2002	L-12-b	01-Aug-2017	72,744	19,939	495	1,055	178	0	0	26	21,667	94,411
164	AAD104	Assessment and Counse	Associate Professor	Lizama, Troy E.	06-Nov-2000	L-12-a	01-Aug-2017	72,022	19,741	495	1,044	178	0	0	26	21,459	93,481
165	AAD107	Assessment and Counse	Associate Professor	Roberto, Anthony J.	01-Oct-2009	L-12-b	01-Aug-2017	79,672	21,838	0	1,155	178	3,773	240	26	27,184	106,856
166	AAD131	Enrollment Services	Instructor	Arce, Imelda D.	16-Sep-1991	J-13-d	01-Aug-2017	59,419	16,287	0	862	178	0	0	26	17,326	76,745
167	AAD071	Accomodative Services	Program Specialist	Payne, John F.	13-Aug-2012	K-9-b	01-Jan-2017	57,762	15,832	495	838	178	1,320	224	26	18,887	76,649
168	AAD200	Accomodative Services	School Aide I	**Vacant-Kowalski, D.	Vacant	E-5	Vacant	24,482	6,710	495	355	178	1,320	224	26	9,282	33,764
169	AAD014	Computer Science	Associate Professor	Teng, Zhaopei	07-Aug-2001	L-13-a	01-Aug-2017	74,945	20,542	495	1,087	178	1,320	224	26	23,846	98,791
170	AAD020	Computer Science	Instructor	Setzer, II, Michael D.	04-Apr-1983	J-16-a	01-Aug-2017	67,982	18,634	0	986	178	1,320	224	21	21,342	89,324
171	AAD021	Computer Science	Assistant Professor	Flores, Yvonne C.	03-Aug-1998	K-10-a	01-Aug-2017	58,346	15,993	0	846	178	1,320	224	26	18,561	76,907
172	AAD081	English	Professor	Baza-Cruz, Lisa A.	10-Sep-1990	M-12-d	01-Aug-2017	92,662	25,399	0	1,344	178	1,320	224	26	28,464	121,126
173	AAD084	English	Instructor	Calvo, Jr., Vito K.	08-Aug-2014	J-3-a	01-Aug-2017	38,741	10,619	495	562	178	2,473	224	26	14,551	53,291
174	AAD146	English	Associate Professor	Tenorio, Juanita M.	22-Jan-2003	L-11-d	01-Aug-2017	71,316	19,548	495	1,034	178	2,473	224	26	23,952	95,268
175	AAD109	English	Assistant Professor	**Vacant-Reid, C.	Vacant	K-5-c	Vacant	48,778	13,370	495	707	178	6,234	404	26	21,388	70,166
176	AAD166	Electronics	Instructor	**Vacant-Valenzuela,R.	Vacant	J-3-a	Vacant	38,741	10,619	495	562	0	0	0	21	11,676	50,416
177	AAD022	Electronics	Assistant Professor	Lee, Hee Suk	01-Oct-2013	K-9-d	01-Aug-2017	57,775	15,836	495	838	178	2,473	0	26	19,820	77,595
178	AAD037	Electronics	Instructor	Atalig, Adrian M.	01-Aug-2010	J-5-c	01-Aug-2017	42,790	11,729	495	620	178	1,320	224	26	14,566	57,356
179	AAD161	Electronics	Instructor	Kuper, Terry F.	01-Aug-2012	J-13-d	01-Aug-2017	65,081	17,839	495	944	178	1,806	240	26	21,501	86,582
180	AAD169	Electronics	Assistant Instructor	Calbang, Joegines P.	12-Aug-2016	I-2-c	LTA	33,314	9,131	495	483	178	2,473	224	21	12,985	46,299
181	AAD172	Electronics	Instructor	Angay, Roderick R.	12-Aug-2016	J-3-a	LTA	38,741	10,619	495	562	178	1,320	224	21	13,398	52,138
182	AAD095	Learning Resource Cent	Assistant Professor	Matson, Christine B.	27-Aug-2002	K-9-d	01-Aug-2017	68,780	18,853	495	997	178	6,234	404	26	27,161	95,941
183	AAD096	Learning Resource Cent	Associate Professor	Neff, Bernard R.	03-Sep-1996	L-9-d	01-Aug-2017	78,400	21,489	495	1,137	178	2,473	224	26	25,996	104,396
