UFISINAN I MAGA'HÅGA
OFFICE OF THE GOVERNOR

LOURDES A. LEON GUERRERO
MAGA'HÅGA • GOVERNOR

JOSHUA F. TENORIO
SIGUNDO MAGA'LÅHI • LIEUTENANT GOVERNOR

July 1, 2019

356L-19-0710
Speaker Tina Rose Muña Barnes

JUL 0 3 2019
Time 1:52 ( )AM (/)PM
Received By: Alan

Honorable Tina Muna Barnes
Speaker
*I Mina'trentai Singko Na Liheslaturan Guåhan*
Guam Congress Building
163 Chalan Santo Papa
Hagatna, Guam 96932

**RE: Board Appointment**

Dear Madame Speaker:

By virtue of the authority vested in me pursuant to the Organic Act of Guam and the local laws applicable to the following position, I am pleased to transmit the following appointment and supporting documents for:

| | |
|---|---|
| APPOINTEE: | David N. Sanford |
| POSITION: | Member (Private Sector Representative), Board of Trustees Government of Guam Retirement Fund |
| TERM LENGTH: | **Five (5) Years (To fill the seat of Gerard Cruz, whose term has expired)** |

2019 JUL -3 PM 7:58

The appointment is subject to the consent of *I Liheslaturan Guåhan*. Please schedule a hearing at your earliest convenience.

*Senseramente,*

**LOURDES A. LEON GUERRERO**
*I Maga'hågan Guåhan*
Governor of Guam

cc: Lt. Governor of Guam



0710

UFISINAN I MAGA'HÅGA
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LOURDES A. LEON GUERRERO
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JOSHUA F. TENORIO
SIGUNDO MAGA'LÅHI • LIEUTENANT GOVERNOR

July 1, 2019

David N. Sanford
[redacted]

Dear Mr. Sanford:

Pursuant to my authority under 4 GCA § 8138, I am appointing you to serve as a

**MEMBER, BOARD OF TRUSTEES; GOVERNMENT OF GUAM RETIREMENT FUND**
**Private Sector Representative**
**Term Length: Five (5) Years**
**(To fill the seat of Gerard Cruz, whose term has expired)**

Appointing you to this position comes with great responsibility because you will have a vital role to perform which will place many demands on your time and energy. Integrity and honesty are virtues that I prioritize for my Administration to exemplify, and I know that you will do the same while in service to the people of Guam. Your responsibilities shall be significant and consequential. I trust that you will fulfill your duties and set a standard for others to follow.

I appreciate your willingness to serve in this position, and I am confident that your time will be spent in a manner to improve the island of Guam.

This appointment is subject to the advice and consent of *I Liheslaturan Guåhan*. If you have any questions or concerns, please contact the Office of the Governor.

*Senseramente,*

**LOURDES A. LEON GUERRERO**
*I Maga'hågan Guåhan*
Governor of Guam

cc: Lt. Governor of Guam
Chairman, GGRF Board

RECEIVED BY GOVERNOR'S SECRETARY AT 3:00 PM
DATE: 7/1/19

LOURDES A. LEON GUERRERO
*Governor*

JOSHUA F. TENORIO
*Lieutenant Governor*

# OFFICE OF THE GOVERNOR OF GUAM
## Nomination and Appointment Information Sheet

The following information is required for submission to the
Speaker of *I Liheslaturan Guåhan* in accordance with Title 4, Guam Code Annotated Section 2103

### NOMINEE INFORMATION

| ***Last Name*** | ***First Name*** | ***Middle Initial*** |
|---|---|---|
| SANFORD | DAVID | N |

| ***Mailing Address*** | ***Apartment/Unit #*** |
|---|---|
| [redacted] | |

| ***City*** | ***State*** | ***Zip Code*** |
|---|---|---|
| [redacted] | [redacted] | [redacted] |

| ***Phone*** | ***E-mail Address*** |
|---|---|
| [redacted] | [redacted] |

***Position to which Appointment is Made***
Member, Board of Trustees, Government of Guam Retirement Fund

***Are you a citizen of the United States?*** Yes ☑ No ☐

**If no, are you authorized to work in the United States?** Yes ☐ No ☐

### EDUCATION

| *SCHOOL (Name, City and State)* | *DATES OF ATTENDANCE* | *TYPE OF DEGREE* | *YEAR EARNED* |
|---|---|---|---|
| ***High School***<br>St. Johns School, Tumon, Guam | | High School Diploma | 2003 |
| ***College***<br>Rochester Institute of Technology, Rochester, NY | | BS (Software Eng) | 2008 |
| ***Graduate School***<br>Rochester Institute of Technology,Rochester, NY | | MBA | 2010 |
| ***Other Degree*** | | CPA | 2011 |

