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UFISINAN I MAGA'HÅGA
OFFICE OF THE GOVERNOR

LOURDES A. LEON GUERRERO
MAGA'HÅGA • GOVERNOR

JOSHUA F. TENORIO
SIGUNDO MAGA'LÅHI • LIEUTENANT GOVERNOR

September 20, 2019

35GL-19-1026
Speaker Tina Rose Muña Barnes
SEP 20 2019
Time 3:14 ( )AM ( )PM
Received By: Matt

Honorable Tina Muna Barnes
Speaker
I Mina'trentai Singko Na Liheslaturan Guåhan
Guam Congress Building
163 Chalan Santo Papa
Hagatna, Guam 96932

RE: Board Appointment

Dear Madame Speaker:

By virtue of the authority vested in me pursuant to the Organic Act of Guam and the local laws applicable to the following position, I am pleased to transmit the following appointment and supporting documents for:

APPOINTEE:	Doris F. Brooks
POSITION:	Member (CPA Representative) Public Utilities Commission
TERM LENGTH:	**Six (6) Years; November 1, 2019 to October 31, 2025** **To fill the seat of Filomena Cantoria, whose term will expire on October 31, 2019**

The appointment is subject to the consent of *I Liheslaturan Guåhan*. Please schedule a hearing at your earliest convenience.

Senseramente,

LOURDES A. LEON GUERRERO
I Maga'hågan Guåhan
Governor of Guam

cc: Lt. Governor of Guam

2019 SEP 20 PM 3:32

1026

RICARDO J. BORDALLO GOVERNOR'S COMPLEX · HAGÅTÑA, GUAM 96910
P.O. BOX 2950 · HAGÅTÑA, GUAM 96932
671.472.8931 · 671.472.8932/6

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UFISINAN I MAGA'HÅGA
OFFICE OF THE GOVERNOR

LOURDES A. LEON GUERRERO
MAGA'HÁGA • GOVERNOR

JOSHUA F. TENORIO
SIKUNDO MAGA'LÅHI • LIEUTENANT GOVERNOR

September 20, 2019

Doris F. Brooks
[redacted]

Dear Ms. Brooks:

Pursuant to my authority under 12 GCA § 12102, I am appointing you to serve as a

MEMBER, PUBLIC UTILITIES COMMISSION
Certified Public Accountant (CPA) – Representative
Term Length: Six (6) Years; November 1, 2019 to October 31, 2025
To fill the seat of Filomena Cantoria, whose term will expire on October 31, 2019

Appointing you to this position comes with great responsibility because you will have a vital role to perform which will place many demands on your time and energy. Integrity and honesty are virtues that I prioritize for my Administration to exemplify, and I know that you will do the same while in service to the people of Guam. Your responsibilities shall be significant and consequential. I trust that you will fulfill your duties and set a standard for others to follow.

I appreciate your willingness to serve in this position, and I am confident that your time will be spent in a manner to improve the island of Guam.

This appointment is subject to the advice and consent of *I Liheslaturan Guåhan*. If you have any questions or concerns, please contact the Office of the Governor.

Senseramente,

LOURDES A. LEON GUERRERO
I Maga'hågan Guåhan
Governor of Guam

cc: Lt. Governor of Guam
Chairman, PUC

RICARDO J. BORDALLO GOVERNOR'S COMPLEX • ADELUPI, GUAM 96910
P.O. BOX 2950 • HAGÅTÑA, GUAM 96932
671.472.8931 • 671.472.8565/6

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LOURDES A. LEON GUERRERO
Governor

JOSHUA F. TENORIO
Lieutenant Governor

OFFICE OF THE GOVERNOR OF GUAM
Nomination and Appointment Information Sheet

The following information is required for submission to the
Speaker of *I Liheslaturan Guåhan* in accordance with Title 4, Guam Code Annotated Section 2103

NOMINEE INFORMATION

Last Name	*First Name*	*Middle Initial*
BROOKS	DORIS	FLORES

Mailing Address	*Apartment/Unit #*
[redacted]	

City	*State*	*Zip Code*
[redacted]	[redacted]	[redacted]

Phone	*E-mail Address*
[redacted]	[redacted]

Position to which Appointment is Made
Member, Public Utilities Commission

Are you a citizen of the United States? Yes ☑ No ☐

If no, are you authorized to work in the United States? Yes ☐ No ☐

EDUCATION

SCHOOL (Name, City and State)	*DATES OF ATTENDANCE*	*TYPE OF DEGREE*	*YEAR EARNED*
High School Academy of Our Lady of Guam, Hagatna, GU	1962 - 1965	High School Diploma	1965
College San Jose State University, CA	1967 - 1969	BS	1969
Graduate School Harvard Business School, MASS	1979 - 1981	MBA	1981
Other Degree			

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EMPLOYMENT HISTORY

Name of Present/Last Employer and Mailing Address	*Position Title* / *Dates Held*
Office of the Public Auditor Government of Guam	Public Auditor 2001 - 2018

Previous Employer and Mailing Address	*Position Title* / *Dates Held*
University of Guam Mangilao, Guam	Asst. Professor 1996 - 2000