184	AAD097	Learning Resource Cent	Library Technician Supe	Sgambelluri, Juanita I.	23-Aug-2004	J-10	23-Feb-2018	42,661	11,693	0	619	178	2,981	404	26	15,875	58,536
185	AAD099	Learning Resource Cent	Library Technician II	Cheipot, Steve S.	12-Mar-2001	H-8	30-Mar-2018	34,195	9,373	495	496	178	1,320	224	26	12,086	46,281
186	AAD100	Learning Resource Cent	Library Technician I	Manglona, Roland M.	11-Apr-2016	F-1	11-Apr-2017	23,171	6,351	496	336	178	0	0	26	7,361	30,532
187	AAD025	Dean's Office - CCR	Assistant Professor	Tam, Wilson W.	29-Jan-2001	K-10-a	01-Aug-2017	58,346	15,993	0	846	178	2,981	404	26	20,402	78,748
188	AAD087	Dean's Office - CCR	Associate Professor	Toves, Rebecca T.	01-Oct-2012	L-13-a	01-Aug-2017	74,945	20,542	0	1,087	178	3,773	240	26	25,820	100,765
189	AAD164	Dean's Office - CCR	Instructor	Lopez, II, Jose B.	01-Aug-2011	J-5-a	01-Aug-2017	41,950	11,498	495	608	178	2,473	224	21	15,477	57,427
190	AAD175	Dean's Office - CCR	Associate Professor	Datuin, Theresa Ann H.	01-Oct-2010	L-7-c	01-Aug-2017	60,211	16,504	495	873	178	2,473	224	26	20,747	80,958
191	AAD194	Dean's Office - CCR	Assistant Professor	De Oro, Vera S.	05-Feb-1996	K-9-a	01-Aug-2017	56,062	15,367	495	813	178	6,234	404	26	23,490	79,552
					Total General Funds (01):			**9,986,111**	**2,737,193**	**70,539**	**142,736**	**32,129**	**469,917**	**41,200**		**3,493,714**	**13,479,825**
192	AAD024	Practical Nursing	Assistant Professor	Artero, Jennifer B.	01-Oct-2013	K-9-a	01-Aug-2017	56,062	15,367	0	813	178	1,404	0	26	17,761	73,823
193	AAD045	Practical Nursing	Nursing & Allied Health	Manglona, Dorothy-Lou	01-Aug-2012	M-9-a	01-Jan-2017	72,862	19,972	495	1,057	178	3,773	240	26	25,714	98,576
194	AAD050	Practical Nursing	Assistant Instructor	Tyquiengco, Rolland R.	10-Aug-2015	I-7-a	LTA	39,850	10,923	495	578	178	0	0	21	12,174	52,023
195	AAD058	Practical Nursing	Administrative Assistant	Hiura, Tamara Therese T.	06-Dec-2010	J-4	06-Dec-2017	34,736	9,521	495	504	178	4,489	299	26	15,486	50,222
196	AAD083	Practical Nursing	Assistant Professor	Loveridge, Rosemary J.	01-Oct-2015	K-11-a	01-Aug-2017	60,715	16,642	495	880	178	0	0	26	18,195	78,910
197	AAD162	Practical Nursing	Instructor	Joo-Castro, Lucy H.	12-Aug-2016	J-5-d	01-Aug-2018	43,210	11,844	495	627	0	3,773	240	26	16,978	60,188
198	AAD196	Practical Nursing	Instructor	*Vacant-Manglona, D.	Vacant	J-3-a	Vacant	38,741	10,619	495	562	178	6,234	404	26	18,492	57,232
					Total Practical Nursing Funds (01):			**346,176**	**94,887**	**2,970**	**5,020**	**1,068**	**19,673**	**1,183**		**124,800**	**470,976**
199	AAD049	Vocational Guidance Pr	Instructor	Oliveros, Sharon J.	10-Aug-2015	J-3-d	01-Aug-2017	39,917	10,941	495	579	178	2,473	224	26	14,890	54,807
200	AAD163	Vocational Guidance Pr	Assistant Professor	Analista, Hernalin R.	01-Oct-2010	K-11-a	01-Aug-2017	60,715	16,642	495	880	178	0	0	26	18,195	78,910
201	AAD170	Vocational Guidance Pr	Instructor	Rosario, Barbara A.	10-Aug-2015	J-4-a	01-Aug-2017	40,303	11,047	495	584	178	2,473	224	26	15,001	55,304

