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OFISINAN I MAGA'HÅGA
OFFICE OF THE GOVERNOR

LOURDES A. LEON GUERRERO
MAGA'HÅGA • GOVERNOR

JOSHUA F. TENORIO
SIGUNDO MAGA'LÅHI • LIEUTENANT GOVERNOR

35GL-19-1037
Speaker Tina Rose Muña Barnes

SEP 24 2019
Time 12:12 ( )AM (✓)PM
Received By:

2019 SEP 24 PM 12:34

September 23, 2019

Honorable Tina Muna Barnes
Speaker
I Mina'trentai Singko Na Liheslaturan Guåhan
Guam Congress Building
163 Chalan Santo Papa
Hagatna, Guam 96932

RE: Board Appointment

Dear Madame Speaker:

By virtue of the authority vested in me pursuant to the Organic Act of Guam and the local laws applicable to the following position, I am pleased to transmit the following appointment and supporting documents for:

APPOINTEE:	Ricardo S. Unpingco
POSITION:	Member (Utilities Representative) Public Utilities Commission
TERM LENGTH:	**Six (6) Years; November 1, 2019 to October 31, 2025** **To fill the seat of Mark G. Miller, whose term will expire on October 31, 2019**

The appointment is subject to the consent of *I Liheslaturan Guåhan*. Please schedule a hearing at your earliest convenience.

Senseramente,

LOURDES A. LEON GUERRERO
I Maga'hågan Guåhan
Governor of Guam

cc: Lt. Governor of Guam

1037

RICARDO J. BORDALLO GOVERNOR'S COMPLEX · HAGÅTÑA, GUAM 96910
P.O. BOX 2950 · HAGÅTÑA, GUAM 96932
671.472.8931 · 671.472.8932/6

Note that the Legislature has taken steps to ensure that any protected personal identifying information has been redacted or excluded in whole or in part in order to protect the privacy of any individual(s) whose information has been included as part of this transmittal.

OPISINAN I MAGA'HÅGA
OFFICE OF THE GOVERNOR

LOURDES A. LEON GUERRERO
MAGA'HÅGA • GOVERNOR

JOSHUA F. TENORIO
SIGUNDO MAGA'LÅHI • LIEUTENANT GOVERNOR

September 23, 2019

Ricardo S. Unpingco
[redacted]

Dear Mr. Unpingco:

Pursuant to my authority under 12 GCA § 12102, I am appointing you to serve as a

MEMBER, PUBLIC UTILITIES COMMISSION
Utilities – Representative
Term Length: Six (6) Years; November 1, 2019 to October 31, 2025
To fill the seat of Mark G. Miller, whose term will expire on October 31, 2019

Appointing you to this position comes with great responsibility because you will have a vital role to perform which will place many demands on your time and energy. Integrity and honesty are virtues that I prioritize for my Administration to exemplify, and I know that you will do the same while in service to the people of Guam. Your responsibilities shall be significant and consequential. I trust that you will fulfill your duties and set a standard for others to follow.

I appreciate your willingness to serve in this position, and I am confident that your time will be spent in a manner to improve the island of Guam.

This appointment is subject to the advice and consent of *I Liheslaturan Guåhan*. If you have any questions or concerns, please contact the Office of the Governor.

Senseramente,

LOURDES A. LEON GUERRERO
I Maga'hågan Guåhan
Governor of Guam

cc: Lt. Governor of Guam
Chairman, PUC

RICARDO J. BORDALLO GOVERNOR'S COMPLEX • HAGÅTÑA, GUAM 96910
P.O. BOX 2950 • HAGÅTÑA, GUAM 96932
671.472-8931 • 671.477-4826

Doc. No. 35GL-19-1037.

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LOURDES A. LEON GUERRERO
Governor

JOSHUA F. TENORIO
Lieutenant Governor

OFFICE OF THE GOVERNOR OF GUAM
Nomination and Appointment Information Sheet

The following information is required for submission to the
Speaker of *I Liheslaturan Guåhan* in accordance with Title 4, Guam Code Annotated Section 2103

NOMINEE INFORMATION

Last Name	*First Name*	*Middle Initial*
UNPINGCO	RICARDO	S

Mailing Address	*Apartment/Unit #*
[redacted]	

City	*State*	*Zip Code*
[redacted]	[redacted]	[redacted]

Phone	*E-mail Address*
[redacted]	[redacted]