## EMPLOYMENT HISTORY

| *Name of Present/Last Employer and Mailing Address* | *Position Title* |
|---|---|
| Sanford Technology Group<br>Tamuning, Guam | General Manager |
| | *Dates Held*<br>2011 - Present |

| *Previous Employer and Mailing Address* | *Position Title* |
|---|---|
| Deloitte and Touche<br>Tamuning, Guam | Audit Associate |
| | *Dates Held*<br>10/2010 - 10/2011 |

| *Previous Employer and Mailing Address* | *Position Title* |
|---|---|
| Rochester Institute of Technology<br>Center for Campus Life<br>Rochester, NY | Event Regis & Contracts Grad Asst. |
| | *Dates Held*<br>8/2008 - 5/2010 |

| *Previous Employer and Mailing Address* | *Position Title* |
|---|---|
| | *Dates Held* |

| *Previous Employer and Mailing Address* | *Position Title* |
|---|---|
| | *Dates Held* |

## PRIOR GOVERNMENT OF GUAM SERVICE

| *Agency or Department Name* | | *Position Title* |
|---|---|---|
| *Address* | *Phone No.* | *Dates Held* |

| *Agency or Department Name* | | *Position Title* |
|---|---|---|
| *Address* | *Phone No.* | *Dates Held* |

## PRIOR GOVERNMENT SERVICE (EXCLUDING GOVERNMENT OF GUAM)

| *Agency or Department Name* | *Position Title* | |
|---|---|---|
| *Address* | *Phone No.* | *Dates Held* |

| *Agency or Department Name* | *Position Title* | |
|---|---|---|
| *Address* | *Phone No.* | *Dates Held* |

## TRAINING

| *INSTITUTE/SEMINARS/ON-THE-JOB TRAINING* | *DATE* |
|---|---|
| | |
| | |
| | |
| | |

## AWARDS

| *List all educational, professional, civic awards, and recognition for public service:* |
|---|
| |

## PROFESSIONAL INVOLVEMENT

| *List involvement on a local/national/international level. List organizations, activities participated in, and offices held:* |
|---|
| Guam Society of Certified Public Accountants<br>American Institute of Certified Public Accountants<br>Guam Young Professionals |

## COMMUNITY / CIVIC INVOLVEMENT

| *List organizations, activities participated in, and offices held:* |
|---|
| |

## PUBLICATIONS AND PRESENTATIONS

| *List any published articles, papers delivered at professional meetings, etc.:* |
|---|
| |

## MILITARY SERVICE (Please attach Form DD-214)

| *BRANCH* | *DATES OF SERVICE* | *RANK AT DISCHARGE* | *TYPE OF DISCHARGE* |
|---|---|---|---|
| | | | |

## OTHER INFORMATION

**(1) Have you ever been found guilty of a felony in any court, whether within or without the United States?**

**Yes** ☐ **No** ☑ **If so, please specify in detail:** _____

**Address of the Court:** _____

**Date(s) of Conviction:** _____

**Specific Infraction Committed:** _____

(2) Have you ever been declared mentally incompetent by any court, whether within or without the United States?

Yes ☐ No ☑ If so, please specify in detail the reasons and facts related to such declaration:

(3) Have you ever been found not guilty or not punishable in any criminal proceedings by reason of insanity?

Yes ☐ No ☑

(4) Have you ever been confined to a mental institution for any reason?

Yes ☐ No ☑ If so, please specify in why the appointing authority believes you that you are not suffering from any mental illness or affliction:

LOURDES A. LEON GUERRERO
*Governor*

JOSHUA F. TENORIO
*Lieutenant Governor*

## OFFICE OF THE GOVERNOR OF GUAM

# Statement of Financial Interests

(Required by 4 G.C.A. §13104.1)

TO: The Honorable Lourdes A. Leon Guerrero
Governor of Guam
Ricardo J. Bordallo Governor's Complex
Adelup, Guam 96910

FROM: David N. Sanford

☑ I have no financial interest in any business

☐ I do have interest(s) in the following business(es):

| NAME AND ADDRESS OF BUSINESS INTEREST | TYPE AND AMOUNT OF INTEREST |
|---|---|
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |

Signature

7/3/19
Date

## AFFIDAVIT / DECLARATION UNDER PENALTY OF PERJURY

I, the undersigned, do hereby depose and say that: (1) I have read and reviewed the information contained in the attached Appointment/Nomination letter from the Governor of Guam; (2) that the matters contained in the Appointment/Nomination letter, together with all attachments thereto, are true and correct and that I am competent to testify to said matters; and (3) that this Declaration is made for the purpose of complying with the requirements of 4 G.C.A. Section 2103.5.

I declare under penalty of perjury under the laws of Guam (4 G.C.A. Section 4308) that the foregoing is true and correct.