Guam Community College Staffing Pattern with Vacancies as of 30-NOV-16

Jan/12.13.16	Position Number	Organization	Position Title	Name of Incumbent	Hire Date	Grade/ Step	Increment Date	Salary	Retirement 27.41%	Retire (DDI)	Medicare	Life	Medical (Premium)	Dental (Premium)	Pay Stat	Total Benefits	Total
241	NAF026	Dean's Office - CCR	Instructor	Leon Guerrero, Bertha M.	11-Jan-2013	J-3-c	01-Aug-2017	39,514	10,831	495	573	178	2,473	224	26	14,774	54,288
242	AAD201	Learning Resource Cent	Library Technician I	Cayabyab, Dolores T.	22-Jan-2013	F-3	22-Jan-2017	24,960	6,842	0	362	178	0	0	26	7,381	32,341
243	NAF021	Dean's Office - CCR	Instructor	Unten, Trisha D.	01-Aug-2013	J-4-a	01-Aug-2017	40,303	11,047	495	584	178	0	0	26	12,304	52,607
244	NAF024	Dean's Office - CCR	Instructor	Maloney, Kathryn I.	10-Aug-2015	J-3-a	01-Aug-2017	38,741	10,619	495	562	178	2,981	404	26	15,239	53,979
					Total Non-Appropriated Funds (11):			**1,360,720**	**372,973**	**15,840**	**19,730**	**6,052**	**78,078**	**6,909**	**916**	**499,583**	**1,860,303**
245	FED032	Continuing Education	Program Coordinator II	**Vacant-Fernandez, J.	Vacant	M-1	Vacant	40,768	11,175	495	591	178	1,320	224	26	13,983	54,751
246	AAD122	Continuing Education	Program Specialist	Guerrero, Philip C.	15-Jun-2015	K-6-d	01-Jan-2017	51,272	14,054	495	743	178	6,234	404	26	22,108	73,380
247	NAF003	Continuing Education	Administrative Aide	Fernandez, Stephanie Ann C.	11-Jan-2016	F-1	11-Jan-2017	23,171	6,351	495	336	178	0	0	26	7,360	30,531
248	NAF013	Continuing Education	Test Examiner	Smith, Tishawnna P.	28-Dec-2015	H-1	28-Dec-2016	26,520	7,269	495	385	178	3,773	240	26	12,340	38,860
					Total Non-Appropriated Funds (12):			**141,731**	**38,849**	**1,980**	**2,055**	**712**	**11,327**	**868**		**55,791**	**197,522**
249	NAF004	Alumni Relations and Fu	Program Specialist	Datuin, Bonnie Mae M.	06-Jun-2011	K-8-a	01-Jan-2017	53,872	14,766	495	781	178	6,234	404	26	22,858	76,730
	PRE008	Alumni Relations and Fu	Program Specialist	Bilong, Danilo Philbert C.	27-Jun-2016	K-8-c	01-Jan-2017	27,483	7,533	495	398	178	1,887	120	26	10,611	38,094
					Total Non-Appropriated Funds (13):			**81,355**	**22,299**	**990**	**1,180**	**356**	**8,121**	**524**		**33,470**	**114,824**
250	NAF039	Continuing Education	Program Coordinator I	Gozo, Krizia Arianne L.	16-Nov-2015	K-1	LTA	33,904	9,293	495	492	178	2,473	224	26	13,155	47,059