Position to which Appointment is Made
Member, Public Utilities Commission

Are you a citizen of the United States? Yes ☑ No ☐

If no, are you authorized to work in the United States? Yes ☐ No ☐

EDUCATION

SCHOOL (Name, City and State)	*DATES OF ATTENDANCE*	*TYPE OF DEGREE*	*YEAR EARNED*
High School Father Duenas Memorial High School, GU		High School Diploma	1971
College University of Guam, Mangilao, GU	1974-1979	BA	1979
Graduate School University of Guam, Mangilao, GU	1983-1985	MBA	1985
Other Degree			

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EMPLOYMENT HISTORY

Name of Present/Last Employer and Mailing Address	*Position Title*
RSU Consultants, LLC Guam	Managing Director
	Dates Held 1/2000 - Present

Previous Employer and Mailing Address	*Position Title*
Taiwan Electrical & Mechanical Engineering Srvcs (TEMES)	Sr Technical Advisor for Guam
	Dates Held 6/2002 - Present

Previous Employer and Mailing Address	*Position Title*
Veolia Water Guam, LLC	Deputy GM/GM
	Dates Held 1/2007 - 8/2013

Previous Employer and Mailing Address	*Position Title*
TEMES (Palau, Saipan & Tinian)	Technical Advisor
	Dates Held 3/2003 - 12/2006

Previous Employer and Mailing Address	*Position Title*
GuamCell Communications & HafaTEL Cellular Phone Company	Consultant
	Dates Held 1/2000 - 1/2006

PRIOR GOVERNMENT OF GUAM SERVICE

Agency or Department Name	*Position Title*	
Guam Power Authoriy	General Manager	
Address	*Phone No.*	*Dates Held* 2/1996 - 12/1999

Agency or Department Name	*Position Title*	
Guam Power Authority	Various Positions	
Address	*Phone No.*	*Dates Held* 6/1973 - 1/1996

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PRIOR GOVERNMENT SERVICE (EXCLUDING GOVERNMENT OF GUAM)

Agency or Department Name	*Position Title*	
Address	*Phone No.*	*Dates Held*

Agency or Department Name	*Position Title*	
Address	*Phone No.*	*Dates Held*

TRAINING

INSTITUTE/SEMINARS/ON-THE-JOB TRAINING	*DATE*
SCADA Software Certification, TRW Controls Houston, Texas	January - July 1980
Veolia Water Business & Culture, Zhuhei & Shanghei, China	July 2007 & May 2008
Installation, Repair & Maintenance of Wastewater Network	July 2008
Management of Wastewater Treatment Plants, Veolia Guam	December 2010

AWARDS

List all educational, professional, civic awards, and recognition for public service:

Typhoon Paka Restoration Award for GPA crews, 1998

Hurricane Merilyn Restoration Award for GPA crews from U.S. Virgin Islands, 1995

PROFESSIONAL INVOLVEMENT

List involvement on a local/national/international level. List organizations, activities participated in, and offices held:

Served as a Judge for the Governor's Employee Recognition Program in 2012 for the Project/Program of the Year Award; Category (Small & Large Dept/Agency).

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COMMUNITY / CIVIC INVOLVEMENT

List organizations, activities participated in, and offices held:
Honorary Member of Chamorro Golf Club Wa. State, 35 members Past President YR2004 & current member of Engineers, Architects & Surveyors Golf Club, (EASGC), 45 members Member of the Guam National Guard Golf Club, 20 members

PUBLICATIONS AND PRESENTATIONS

List any published articles, papers delivered at professional meetings, etc.:
Directions Magazine April/ May 1998 -Interviewed for "An Electrifying Performance" on Typhoon Paka Restoration Bond Holders Review, Wall Street, May 1998 called GPA the "Deal of the Week". Refinanced Bonds of $249m to lower interest rate obtaining up-front savings of $12m. As GPA GM, signed 20-year Energy Conversion Agreements (ECA) September 1996, with Independent Power Producers for BOT of 3 power plants, a total of 170mws to end load shedding. 25th Guam Legislature presented a Resolution for 34 years of public service at GPA in December 1999.

MILITARY SERVICE (Please attach Form DD-214)

BRANCH	*DATES OF SERVICE*	*RANK AT DISCHARGE*	*TYPE OF DISCHARGE*

OTHER INFORMATION

(1) Have you ever been found guilty of a felony in any court, whether within or without the United States?

Yes ☐ **No** ☑ **If so, please specify in detail:** _____

Address of the Court: _____

Date(s) of Conviction: _____

Specific Infraction Committed: _____

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(2) Have you ever been declared mentally incompetent by any court, whether within or without the United States?

