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UFISINAN I MAGA'HÅGA
OFFICE OF THE GOVERNOR

LOURDES A. LEON GUERRERO
MAGA'HÅGA • GOVERNOR

JOSHUA F. TENORIO
SIGUNDO MAGA'LÅHI • LIEUTENANT GOVERNOR

March 29, 2019

35GL-19-0305
Speaker Tina Rose Muña Barnes

APR 02 2019
Time 9:36 (X)AM ( )PM
Received By:

Honorable Tina Muna Barnes
Speaker
*I Mina'trentai Singko Na Liheslaturan Guåhan*
Guam Congress Building
163 Chalan Santo Papa
Hagatna, Guam 96932

**RE: Board Appointment**

Dear Madame Speaker:

By virtue of the authority vested in me pursuant to the Organic Act of Guam and the local laws applicable to the following position, I am pleased to transmit the following appointment and supporting documents for:

| | |
|---|---|
| APPOINTEE: | Doris Flores Brooks |
| POSITION: | Member, Board of Trustees<br>Government of Guam Retirement Fund |
| TERM LENGTH: | **Five (5) Years** |

The appointment is subject to the consent of *I Liheslaturan Guåhan*. Please schedule a hearing at your earliest convenience.

2019 APR -2 AM 10:41

*Senseramente,*

**LOURDES A. LEON GUERRERO**
*I Maga'hågan Guahån*
Governor of Guam

cc: Lt. Governor of Guam
Chairman, GGRF Board

RICARDO J. BORDALLO GOVERNOR'S COMPLEX • HAGÅTÑA, GUAM 96910
P.O. BOX 2950 • HAGÅTÑA, GUAM 96910
671.472.8931 • 671.472.8932/6

0305

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UFISINAN I MAGA'HÅGA
OFFICE OF THE GOVERNOR

LOURDES A. LEON GUERRERO
MAGA'HÅGA • GOVERNOR

JOSHUA F. TENORIO
SIGUNDO MAGA'LÅHI • LIEUTENANT GOVERNOR

March 29, 2019

Doris Flores Brooks
[redacted]

Dear Ms. Brooks:

Pursuant to my authority under 4 GCA § 8138, I am appointing you to serve as a

**MEMBER, BOARD OF TRUSTEES**
**GOVERNMENT OF GUAM RETIREMENT FUND**

Appointing you to this position comes with great responsibility because you will have a vital role to perform which will place many demands on your time and energy. Integrity and honesty are virtues that I prioritize for my Administration to exemplify, and I know that you will do the same while in service to the people of Guam. Your responsibilities shall be significant and consequential. I trust that you will fulfill your duties and set a standard for others to follow.

I appreciate your willingness to serve in this position, and I am confident that your time will be spent in a manner to improve the island of Guam.

This appointment is subject to the advice and consent of *I Liheslaturan Guahån*. If you have any questions or concerns, please contact the Office of the Governor.

*Senseramente,*

**LOURDES A. LEON GUERRERO**
*I Maga'hågan Guahån*
Governor of Guam

cc: Lt. Governor of Guam
Chairman, GGRF Board

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LOURDES A. LEON GUERRERO
*Governor*

JOSHUA F. TENORIO
*Lieutenant Governor*

# OFFICE OF THE GOVERNOR OF GUAM
## Nomination and Appointment Information Sheet

The following information is required for submission to the
Speaker of *I Liheslaturan Guåhan* in accordance with Title 4, Guam Code Annotated Section 2103

## NOMINEE INFORMATION

| *Last Name* | *First Name* | *Middle Initial* |
|---|---|---|
| BROOKS | DORIS | FLORES |

| *Mailing Address* | *Apartment/Unit #* |
|---|---|
| [redacted] | |

| *City* | *State* | *Zip Code* |
|---|---|---|
| [redacted] | [redacted] | [redacted] |

| *Phone* | *E-mail Address* |
|---|---|
| [redacted] | [redacted] |

***Position to which Appointment is Made***
Member, Board of Trustees, Government of Guam Retirement Fund

***Are you a citizen of the United States?*** Yes ☑ No ☐

**If no, are you authorized to work in the United States?** Yes ☐ No ☐

## EDUCATION

| *SCHOOL (Name, City and State)* | *DATES OF ATTENDANCE* | *TYPE OF DEGREE* | *YEAR EARNED* |
|---|---|---|---|
| ***High School***<br>Academy of Our Lady of Guam<br>Hagatna, Guam | 1962 - 1965 | High School Diploma | 1965 |
| ***College***<br>San Jose State University<br>CALIFORNIA | 1967 - 1969 | BS | 1969 |
| ***Graduate School***<br>Harvard Business School | 1979 - 1981 | MBA | 1981 |
| ***Other Degree*** | | | |