251	NAF050	Continuing Education	Program Coordinator I	Cruz, Melvin D.	29-Dec-2015	K-1	LTA	33,904	9,293	495	492	178	2,473	224	26	13,154	47,058
252	FED042	Business and Visual Com	Instructor	Perez, Kenneth R.	12-Aug-2016	J-3-a	LTA	38,741	10,619	495	562	178	0	0	21	11,854	50,594
253	FED045	Business and Visual Com	Instructor	Lizama, Sean	12-Aug-2016	J-3-a	LTA	38,741	10,619	495	562	0	2,981	0	21	14,657	53,397
254	FED024	Planning and Developm	Administrative Assistant	Chamberlain, Antonia M.	12-Jan-2001	J-12	29-Jan-2017	45,406	12,446	0	658	178	3,773	240	26	17,295	62,702
255	FED016	Adult Basic Education	Administrative Assistant	Damian, Eleanor A.	16-Jul-2012	J-1	LTA	31,075	8,518	495	451	178	3,773	240	26	13,654	44,730
256	FED039	Adult Basic Education	Office Aide	Camacho, Sheena Ann G.	27-May-2015	C-1	LTA	17,763	4,869	495	258	178	2,981	404	26	9,184	26,948
257	FED043	Adult Basic Education	Program Specialist	Garcia, Ava M.	25-Jul-2010	K-7-b	01-Jan-2017	52,291	14,333	495	758	178	6,234	404	26	22,402	74,693
258	FED038	Adult Basic Education	Program Coordinator I	Quan, Jaclyn L.	24-Jul-2013	K-2	04-May-2017	35,194	9,647	495	510	178	1,320	224	26	12,374	47,567
	AAD187	TRIO Programs	Program Specialist	Sablan, Fermina A.	06-May-2015	K-6-d	01-Jan-2017	25,636	7,027	248	372	89	903	120	26	8,759	34,395
259	FED012	TRIO Programs	Administrative Aide	Castro, Amanda T.	11-Jan-2016	F-1	LTA	23,171	6,351	495	336	178	0	0	26	7,360	30,531
260	FED018	TRIO Programs	Program Coordinator II	Fathal, James	01-Sep-2015	M-1	LTA	40,768	11,175	495	591	178	3,773	240	26	16,452	57,220
261	FED001	Nursing and Allied Healt	Assistant Instructor	Sawyer, Rita C.	12-Aug-2016	I-7-a	LTA	39,850	10,923	495	578	178	0	0	26	12,174	52,023
262	FED041	Tourism (Prostart)	Assistant Instructor	Miranda, Kennylyn C.	12-Aug-2016	I-2-b	LTA	32,978	9,039	495	478	178	0	0	21	10,191	43,169
263	FED044	Dean's Office - TPS	Program Coordinator II	Duenas, Leilani V.	21-Dec-2015	M-1	LTA	40,768	11,175	495	591	178	6,234	404	26	19,077	59,845
							Total Federal Funds:	**530,190**	**145,325**	**6,683**	**7,688**	**2,403**	**36,918**	**2,724**		**201,741**	**731,931**
							Grand Total:	**12,977,438**	**3,557,116**	**103,457**	**184,924**	**44,499**	**643,933**	**54,948**		**4,588,877**	**17,566,315**

