Note that the Legislature has taken steps to ensure that any protected personal identifying information has been redacted or excluded in whole or in part in order to protect the privacy of any individual(s) whose information has been included as part of this transmittal.

UFISINAN I MAGA'HÅGA
OFFICE OF THE GOVERNOR

LOURDES A. LEON GUERRERO
MAGA'HÅGA • GOVERNOR

JOSHUA F. TENORIO
SIGUNDO MAGA'LÅHI • LIEUTENANT GOVERNOR

35GL-19-0032
Speaker Tina Muna Barnes
RECEIVED
DATE: 1/17/19
S. Tipa

January 17, 2019

Honorable Tina Muna Barnes
Speaker
I Mina'trentai Singko Na Liheslaturan Guahån
Guam Congress Building
163 Chalan Santo Papa
Hagatna, Guam 96932

2019 JAN 17 PM 5:52

RE: Appointment, Department of Revenue & Taxation

Dear Madame Speaker:

By virtue of the authority vested in me pursuant to the Organic Act of Guam and the local laws applicable to the following position, I am pleased to transmit the following appointment and supporting documents for:

APPOINTEE:	Dafne Shimizu
POSITION:	Director, Department of Revenue & Taxation
TERM LENGTH:	**To serve at the pleasure of the Governor**

The appointment is subject to the consent of *I Liheslaturan Guahan*. Please schedule a hearing at your earliest convenience.

Senseramente,

Lourdes A. Leon Guerrero
I Maga'Hågan Guåhan
Governor of Guam

0032

RICARDO J. BORDALLO GOVERNOR'S COMPLEX • HAGÅTÑA, GUAM 96910
P.O. BOX 2950 • HAGÅTÑA, GUAM 96910
671.472.8931 • 671.472.8932/6

UFISINAN I MAGA'HÅGA
OFFICE OF THE GOVERNOR

LOURDES A. LEON GUERRERO
MAGA'HÅGA • GOVERNOR

JOSHUA F. TENORIO
SIGUNDO MAGA'LÅHI • LIEUTENANT GOVERNOR

January 7, 2019

Dafne Shimizu

Re: Appointment as Director, Department of Revenue and Taxation

Dear Ms. Shimizu:

Pursuant to my authority under 5 GCA §3114, it is with pleasure that I appoint you

DIRECTOR, DEPARTMENT OF REVENUE AND TAXATION

This appointment shall be at

THE PLEASURE OF THE GOVERNOR

Appointing you to this position comes with great responsibility because you will have a vital role to perform which will place many demands on your time and energy. Integrity and honesty are virtues that I prioritize for my Administration to exemplify, and I know that you will do the same while in service to the people of Guam. Your responsibilities, as described throughout Guam law, shall be significant and consequential. I trust that you will fulfill your duties and set a standard for others to follow.

I appreciate your willingness to serve in this position, and I am confident that your time will be spent in a manner to improve the island of Guam.

This appointment is subject to the advice and consent of *I Liheslaturan Guåhan.* Pending the consent of, and confirmation of this appointment by *I Liheslaturan Guåhan,* you are hereby appointed to serve as **DIRECTOR** in an acting capacity.

This appointment is effective January 7, 2019. If you have any questions, please contact the Office of the Governor.

Senseramente,

LOURDES A. LEON GUERRERO
I Maga'hågan Guåhan
Governor of Guam

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LOURDES A. LEON GUERRERO
Governor

JOSHUA F. TENORIO
Lieutenant Governor

OFFICE OF THE GOVERNOR OF GUAM
Nomination and Appointment Information Sheet

The following information is required for submission to the
Speaker of *I Liheslaturan Guåhan* in accordance with Title 4, Guam Code Annotated Section 2103

NOMINEE INFORMATION

Last Name	***First Name***	***Middle Initial***
MANSAPIT-SHIMIZU	DAFNE	T.
Mailing Address [redacted]		***Apartment/Unit #***
City [redacted]	***State***	***Zip Code***
Phone [redacted]	***E-mail Address***	
Position to which Appointment is Made Director, Department of Revenue & Taxation		
Are you a citizen of the United States?	Yes ☑	No ☐
If no, are you authorized to work in the United States?	Yes ☐	No ☐