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## EMPLOYMENT HISTORY

| ***Name of Present/Last Employer and Mailing Address*** | ***Position Title*** |
|---|---|
| Office of the Public Auditor<br>Government of Guam | Public Auditor |
| | ***Dates Held***<br>2001 - 2018 |

| ***Previous Employer and Mailing Address*** | ***Position Title*** |
|---|---|
| University of Guam<br>Mangilao, Guam | Asst. Professor |
| | ***Dates Held***<br>1996 - 2000 |

| ***Previous Employer and Mailing Address*** | ***Position Title*** |
|---|---|
| ESSON Eastern Inc.<br>EXXON Guam | Controller |
| | ***Dates Held***<br>1995 - 1998 |

| ***Previous Employer and Mailing Address*** | ***Position Title*** |
|---|---|
| Guam Legislature<br>Government of Guam | Senator |
| | ***Dates Held***<br>1989 - 1994 |

| ***Previous Employer and Mailing Address*** | ***Position Title*** |
|---|---|
| Bank of Guam<br>Hagatna, Guam | VP/Chief Financial Officer |
| | ***Dates Held***<br>1982 - 1988 |

## PRIOR GOVERNMENT OF GUAM SERVICE

| ***Agency or Department Name*** | ***Position Title*** | |
|---|---|---|
| Bureau of Budget & Management Research | Director | |
| ***Address*** | ***Phone No.*** | ***Dates Held***<br>1976 - 1978 |

| ***Agency or Department Name*** | ***Position Title*** | |
|---|---|---|
| Guam International Airport Authority | Controller | |
| ***Address*** | ***Phone No.*** | ***Dates Held***<br>1979 |

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## PRIOR GOVERNMENT SERVICE (EXCLUDING GOVERNMENT OF GUAM)

| *Agency or Department Name* | *Position Title* | |
|---|---|---|
| *Address* | *Phone No.* | *Dates Held* |

| *Agency or Department Name* | *Position Title* | |
|---|---|---|
| *Address* | *Phone No.* | *Dates Held* |

## TRAINING

| *INSTITUTE/SEMINARS/ON-THE-JOB TRAINING* | *DATE* |
|---|---|
| | |
| | |
| | |
| | |

## AWARDS

***List all educational, professional, civic awards, and recognition for public service:***

- Doctor of Humane Letters, University of Guam
- Henry Toll Leadership Fellow, Council of State Governments
- Graduate, FBI Citizens Academy, Guam
- Executive of the Year, Guam Business News
- Women sustaining the Chamorro Spirit Award, Bureau of Women's Affairs
- Outstanding Young Person, Guam Jaycees
- Merit Award for Excellence as Elected Public Auditor, Guam Accountants Association

## PROFESSIONAL INVOLVEMENT

***List involvement on a local/national/international level. List organizations, activities participated in, and offices held:***

Former Chair/Micronesian Member, Pacific Assoc. of Supreme Audit Institutions (PASAI)
Past Chair, Association of Pacific Islands Public Auditors (APIPA)
Past Guam Representative, Western Intergovernmental Audit Forum
Member, American Institute of Certified Public Accountants
Member, Guam Society of Certified Public Accountants
Member, Government Financial Officers Association
Past Regional VP & Member, Guam Chapter, Association of Gov't Accountants (AGA)
** SEE RESUME

Note that the Legislature has taken steps to ensure that any protected personal identifying information has been redacted or excluded in whole or in part in order to protect the privacy of any individual(s) whose information has been included as part of this transmittal.