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590 S. Aprine Corps Drive
Suite 733 ITC Building
Tamuning, GU 96913

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Hagåtña, GU 96932

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Telephone:
671-649-LAND (5263)

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671-649-5383



EDDIE BAZA CALVO
Governor

RAY TENORIO
Lieutenant Governor

DIPÅTTAMENTON MINANEHAN TÅNO'
(Department of Land Management)
GUBETNAMENTON GUÅHAN
(Government of Guam)

MICHAEL J.B. BORJA
Director

DAVID V. CAMACHO
Deputy Director

33-16-2313

Office of the Speaker
Judith T. Won Pat, Ed.D

December 28, 2016

Date: 12/28/16
Time: 1:15
Received By:

Memorandum

To: Governor of Guam

From: Director, Department of Land Management

Subject: **FY 2017 MONTHLY REVENUE COLLECTION REPORT – NOVEMBER 2016**

Buenas yan Hafa Adai! Forwarded is the ***NOVEMBER 2016*** Revenue Collection Report, pursuant to Public Law 17-70, Section 13971.1, revenues collected by the Department of Land Management and the Chamorro Land Trust Commission and deposited into the various accounts listed below:

ACCOUNT NAME	NOVEMBER Rev COLLECTED	To Date COLLECTED
3100 - General Fund	$0.00	$0.00
3222 - Land Survey Revolving Fund	$258,524.35	$414,612.85
1100 - Dept's/Other Special Account	$846.83	$2,006.79
3624 – Chamorro Land Trust Commission	$37,533.05	$56,333.30
3699 – Chamorro Land Trust Survey	$6,715.46	$16,617.88
GRAND TOTAL	**$303,619.69**	**$516,570.82**
To Date Collection For FY 2016		**$516,570.82**

In addition, revenues from the Non-Appropriated Funds were received, as follow:

Chamorro Land Trust Commission

DESCRIPTION	NOVEMBER Rev COLLECTED	To Date COLLECTED	Account Bal as of NOVEMBER 2016
Bank of Guam Account #XXXXXX2274	$20.89	$42.47	$203,332.21
Bank of Guam Account #XXXXX5976	$0.00	$0.00	$10,220.94
Bank of Guam CD #XXXXXX7964	$0.00	$0.00	$300,000.00
GRAND TOTAL	**$20.89**	**$42.47**	**$513,553.15**
To Date Collection For FY 2016 (Chamorro Land Trust – NAF)		**$42.47**	

Ancestral Lands Commission

DESCRIPTION	NOVEMBER Rev COLLECTED	To Date COLLECTED	Account Bal as of NOVEMBER 2016
Checking Bank of Hawaii Account #XXXX-XX1057	$0.00	$0.00	$0.00
Land Owner's Recovery Fund Bank of Hawaii Account #XXXX-XX0071	$0.00	$0.13	$0.00
Trust Bank of Hawaii Account # XXXX-XX0217	$3.84	$7.80	$77,870.39
Checking Secondary Savings Trust Share Savings Coast 360 FCU Account #XX6454	$0.00 $0.00 $61,690.93 $0.00 $0.00 $0.00	$0.00 $0.00 $61,690.93 $0.00 $0.00 $0.00	$1,000.00 S - $7,438.66 S - $3,331,187.54 C12 - $510,050.00 C24 - $307,260.00 C36 - $300,000.00
GRAND TOTAL	**$61,694.77**	**$61,698.86**	**$4,534,806.59**
To Date Collection For FY 2016 (Ancestral Lands – NAF)		**$61,698.86**	

If you have any questions or require additional information, please do not hesitate to call our Administrative Support Unit at 649-5263 ext. 600.

Senseramente,

MICHAEL J.B. BORJA

Attachment

cc: Speaker Judith T. Won Pat, Ed.D, 33rd Guam Legislature
Chairman Thomas C. Ada, Committee on Transportation, Infrastructure, Lands, Border Protection, Veterans' Affairs and Procurement
Office of the Public Auditor of Guam
Director, Bureau of Budget and Management Research
Mr. Jason Baza, DLM Budget Analyst, Bureau of Budget & Management Research
Director, Department of Administration
Comptroller, Department of Administration
Financial Statement Reporting Branch, Department of Administration
CLTC Board Members (via Ruth Sakuma)
DLM Deputy Director
DLM Division Heads (4)