Yes ☐ No ☑ If so, please specify in detail the reasons and facts related to such declaration:

_____

_____

_____

_____

_____

(3) Have you ever been found not guilty or not punishable in any criminal proceedings by reason of insanity?

Yes ☐ No ☑

(4) Have you ever been confined to a mental institution for any reason?

Yes ☐ No ☑ If so, please specify in why the appointing authority believes you that you are not suffering from any mental illness or affliction:

_____

_____

_____

_____

_____

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LOURDES A. LEON GUERRERO
Governor

JOSHUA F. TENORIO
Lieutenant Governor

OFFICE OF THE GOVERNOR OF GUAM

Statement of Financial Interests

(Required by 4 G.C.A. §13104.1)

TO: The Honorable Lourdes A. Leon Guerrero
Governor of Guam
Ricardo J. Bordallo Governor's Complex
Adelup, Guam 96910

FROM: Ricardo S. Unpingco

☐ I have no financial interest in any business

☑ I do have interest(s) in the following business(es):

NAME AND ADDRESS OF BUSINESS INTEREST	TYPE AND AMOUNT OF INTEREST
RSU Consultants, LLC	Managing Director, 99% interest
[redacted]	
Disclosure: TEMES (my Client) entered into a	Performance Management Contract (PMC)
on Cabras 1&2 Power Plant in 2002. The Contract	will expire on Nov 2020. Should the Contract be
extended 2-3 yrs until the new power plant is	built, I will recuse myself if it goes to PUC for
approval.	
R.S. Unpingco, Apartment Rentals	Sole Proprietor, 100% interest
[redacted]	

Signature

9/20/2019
Date

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AFFIDAVIT / DECLARATION UNDER PENALTY OF PERJURY

I, the undersigned, do hereby depose and say that: (1) I have read and reviewed the information contained in the attached Appointment/Nomination letter from the Governor of Guam; (2) that the matters contained in the Appointment/Nomination letter, together with all attachments thereto, are true and correct and that I am competent to testify to said matters; and (3) that this Declaration is made for the purpose of complying with the requirements of 4 G.C.A. Section 2103.5.

I declare under penalty of perjury under the laws of Guam (4 G.C.A. Section 4308) that the foregoing is true and correct.

Ricardo S. Unpingco

Print Name and Signature

9/20/2019

Date

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SUPERIOR COURT OF GUAM

Guam Judicial Center • 120 West O'Brien Drive • Hagatña, Guam 96910

Telephone (671) 475-3370
Fax (671) 472-2856

DANIELLE T. ROSETE
Clerk of Courts

Name: RICARDO S. UNPINGCO

SS#: ID# GDL#: [redacted] Date of Birth: [redacted]

CERTIFICATE OF SEARCH

The undersigned Clerk hereby certifies the following results of a diligent search of the records of this Court:

Criminal Cases:

A. [X] No Case Found

B. 1. Criminal Case No.
2. Criminal Case No.
3. Criminal Case No.
4. Criminal Case No.
5. Criminal Case No.

Criminal Record: Page 1 of 1

Civil Cases:

A. [ ] No Case Found

B. 1. Civil Case No.
2. Civil Case No.
3. Civil Case No.
4. Civil Case No.
5. Civil Case No.

Civil Record: Page of

Request for further information may be addressed at the Records Division of the Superior Court of Guam, Guam Judicial Center, 120 West O'Brien Drive, Hagatña, Guam. Hours of operation are Monday – Friday, 8:00 a.m. to 5:00 p.m. Closed Saturday, Sunday and local/federal holidays. Court Clearances are Non-Refundable.

Dated: 09/17/2019

DANIELLE T. ROSETE
Clerk of Courts

BY: JOHN J. DIEGO
Deputy Clerk

Prepared By: JJD

The absence of an original Court Seal invalidates this document

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Government of Guam
GUAM POLICE DEPARTMENT
RECORDS & IDENTIFICATION SECTION
P.O. Box 23909
Guam Main Facility, Guam 96921

September 17, 2019

SUBJECT: CRIMINAL HISTORY RECORD

NAME:	**Ricardo Sablan UNPINGCO**		
DATE OF BIRTH:	[redacted]	**FINGERPRINT #:**	[redacted]
[redacted]	**The individual has no record of criminal conviction(s) in the Guam Police Department files subject to Guam law.**		

******************NOTHING FOLLOWS*******************

THIS INFORMATION MAY BE LIMITED TO A LOCAL CRIMINAL OFFENSE ONLY AND IS NOT INTENDED FOR USE FOR ANY LOCAL, STATE, OR FEDERAL LAW ENFORCEMENT AGENCY.