## COMMUNITY / CIVIC INVOLVEMENT

| *List organizations, activities participated in, and offices held:* |
|---|
| - Past Int'l Treasurer & Finance Chair, Soroptomist International and Six Years Board Member, Cambridge, England<br>- Charter Member, past President, Soroptomist International, Guam<br>- Past Chair, Guam Chamber of Commerce<br>- Past Board Member, American Cancer Society, Guam Chapter<br>- Past President, International Women's Club of Guam<br>- Past Director, Girls Scouts of America, Guam Council<br>- Past Treasurer and member, Our Lady of Assumption Parish Council, Piti & Sunday School teacher, four years<br>- Past Vice-Chair, GPA<br>** SEE RESUME |

## PUBLICATIONS AND PRESENTATIONS

| *List any published articles, papers delivered at professional meetings, etc.:* |
|---|
| |

## MILITARY SERVICE (Please attach Form DD-214)

| *BRANCH* | *DATES OF SERVICE* | *RANK AT DISCHARGE* | *TYPE OF DISCHARGE* |
|---|---|---|---|
| | | | |

## OTHER INFORMATION

**(1) Have you ever been found guilty of a felony in any court, whether within or without the United States?**

**Yes** ☐ **No** ☑ **If so, please specify in detail:** _____

**Address of the Court:** _____

**Date(s) of Conviction:** _____

**Specific Infraction Committed:** _____

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**(2) Have you ever been declared mentally incompetent by any court, whether within or without the United States?**

**Yes** ☐ **No** ☑ **If so, please specify in detail the reasons and facts related to such declaration:**

_____

_____

_____

_____

_____

**(3) Have you ever been found not guilty or not punishable in any criminal proceedings by reason of insanity?**

**Yes** ☐ **No** ☑

**(4) Have you ever been confined to a mental institution for any reason?**

**Yes** ☐ **No** ☑ **If so, please specify in why the appointing authority believes you that you are not suffering from any mental illness or affliction:**

_____

_____

_____

_____

_____

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LOURDES A. LEON GUERRERO
*Governor*

JOSHUA F. TENORIO
*Lieutenant Governor*

# OFFICE OF THE GOVERNOR OF GUAM

## Statement of Financial Interests

(Required by 4 G.C.A. §13104.1)

TO: The Honorable Lourdes A. Leon Guerrero
Governor of Guam
Ricardo J. Bordallo Governor's Complex
Adelup, Guam 96910

FROM: Doris Flores Brooks

☐ I have no financial interest in any business

☑ I do have interest(s) in the following business(es):

| NAME AND ADDRESS OF BUSINESS INTEREST | TYPE AND AMOUNT OF INTEREST |
|---|---|
| Bank of Guam | Shares - 23,000 |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |

Signature: D Brooks

Date: 3/28/19


Doc. No. 35GL-19-0305.

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## AFFIDAVIT / DECLARATION UNDER PENALTY OF PERJURY

I, the undersigned, do hereby depose and say that: (1) I have read and reviewed the information contained in the attached Appointment/Nomination letter from the Governor of Guam; (2) that the matters contained in the Appointment/Nomination letter, together with all attachments thereto, are true and correct and that I am competent to testify to said matters; and (3) that this Declaration is made for the purpose of complying with the requirements of 4 G.C.A. Section 2103.5.

I declare under penalty of perjury under the laws of Guam (4 G.C.A. Section 4308) that the foregoing is true and correct.

Doris Flores Brooks — 3/28/19

Print Name and Signature — Date

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# SUPERIOR COURT OF GUAM

Guam Judicial Center • 120 West O'Brien Drive • Hagatña, Guam 96910

Telephone (671) 475-3370/475-3449
Fax (671) 472-2856

**DANIELLE T. ROSETE**
Clerk of Court


Name: DORIS FLORES BROOKS

SS#: ID# [redacted] Date of Birth: [redacted]

## CERTIFICATE OF SEARCH

The undersigned Clerk hereby certifies the following results of a diligent search of the records of this Court:

**Criminal Cases:**

A. [X] No Case Found

B. 1. Criminal Case No.
2. Criminal Case No.
3. Criminal Case No.
4. Criminal Case No.
5. Criminal Case No.

Criminal Record: Page 1 of 1

**Civil Cases:**

A. [ ] No Case Found

B. 1. Civil Case No.
2. Civil Case No.
3. Civil Case No.
4. Civil Case No.
5. Civil Case No.

Civil Record: Page 1 of 1

Request for further information may be addressed at the Records Division of the Superior Court of Guam, Guam Judicial Center, 120 West O'Brien Drive, Hagatña, Guam. Hours of operation are Monday – Friday, 8:00 a.m. to 5:00 p.m. Closed Saturday, Sunday and local/federal holidays. Court Clearances are Non-Refundable.