DEPARTMENT OF LAND MANAGEMENT
REVENUE COLLECTION REPORT
FISCAL YEAR 2017

TITLE DESCRIPTION	Carry Over Balance	ACCT.	ACCT. #	Oct-16	Nov-16	Dec-16	Jan-17	Feb-17	Mar-17	Apr-17	May-17	Jun-17	Jul-17	Aug-17	Sep-17	TO DATE
Documentary Taxes		LSRF	3222-51801	$ 148,044.50	$ 217,388.69											$ 365,433.19
Recording Fee		LSRF	3222-56910	$ 25,118.50	$ 28,436.00											$ 53,554.50
Photostat Fees		LSRF	3222-56912	$ 3,004.50	$ 3,074.50											$ 6,079.00
Survey Copies		LSRF	3222-56912	$ 1,588.00	$ 1,723.50											$ 3,311.50
C.T. Copies		LSRF	3222-56912	$ 4,333.00	$ 4,034.50											$ 8,367.50
Subpoena Fees		LSRF	3222-57208													$ -
Commercial Lease		LSRF	3222-54202	$ 1,000.00	$ 3,867.16											$ 4,867.16
Recording Overage		GF	3100-56916													$ -
Sub-Division Interest (Landless)		GF	3100-57208													$ -
Sub-Division-Principal (Landless)		GF	3100-57206													$ -
HPR & Other App. Fee	$ 64,834.43	SA	1100-2429A	$ 843.00	$ 376.25											$ 1,219.25
LUPRA (2900RS010)	$ 9,326.81	SA	1100-23316	$ 316.96	$ 470.58											$ 787.54
GGN Fee (2910RS010) P.L. 23-31	$ 7,939.00	SA	1100-23337													$ -
Land Trust Application Fees		CLTO	3624-52101	$ 300.00	$ 400.00											$ 700.00
Commercial Lease/License		CLTO	3624-54201	$ 17,861.21	$ 36,685.99											$ 54,547.20
Agr/Res Land Trust Lease		CLTO	3624-54202	$ 456.00	$ 268.00											$ 724.00
Interest		CLTO	3624-54101	$ 183.04	$ 179.06											$ 362.10
Sale of Government Land		CLTO	3624-57207													$ -
Sale of Government Land		CLTS	3669-57001	$ 9,902.42	$ 6,715.46											$ 16,617.88
LSRF COLLECTED (3222)				$ 183,088.50	$ 258,524.35	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 441,612.85
GENERAL FUNDS COLLECTED (3100)				$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -
SPECIAL ACCT. COLLECTED (1100)				$ 1,159.96	$ 846.83	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 2,006.79
DLM TOTAL				$ 184,248.46	$ 259,371.18	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 443,619.64
Chamorro Land Trust Operations Fund (3624)				$ 18,800.25	$ 37,933.05	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 56,733.30
Chamorro Land Trust Survey Fund (3669)				$ 9,902.42	$ 6,715.46	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 16,617.88
CLTO/CLTS TOTAL				$ 28,702.67	$ 44,248.51	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 72,951.18
GRAND TOTAL				$ 212,951.13	$ 303,619.69	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ 516,570.82

Documentary Taxes collection is now being deposited into LSRF, effective 23 Oct 2000, as per Executive Order 2000-35

(GF) Deposited into General Fund.
(LSRF) Deposited into Land Survey Revolving Fund Account
(S/A) * Deposited into established Special Account.
(CLTO) Deposited into Chamorro Land Trust Operations
(CLTS) Deposited into Chamorro Land Trust Survey Fund

Copies Provided to:
Speaker, Judith T. Won Pat, Ed.D, 33rd Guam Legislature
Chairman Thomas C. Ada, Committee on Transportation, Infrastructure, Lands, Border Protection, Veterans' Affairs and Procurement
Office of the Public Auditor
Director, Bureau of Budget & Management Research (BBMR)
BBMR, Mr. Jason Baza, DLM Budget Analyst
Director, Department of Administration
DOA, Comptroller
DOA, Financial Statement Reporting Branch
DLM Deputy Director
DLM Division Heads (4)
CLTC Board Members

Prepared By:
Virginia R. Flores, Administrative Services Officer 12/27/16

DEPARTMENT OF EDUCATION
OFFICE OF THE SUPERINTENDENT

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JOSEPH L. M. SANCHEZ
Superintendent of Education, Acting

December 30, 2016

The Honorable Eddie B. Calvo
Governor of Guam
P.O. Box 2950
Hagåtña, GU 96932

Honorable Judith T. Won Pat, Ed. D.
Speaker, 33rd Guam Legislature
155 Hesler Place
Hagåtña, GU 96910

33-16-2312)
Office of the Speaker
Judith T. Won Pat, Ed.D
Date: 12-30-16
Time: 9:15 AM
Received By:

SUBJECT: Fiscal Year 2017 1st Quarter (October – December 2016)
Textbooks, E-Book Readers and Collateral Classroom Instructional Materials Report

Dear Honorable Governor Calvo and Honorable Speaker Won Pat:

Buenas yan Hafa Adai! Pursuant to Public Law 33-185, Chapter II, Part I, Section 8(c) (Textbooks and Collateral Materials), transmitted is the FY 2017 1st Quarter Textbooks, E-Book Readers, and Collateral Classroom Instructional Materials Report. There were no purchase orders issued during the reporting period. The report will be available for view on the GDOE's website at www.gdoe.net.