Previous Employer and Mailing Address	*Position Title* / *Dates Held*
ESSON Eastern Inc. EXXON Guam	Controller 1995 - 1998

Previous Employer and Mailing Address	*Position Title* / *Dates Held*
Guam Legislature Government of Guam	Senator 1989 - 1994

Previous Employer and Mailing Address	*Position Title* / *Dates Held*
Bank of Guam Hagatna, Guam	VP/Chief Financial Officer 1982 - 1988

PRIOR GOVERNMENT OF GUAM SERVICE

Agency or Department Name	*Position Title*	
Bureau of Budget & Management Research	Director	
Address	*Phone No.*	*Dates Held*
		1976 - 1978

Agency or Department Name	*Position Title*	
Guam International Airport Authority	Controller	
Address	*Phone No.*	*Dates Held*
		1979

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PRIOR GOVERNMENT SERVICE (EXCLUDING GOVERNMENT OF GUAM)

Agency or Department Name	*Position Title*	
Address	*Phone No.*	*Dates Held*

Agency or Department Name	*Position Title*	
Address	*Phone No.*	*Dates Held*

TRAINING

INSTITUTE/SEMINARS/ON-THE-JOB TRAINING	*DATE*

AWARDS

List all educational, professional, civic awards, and recognition for public service:

- Doctor of Humane Letters, University of Guam
- Henry Toll Leadership Fellow, Council of State Governments
- Graduate, FBI Citizens Academy, Guam
- Executive of the Year, Guam Business News
- Women sustaining the Chamorro Spirit Award, Bureau of Women's Affairs
- Outstanding Young Person, Guam Jaycees
- Merit Award for Excellence as Elected Public Auditor, Guam Accountants Association

PROFESSIONAL INVOLVEMENT

List involvement on a local/national/international level. List organizations, activities participated in, and offices held:

Former Chair/Micronesian Member, Pacific Assoc. of Supreme Audit Institutions (PASAI)
Past Chair, Association of Pacific Islands Public Auditors (APIPA)
Past Guam Representative, Western Intergovernmental Audit Forum
Member, American Institute of Certified Public Accountants
Member, Guam Society of Certified Public Accountants
Member, Government Financial Officers Association
** SEE RESUME

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COMMUNITY / CIVIC INVOLVEMENT

List organizations, activities participated in, and offices held:
- Past Int'l Treasurer & Finance Chair, Soroptomist International and Six Years Board Member, Cambridge, England - Charter Member, past President, Soroptomist International, Guam - Past Chair, Guam Chamber of Commerce - Past Board Member, American Cancer Society, Guam Chapter - Past President, International Women's Club of Guam ** SEE RESUME

PUBLICATIONS AND PRESENTATIONS

List any published articles, papers delivered at professional meetings, etc.:

MILITARY SERVICE (Please attach Form DD-214)

BRANCH	*DATES OF SERVICE*	*RANK AT DISCHARGE*	*TYPE OF DISCHARGE*

OTHER INFORMATION

(1) Have you ever been found guilty of a felony in any court, whether within or without the United States?

Yes ☐ **No** ☑ **If so, please specify in detail:** _____

Address of the Court: _____

Date(s) of Conviction: _____

Specific Infraction Committed: _____

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(2) Have you ever been declared mentally incompetent by any court, whether within or without the United States?

Yes ☐ **No** ☑ **If so, please specify in detail the reasons and facts related to such declaration:**

_____

_____

_____

_____

_____

(3) Have you ever been found not guilty or not punishable in any criminal proceedings by reason of insanity?

Yes ☐ **No** ☑

(4) Have you ever been confined to a mental institution for any reason?

Yes ☐ **No** ☑ **If so, please specify in why the appointing authority believes you that you are not suffering from any mental illness or affliction:**

_____

_____

_____

_____

_____

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LOURDES A. LEON GUERRERO
Governor

JOSHUA F. TENORIO
Lieutenant Governor

OFFICE OF THE GOVERNOR OF GUAM

Statement of Financial Interests

(Required by 4 G.C.A. §13104.1)

TO: The Honorable Lourdes A. Leon Guerrero
Governor of Guam
Ricardo J. Bordallo Governor's Complex
Adelup, Guam 96910

FROM: Doris Flores Brooks

☐ I have no financial interest in any business

☑ I do have interest(s) in the following business(es):

NAME AND ADDRESS OF BUSINESS INTEREST	TYPE AND AMOUNT OF INTEREST
Bank of Guam	Shares - 23,000

Signature

9/17/19
Date

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AFFIDAVIT / DECLARATION UNDER PENALTY OF PERJURY

I, the undersigned, do hereby depose and say that: (1) I have read and reviewed the information contained in the attached Appointment/Nomination letter from the Governor of Guam; (2) that the matters contained in the Appointment/Nomination letter, together with all attachments thereto, are true and correct and that I am competent to testify to said matters; and (3) that this Declaration is made for the purpose of complying with the requirements of 4 G.C.A. Section 2103.5.