EDUCATION

SCHOOL (Name, City and State)	***DATES OF ATTENDANCE***	***TYPE OF DEGREE***	***YEAR EARNED***
High School Notre Dame High School Talofofo, Guam		High School Diploma	1991
College University of Guam, Mangilao	1992 - 1995	BBA (Accounting)	1995
Graduate School University of Guam, Mangilao	2009 - 2014	MPA	2014
Other Degree			

EMPLOYMENT HISTORY

Name of Present/Last Employer and Mailing Address	***Position Title***
StayWell Guam, Inc./Island Home Insurance Company	VP/Finance/CFO
	Dates Held
	9/2014 - 1/2019

Previous Employer and Mailing Address	***Position Title***
Tumon Aquarium LLC Underwater World/Sea Grill Restaurant	Financial Controller
	Dates Held
	9/2009 - 3/2013

Previous Employer and Mailing Address	***Position Title***
Guam Harley-Davidson	Controller
	Dates Held
	5/2008 - 4/2009

Previous Employer and Mailing Address	***Position Title***
StayWell Insurance D.B. Davis & Associates	Controller
	Dates Held
	3/2004 - 5/2008

Previous Employer and Mailing Address	***Position Title***
Guam Board of Accountancy Government of Guam	Financial Controller
	Dates Held
	5/2003 - 4/2004

PRIOR GOVERNMENT OF GUAM SERVICE

Agency or Department Name		***Position Title***
Address	***Phone No.***	***Dates Held***

Agency or Department Name		***Position Title***
Address	***Phone No.***	***Dates Held***

PRIOR GOVERNMENT SERVICE (EXCLUDING GOVERNMENT OF GUAM)

Agency or Department Name	*Position Title*	
J. Scott Magliari & Co. CPA	Tax Manager/Auditor	
Address	***Phone No.***	***Dates Held***
		2/2001 - 3/2003

Agency or Department Name	*Position Title*	
Earnst & Young, LLP	Staff/Senior Tax Accountant	
Address	***Phone No.***	***Dates Held***
		12/1995 - 12/2000

TRAINING

INSTITUTE/SEMINARS/ON-THE-JOB TRAINING	*DATE*

AWARDS

List all educational, professional, civic awards, and recognition for public service:

PROFESSIONAL INVOLVEMENT

List involvement on a local/national/international level. List organizations, activities participated in, and offices held:
Member, Guam Board of Accountancy: 1/2008 - Present

COMMUNITY / CIVIC INVOLVEMENT

List organizations, activities participated in, and offices held:
Member, Agana Cathedral Chapter of Christian Mothers: 2/2018 - Present Member, Agana Cathedral Lector: 6/2014 - Present Member, Catholic Social Services Board: 6/2014 - Present Member, Soroptomist International of the Marianas: 8/2004 - 5/2008 Member, Cathedral Basilica Finance Council, 12/2018 - Present Member, StayWell Guam Diabetes Foundation, 2018 - Present

PUBLICATIONS AND PRESENTATIONS

List any published articles, papers delivered at professional meetings, etc.:

MILITARY SERVICE (Please attach Form DD-214)

BRANCH	*DATES OF SERVICE*	*RANK AT DISCHARGE*	*TYPE OF DISCHARGE*

OTHER INFORMATION

(1) Have you ever been found guilty of a felony in any court, whether within or without the United States?

Yes ☐ **No** ☑ **If so, please specify in detail:** _____

Address of the Court: _____

Date(s) of Conviction: _____

Specific Infraction Committed: _____

(2) **Have you ever been declared mentally incompetent by any court, whether within or without the United States?**

Yes ☐ **No** ☑ **If so, please specify in detail the reasons and facts related to such declaration:**

(3) **Have you ever been found not guilty or not punishable in any criminal proceedings by reason of insanity?**

Yes ☐ **No** ☑

(4) **Have you ever been confined to a mental institution for any reason?**

Yes ☐ **No** ☑ **If so, please specify in why the appointing authority believes you that you are not suffering from any mental illness or affliction:**

LOURDES A. LEON GUERRERO
Governor

JOSHUA F. TENORIO
Lieutenant Governor

OFFICE OF THE GOVERNOR OF GUAM

Statement of Financial Interests

(Required by 4 G.C.A. §13104.1)