**Dated:** 03/25/2019

**DANIELLE T. ROSETE**
**Clerk of Court**

**BY:** JOHN J. DIEGO
**Deputy Clerk**

**Prepared By:** JJD

The absence of an original Court Seal invalidates this document

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Government of Guam
GUAM POLICE DEPARTMENT
RECORDS & IDENTIFICATION SECTION
P.O. Box 23909
Guam Main Facility, Guam 96921

March 25, 2019

**SUBJECT: CRIMINAL HISTORY RECORD**

| | | | |
|---|---|---|---|
| **NAME:** | **Doris F. BROOKS** | | |
| **DATE OF BIRTH:** | [redacted] | **FINGERPRINT#:** | **NONE** |
| ■ | **The individual has no record of criminal conviction(s) in GPD files that are subject to Guam law and rules and regulations of the Department.** | | |

******************NOTHING FOLLOWS*******************

*THIS INFORMATION MAY BE LIMITED TO A LOCAL CRIMINAL OFFENSE ONLY AND IS NOT INTENDED FOR USE FOR ANY LOCAL, STATE, OR FEDERAL LAW ENFORCEMENT AGENCY.*

**By Direction: je**

**STEPHEN C. IGNACIO**
**Acting Chief of Police**

**The absence of an original GUAM POLICE seal invalidates this police clearance.**
REVISED 01/06/19

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# DORIS FLORES BROOKS

[redacted]

**Education**

Harvard Business School
Master of Business Administration

San Jose State University
Bachelor of Science with Distinction in Business Administration

**Professional Experience**

Public Auditor, Government of Guam, 2001-May 2018

Assistant Professor Accounting and Finance,
University of Guam

Controller, ESSO Eastern Inc. (EXXON), Guam

Senator, Guam Legislature, 1989-1994

Vice President, Chief Financial Officer, Bank of Guam

Senior Accountant, Peat Marwick Mitchell, Guam and Hawaii

**Professional Licensure**

Certified Public Accountant

Certified Government Financial Manager

**Professional Membership**

Former Chair and Micronesian Member of Board
Pacific Association of Supreme Audit Institutions (PASAI)
Past Chair, Association of Pacific Island Public Auditors (APIPA)
Past Guam Representative,
Western Intergovernmental Audit Forum
Member, American Institute of Certified Public Accountants
Member, Guam Society of Certified Public Accountants
Member, Government Financial Officers Association
Past President, Guam Chapter,
Association of Government Accountants
Past Pacific Regional Vice President and Board member,
Association of Government Accountants
Former member, Guam Board of Accountancy
Former vice chairman, Guam Power Authority
Former member, GovGuam Retirement Fund
Former member, Guam Workers' Compensation Commission

**Awards**

Doctor of Humane Letters, University of Guam
Henry Toll Leadership Fellow, Council of States Governments

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| | |
|---|---|
| | Graduate, FBI Citizens Academy, Guam<br>Executive of the Year, Guam Business News<br>Women Sustaining the Chamorro Spirit award,<br>Bureau of Women's Affairs<br>Outstanding Young Person, Guam Jaycees<br>Merit Award for Excellence as Elected Pubic Auditor,<br>Guam Accountants Association |
| **Community Activities** | Past International Treasurer and Finance Chairman,<br>Soroptimist International, and six years Board member,<br>Cambridge, England<br>Charter member, past President, Soroptimist International, Guam<br>Past Chairman, Guam Chamber of Commerce<br>Past Board member, American Cancer Society, Guam chapter<br>Past President, International Women's Club of Guam<br>Past Director, Girl Scouts of America, Guam Council<br>Past Treasurer and member, Our Lady of Assumption Parish<br>Council, Piti, Sunday School teacher, four years |
| **Recreation** | Biker and runner, finisher of six marathons<br>Paddler, past Treasurer, Guam Outrigger Canoe Club |
| **Family** | Married to James S. Brooks, one son, four step children, nine grandchildren, seven great grandchildren |