Should you have any questions, please do not hesitate to contact me at 300-1635.

Senseramente,

JOSEPH L.M. SANCHEZ

Attachment
1st Qtr FY17 Report

cc: Chairman, Committee of Finance, Taxation and Commerce
Chairwoman and Members, Guam Education Board
Deputy Superintendent, Educational Support and Community Learning
Deputy Superintendent, Finance & Administrative Services

DEPARTMENT OF EDUCATION
Division of Curriculum & Instruction
Phone: 300-1386

1st Quarter Fiscal Year 2017
Textbooks, E-Readers and Collateral Classroom Instructional Materials Report
PUBLIC SCHOOLS

School	Math	Fine / Practical Arts	English Language Arts	Science	Social Studies	Business	Health/ Consumer Family Sciences	Reading	World Lang.	TOTAL Lost& Damaged TxBks	Monies Collected	Monies Due
Adacao ES										0		
Agana Heights ES										0		
As Tumbo ES										0		
B.P. Carbuillido ES							1	1		2	$ 25.00	$ 38.84
CL Taitano ES										0		
Chief Brodie ES			1	1				1		3		$ 207.50
DL Perez ES										0		
Finegayan ES										0		
HB Price										0		
HS Truman ES										0		
Inarajan ES										0		
JM Guerrero ES										0		
JQ San Miguel ES								1		1		$ 33.85
LB Johnson ES										0		
Liguan ES										0		
MA Ulloa ES										0		
MU Lujan ES										0		
Machananao ES										0		
MA Sablan ES										0		
Merizo ES										0		
PC Lujan ES										0		
Ordot Chalan Pago ES										0		
Talofofo ES										0		
Tamuning ES								1		1		$ 68.80
Upi ES										0		
Wettengel ES										0		
TOTAL - ELEM	**0**	**0**	**1**	**1**	**0**	**0**	**1**	**4**	**0**	**7**	**$ 25.00**	**$ 348.99**
Agueda Johnston MS							1	1		2		$ 226.39
As Tumbo MS										0		
FB Leon Guerrero MS										0		
Inarajan MS										0		
Jose LG Rios MS										0		
LP Untalan MS								1		1		$ 83.47
Oceanview MS										0		
VSA Benavente MS	1									1	$ 17.87	
TOTAL - MIDDLE	**1**	**0**	**0**	**0**	**0**	**0**	**1**	**2**	**0**	**4**	**$ 17.87**	**$ 309.86**
George Washington HS										0		
John F. Kennedy HS										0		
Okkodo HS										0		
Simon Sanchez HS										0		
Southern HS					1					1		$ 89.35
JP Torres Alternative										0		
TOTAL - HIGH	**0**	**0**	**0**	**0**	**1**	**0**	**0**	**0**	**0**	**1**	**$ -**	**$ 89.35**
GDOE TOTAL	**1**	**0**	**1**	**1**	**1**	**0**	**2**	**6**	**0**	**12**	**$ 42.87**	**$748.20**

Prepared by:	Approved by:
MICHELLE M. CAMACHO	**JOSEPH L.M. SANCHEZ**
Program Coordinator IV	**Superintendent of Education, Acting**
Signature & Date: 12/27/16	Signature & Date:

DEPARTMENT OF EDUCATION
Division of Curriculum & Instruction
Phone: 300-1386

1st Quarter Fiscal Year 2017
Textbooks, E-Readers and Collateral Classroom Instructional Materials Report
PRIVATE SCHOOLS

School	Math	Fine / Practical Arts	English Language Arts	Science	Social Studies	Business	Health/ Consumer Family Sciences	Reading	World Lang.	TOTAL Lost& Damaged TxBks	Monies Collected	Monies Due
Academy of Our Lady										0		
Asmuyao School										0		
Bishop Baumgarner Memorial										0		
Domincian Cath School										0		
Dominican Child Develop Cntr										0		
Evangelical Christian Acad										0		
Father Duenas Memorial School			1							1	$ 100.00	
Guam Adventist Academy										0		
Infant of Prague Nrsry & Kinder										0		
Maria Artero Cath Pre-School										0		
Mercy Hghts Nrsry & Kinder										0		
Montessori School of Guam										0		
Mount Carmel Cath School										0		
Notre Dame Cath High School										0		
St Anthonly Cath School										0		
St Francis Cath School					1					1		$ 67.47
St John's Episcopal School										0		
St Paul Christian School										0		
St Thomas Aquinas High School										0		
San Vicente Cath School										0		
Santa Barbara Cath School										0		
Southern Christian Acad										0		
GDOE TOTAL	**0**	**0**	**1**	**0**	**1**	**0**	**0**	**0**	**0**	**2**	**$100.00**	**$ 67.47**
Guahan Charter										0	$ -	$ -