David N Sanford

Print Name and Signature

7/3/19

Date

# SUPERIOR COURT OF GUAM

Guam Judicial Center • 120 West O'Brien Drive • Hagatña, Guam 96910

Telephone (671) 475-3370/475-3449
Fax (671) 472-2856

**DANIELLE T. ROSETE**
Clerk of Court

Name: DAVID NIKOLAUS SANFORD

SS#: ID# GU DL# [redacted] Date of Birth: [redacted]

## CERTIFICATE OF SEARCH

The undersigned Clerk hereby certifies the following results of a diligent search of the records of this Court:

| **Criminal Cases:** | | | **Civil Cases:** | | |
|---|---|---|---|---|---|
| A. | ☒ | No Case Found | A. | [ ] | No Case Found |
| B. | 1. | Criminal Case No. | B. | 1. | Civil Case No. |
| | 2. | Criminal Case No. | | 2. | Civil Case No. |
| | 3. | Criminal Case No. | | 3. | Civil Case No. |
| | 4. | Criminal Case No. | | 4. | Civil Case No. |
| | 5. | Criminal Case No. | | 5. | Civil Case No. |
| | Criminal Record: | Page 1 of 1 | | Civil Record: | Page 1 of 1 |

Request for further information may be addressed at the Records Division of the Superior Court of Guam, Guam Judicial Center, 120 West O'Brien Drive, Hagatña, Guam. Hours of operation are Monday – Friday, 8:00 a.m. to 5:00 p.m. Closed Saturday, Sunday and local/federal holidays. Court Clearances are Non-Refundable.

**Dated:** 06/27/2019

**DANIELLE T. ROSETE**
**Clerk of Court**

**BY:** CHRISTIANE CACHERO-XIAO
**Deputy Clerk**

**Prepared By:** MG

The absence of an original Court Seal invalidates this document

Government of Guam
GUAM POLICE DEPARTMENT
RECORDS & IDENTIFICATION SECTION
P.O. Box 23909
Guam Main Facility, Guam 96921

June 26, 2019

**SUBJECT: CRIMINAL HISTORY RECORD**

| | | | |
|---|---|---|---|
| **NAME:** | **David N. SANFORD** | | |
| **DATE OF BIRTH:** | [redacted] | **FINGERPRINT #** | **NONE** |
| ■ | **The individual has no record of criminal conviction(s) in GPD files that are subject to Guam law and rules and regulations of the Department.** | | |

******************NOTHING FOLLOWS*******************

*THIS INFORMATION MAY BE LIMITED TO A LOCAL CRIMINAL OFFENSE ONLY AND IS NOT INTENDED FOR USE FOR ANY LOCAL, STATE, OR FEDERAL LAW ENFORCEMENT AGENCY.*

**By Direction: BARBIE**

**The absence of an original GUAM POLICE seal invalidates this police clearance.**
REVISED 04/26/2019

for **STEPHEN C. IGNACIO**
**Chief of Police**

# DAVID SANFORD, MBA, CPA

[redacted]

## SUMMARY

- A highly-motivated and fast-learning Software Engineer/MBA/Certified Public Accountant with nine years' experience working in various capacities as software designer, general manager, accountant, and audit associate.

## WORK EXPERIENCE

Sanford Technology Group, Tamuning, GU
General Manager, October 2011 - Present

- Worked with a team to design and implement an enhanced cash receipts system for our payroll department which processes funds received from customers and creates the appropriate electronic files necessary for funds transfers, tax payments, employee direct deposits and more, while maintaining accuracy through control totals
- Designed and implemented a paperless quarterly tax report management and distribution process for the payroll department which ensures the security and confidentiality of the documents, while saving weeks of manual labor per quarter through the automation of manual processes
- Met with various customers to understand their unique requirements and business processes to develop and implement custom files and/or reports, and made these files/reports available via download from our website
- Established enhanced internal controls and authored numerous standard operating procedure documents
- Currently managing an SOC 1 Type 2 audit to provide our customers with reasonable assurance that STG's internal controls have been tested and are designed and operating effectively

Deloitte and Touche, Tamuning, GU
Audit Associate, October 2010 - October 2011

- Performed financial audits in a team setting to ensure the clients' financial statements were fairly presented
- Tested clients' internal controls to ensure effectiveness
- Inspected and verified clients' inventories against their financials to ensure accurate recording
- Worked closely with department managers and their staff to gather accurate and helpful information

Rochester Institute of Technology Center for Campus Life, Rochester, NY
Event Registration and Contracts Graduate Assistant, August 2008 – May 2010

- Handled contracts for the majority of performers, speakers, and musicians who performed at RIT
- Assisted campus clubs and organizations with the registration and setup of public and private on-campus events
- Served as a liaison between clubs, organizations, and departments, and all of RIT's various service providers
- Participated in Student Government Finance Committee meetings
- Trained new hires on the RIT contracts process and RIT's Welcome Center policies and procedures

## EDUCATION / LICENSES

Certified Public Accountant, 2011

Rochester Institute of Technology, Rochester, NY
Master of Business Administration in Accounting, May 2010
GPA: 3.75/4.0

Rochester Institute of Technology, Rochester, NY
Bachelor of Science in Software Engineering, May 2008
GPA: 3.56/4.0

## MEMBERSHIPS / ACTIVITIES / ACHIEVEMENTS

- Guam Society of Certified Public Accountants / American Institute of Certified Public Accountants
- Guam Young Professionals - Current member and former elected Steering Committee member