TO: The Honorable Lourdes A. Leon Guerrero
Governor of Guam
Ricardo J. Bordallo Governor's Complex
Adelup, Guam 96910

FROM: Dafne Mansapit-Shimizu

☐ I have no financial interest in any business

☑ I do have interest(s) in the following business(es):

NAME AND ADDRESS OF BUSINESS INTEREST	TYPE AND AMOUNT OF INTEREST
Shimizu, LLC/P.O. Box 11561, Tamuning, GU 969	Single Member, LLC (not actively operating)
	$1,000 initial capitalization
Guam Hottie, Inc./P.O. Box 11561, Tamuning, GU	C Corporation/Retail; Common Stock $400
	80% Ownership
Dafne M. Shimizu, CPA/P.O. Box 11561, Tamuning	Sole Proprietor

Signature

1/16/19
Date

AFFIDAVIT / DECLARATION UNDER PENALTY OF PERJURY

I, the undersigned, do hereby depose and say that: (1) I have read and reviewed the information contained in the attached Appointment/Nomination letter from the Governor of Guam; (2) that the matters contained in the Appointment/Nomination letter, together with all attachments thereto, are true and correct and that I am competent to testify to said matters; and (3) that this Declaration is made for the purpose of complying with the requirements of 4 G.C.A. Section 2103.5.

I declare under penalty of perjury under the laws of Guam (4 G.C.A. Section 4308) that the foregoing is true and correct.

Dafne Mansapit-Shimizu
Print Name and Signature

1/10/2019
Date

SUPERIOR COURT OF GUAM

Guam Judicial Center • 120 West O'Brien Drive • Hagatña, Guam 96910

Telephone (671) 475-3370/475-3449
Fax (671) 472-2856

DANIELLE T. ROSETE
Clerk of Court

Name: DAFNE JULENE T. MANSAPIT SHIMIZU

SS#: ID# GU DL# [redacted] Date of Birth: [redacted]

CERTIFICATE OF SEARCH

The undersigned Clerk hereby certifies the following results of a diligent search of the records of this Court:

Criminal Cases:

A. [X] No Case Found

B. 1. Criminal Case No.
2. Criminal Case No.
3. Criminal Case No.
4. Criminal Case No.
5. Criminal Case No.

Criminal Record: Page 1 of 1

Civil Cases:

A. [ ] No Case Found

B. 1. Civil Case No.
2. Civil Case No.
3. Civil Case No.
4. Civil Case No.
5. Civil Case No.

Civil Record: Page 1 of 1

Request for further information may be addressed at the Records Division of the Superior Court of Guam, Guam Judicial Center, 120 West O'Brien Drive, Hagatña, Guam. Hours of operation are Monday – Friday, 8:00 a.m. to 5:00 p.m. Closed Saturday, Sunday and local/federal holidays. Court Clearances are Non-Refundable.

Dated: 01/03/2019

DANIELLE T. ROSETE
Clerk of Court

BY: JESSE M. LEFEVER
Deputy Clerk

Prepared By: ICT

The absence of an original Court Seal invalidates this document

Government of Guam
GUAM POLICE DEPARTMENT
RECORDS & IDENTIFICATION SECTION
P.O. Box 23909
Guam Main Facility, Guam 96921

January 3, 2019

SUBJECT: CRIMINAL HISTORY RECORD

NAME:	**Dafne J.T.M. SHIMIZU**		
DATE OF BIRTH:	[redacted]	**FINGERPRINT #:**	**N/A**
■	**The individual has no record of criminal conviction (s) of any crime and disposition as indicated in GPD files.**		

*****************NOTHING FOLLOWS*****************

THIS INFORMATION MAY BE LIMITED TO A LOCAL CRIMINAL OFFENSE ONLY AND IS NOT INTENDED FOR USE FOR ANY LOCAL, STATE, OR FEDERAL LAW ENFORCEMENT AGENCY.

By Direction: ap

JOSEPH I. CRUZ
Chief of Police

The absence of an original GUAM POLICE seal invalidates this police clearance.
REVISED 08/03/16

Doc. No. 35GL-19-0032.