Prepared by:	Approved by:
MICHELLE M. CAMACHO	**JOSEPH L.M. SANCHEZ**
Program Coordinator IV	**Superintendent of Education, Acting**
Signature & Date: 12/27/16	Signature & Date:

MAYORS' COUNCIL OF GUAM

Resolution No. 16-14

Introduced By:
Mayor P.M. McDonald
Mayor L.C. Rivera
Mayor C.S. Tayama
Vice Mayor R.D. Iriarte
Mayor J.A. Cruz
Mayor K.J. M. Ada
Mayor D.E. Alvarez
Vice Mayor J.P. Bautista
Vice Mayor A.A. Benavente
Mayor J.U. Blas
Mayor J.M.C. Blas
Mayor N.C. Blas
Mayor E.T. Chargualaf
Mayor J.C. Gogue
Mayor V.D. Gumataotao
Mayor R.RDC Hofmann
Mayor D.F. Lujan
Mayor R.M. Matanane
Mayor J.A. Quinata
Vice Mayor A.P. Sanchez
Vice Mayor K.C. Santos
Mayor M.B. Savares
Vice Mayor K.T. Susuico
Mayor V.S. Taitague
Vice Mayor A.R.G. Ungacta
Mayor A.C. Villagomez

RELATIVE TO COMMENDING AND EXPRESSING APPRECIATION TO THE HONORABLE KEN JOE M. ADA, MAYOR OF YONA FOR THE FOUR YEARS OF EXEMPLARY SERVICE, CONTRIBUTION AND SUPPORT TO THE PEOPLE OF YONA AND THE MAYORS' COUNCIL OF GUAM.

BE IT RESOLVED BY *KONSEHELON MAHOT GUÅHAN*:

WHEREAS, the Honorable Mayor Ken Joe M. Ada was duly elected Mayor of the Municipality of Yona serving the people of Yona from January 7, 2013 until January 2, 2017 for a total of 4 years; and

WHEREAS, during his tenure in office Mayor Ken Joe M. Ada served the people of Yona and the people of Guam in a most honorable and exemplary manner; and

WHEREAS, the Honorable Ken Joe M. Ada was Member of the Civilian/Military Task Force; Serve Guam Commission; The Reverend Dr. Martin Luther King, Jr. Human and Civil Rights Commission; and the Association of Mariana Islands Mayors Vice Mayors and Elected Municipal Council Members (AMIM);

WHEREAS, Mayor Ken Joe M. Ada's membership in the Mayors' Council of Guam will expire concurrently with his term as Mayor of the Municipality of Yona on January 2, 2017; and now, *therefore be it*

RESOLVED, that the members of the Mayors' Council of Guam hereby express their most sincere appreciation and commendation to the Honorable Ken Joe M. Ada, Mayor of the Municipality of Yona for his contributions, participation and untiring support to the people of Yona, the Mayors' Council of Guam and the people of Guam as a whole, and wishing him the very best in his future endeavors; and be it further

RESOLVED, that the President certify to and the Secretary attest to the adoption hereof and that copies of the same be thereafter transmitted to the Honorable Judith T. Won Pat, Ed. D., Speaker of *I Mina Trentai Tres Na Liheslaturan Guåhan*, and to the Honorable Edward J.B. Calvo, *I Maga'lahen Guåhan.*

DULY ADOPTED ON THE 7th DAY OF DECEMBER 2016 IN THE CITY OF HAGÅTÑA, GUAM.

CERTIFIED BY:

MAYOR PAUL M. McDONALD
President

ATTESTED BY:

MAYOR CAROL S. TAYAMA
Secretary