I declare under penalty of perjury under the laws of Guam (4 G.C.A. Section 4308) that the foregoing is true and correct.

Doris Flores Brooks _____ 9/17/19

Print Name and Signature Date

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SUPERIOR COURT OF GUAM

Guam Judicial Center • 120 West O'Brien Drive • Hagatña, Guam 96910

Telephone (671) 475-3370/475-3449
Fax (671) 472-2856

DANIELLE T. ROSETE
Clerk of Court

Name: DORIS FLORES BROOKS

SS#: ID# GDL# [redacted] Date of Birth: [redacted]

CERTIFICATE OF SEARCH

The undersigned Clerk hereby certifies the following results of a diligent search of the records of this Court:

Criminal Cases:			**Civil Cases:**		
A.	[X]	No Case Found	A.	[ ]	No Case Found
B.	1.	Criminal Case No.	B.	1.	Civil Case No.
	2.	Criminal Case No.		2.	Civil Case No.
	3.	Criminal Case No.		3.	Civil Case No.
	4.	Criminal Case No.		4.	Civil Case No.
	5.	Criminal Case No.		5.	Civil Case No.
	Criminal Record:	Page 1 of 1		Civil Record:	Page 1 of 1

Request for further information may be addressed at the Records Division of the Superior Court of Guam, Guam Judicial Center, 120 West O'Brien Drive, Hagatña, Guam. Hours of operation are Monday – Friday, 8:00 a.m. to 5:00 p.m. Closed Saturday, Sunday and local/federal holidays. Court Clearances are Non-Refundable.

Dated: 09/17/2019

DANIELLE T. ROSETE
Clerk of Court

BY: DIEGO, JOHN J.
Deputy Clerk

Prepared By: JJD

The absence of an original Court Seal invalidates this document

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Government of Guam
GUAM POLICE DEPARTMENT
RECORDS & IDENTIFICATION SECTION
P.O. Box 23909
Guam Main Facility, Guam 96921

September 17, 2019

SUBJECT: CRIMINAL HISTORY RECORD

NAME:	**Doris Flores BROOKS**		
DATE OF BIRTH:	[redacted]	**FINGERPRINT#:**	**NONE**
■	**The individual has no record of criminal conviction(s) in the Guam Police Department files that are subject to Guam law.**		

******************NOTHING FOLLOWS******************

THIS INFORMATION MAY BE LIMITED TO A LOCAL CRIMINAL OFFENSE ONLY AND IS NOT INTENDED FOR USE FOR ANY LOCAL, STATE, OR FEDERAL LAW ENFORCEMENT AGENCY.

By Direction: vbc

STEPHEN C. IGNACIO
Chief of Police

The absence of an original GUAM POLICE seal invalidates this police clearance.
REVISED 04/26/2019

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DORIS FLORES BROOKS



Education

Harvard Business School
Master of Business Administration

San Jose State University
Bachelor of Science with Distinction in Business Administration

Professional Experience

Public Auditor, Government of Guam, 2001-May 2018

Assistant Professor Accounting and Finance,
University of Guam

Controller, ESSO Eastern Inc. (EXXON), Guam

Senator, Guam Legislature, 1989-1994

Vice President, Chief Financial Officer, Bank of Guam

Senior Accountant, Peat Marwick Mitchell, Guam and Hawaii

Professional Licensure

Certified Public Accountant

Certified Government Financial Manager

Professional Membership

Former Chair and Micronesian Member of Board
Pacific Association of Supreme Audit Institutions (PASAI)
Past Chair, Association of Pacific Island Public Auditors (APIPA)
Past Guam Representative,
Western Intergovernmental Audit Forum
Member, American Institute of Certified Public Accountants
Member, Guam Society of Certified Public Accountants
Member, Government Financial Officers Association
Past President, Guam Chapter,
Association of Government Accountants
Past Pacific Regional Vice President and Board member,
Association of Government Accountants
Former member, Guam Board of Accountancy
Former vice chairman, Guam Power Authority
Former member, GovGuam Retirement Fund
Former member, Guam Workers' Compensation Commission

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Awards	Doctor of Humane Letters, University of Guam Henry Toll Leadership Fellow, Council of States Governments Graduate, FBI Citizens Academy, Guam Executive of the Year, Guam Business News Women Sustaining the Chamorro Spirit award, Bureau of Women's Affairs Outstanding Young Person, Guam Jaycees Merit Award for Excellence as Elected Pubic Auditor, Guam Accountants Association
Community Activities	Past International Treasurer and Finance Chairman, Soroptimist International, and six years Board member, Cambridge, England Charter member, past President, Soroptimist International, Guam Past Chairman, Guam Chamber of Commerce Past Board member, American Cancer Society, Guam chapter Past President, International Women's Club of Guam Past Director, Girl Scouts of America, Guam Council Past Treasurer and member, Our Lady of Assumption Parish Council, Piti, Sunday School teacher, four years
Recreation	Biker and runner, finisher of six marathons Paddler, past Treasurer, Guam Outrigger Canoe Club
Family	Married to James S. Brooks, one son, four step children, nine grandchildren, seven great grandchildren