By Direction: vbc

The absence of an original GUAM POLICE seal invalidates this police clearance.
REVISED. 04/26/19

STEPHEN C. IGNACIO
CHIEF OF POLICE

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RICARDO S. UNPINGCO

[redacted]

OBJECTIVE

To meet the needs of an organization and its stakeholders using more than three decades of public and private-sector experience in diverse areas of power generation and expansion, executive-level infrastructure restoration and management, technical capabilities and an academic background in business and engineering.

PROFESSIONAL WORK EXPERIENCE

Managing Director, RSU Consultants LLC (Jan 2000 – Present)

- Developed public–private partnerships; BOTs for Power Plants, PMCs for GPA & GWA
- Conducted CM work for DOD Radome Antennas; cellular phone antenna sites
- Performed O&M maintenance work and CIPs for power plants and wastewater systems

Senior Technical Advisor for Guam,
Taiwan Electrical & Mechanical Engineering Services (June 2002 – Present)

- TEMES is the Performance Management Contractor for Cabras 1&2 Power Plant, manages 50 GPA Employees responsible for Operations &Maintenance (O&M), Capital Improvement Projects (CIPs).
- Led the project development and marketing team for power generation on Guam and Micronesia
- Provided consultancy services for project proposals and contract negotiations with GPA-IFB, Performance Management Contract for Cabras 1&2 Power Plant
- Assessed CIP delivery performance

Deputy General Manager & later became GM for Veolia Water Guam, LLC (Jan 2007 - Aug 2013)

- Performance Management Contractor (PMC), for GWA Wastewater system. Managed 50 GWA wastewater employees, O&M, CIPS for 6 treatment plants,73 Lift stations, 240 miles of gravity sewer lines
- Led the Performance Management Contract for Guam Waterworks' Wastewater System to comply with the US Federal Court Order and Clean Water Act Compliance
- Realized OPEX Savings through improved O&M spending
- Delivered on procurement savings by establishing agreements with local and off- island vendors and using VW's global procurement agreements.
- Enacted the CIP delivery performance assessment (NDWWTP CEPT)
- Established an employee training, development and succession plan

Technical Advisor for Palau, Saipan, Tinian, TEMES (Mar 2003 - Dec 2006)

- Provided consultant services for potential Build to Own projects for base load generators, assessed power generation grid, name plate data on existing generation units
- Developed integrated resource planning and calculated load growth
- Made recommendations for proper sizing and footprint of generators and switchyards.

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Consultant, GuamCell Communications & HafaTEL Cellular Phone Company (Jan 2000 - Jan 2006)

- Led the project coordination for the design, installation, testing and energizing of antenna tower sites
- Successfully completed 35 antenna project sites around Guam

Subcontractor with Western Pacific Electric (Jul 2003)

- Led a five-day repair project at the Marianas Energy Company Power Plant to service the 56-MVA power transformer at Enron Piti Unit#8; Change out 10-each radiator fins (5 tons), filtered insulating oil; PM butterfly valves; dielectric tests on transformer windings; Refill conservator tank

General Manager, Guam Power Authority (Feb 1996 - Dec 1999)

- Successfully ended island-wide load shedding by building power plants in less than two years, adding 172 megawatts of additional generation to the power infrastructure:
  I.) Enron/ MEC- 80mws- at $155 million
  II.) TEMES- 40mws at $26 million
  III.) HEI Power Corp/Tango (ROM) - 50 mw at $26 million
- Led the negotiations and implementation of the agency's 20-year Energy Conversion Agreement (ECA) with Independent Power Producers
- Managed the $36M island-wide power restoration efforts after Super-typhoon Paka in a record six weeks compared to the estimated three months, recovering millions of dollars in what would have been lost revenue
- Coordinated recovery efforts with regional and national partners to secure more manpower heavy equipment and materials
- Upgraded GPA's bond rating from BBB Negative Outlook to BBB stable based on Moody's, and Standard and Poors, evaluated GPA's performance in management, customer services, operations and financial departments
- In cooperation with the Guam Economic Development Authority, led the refinancing of GPA's $249 million bond to lower interest rate obtaining up-front savings of $12 million.

Assistant General Manager, Operations (Guam Power Authority): Feb 1995 - Jan 1996

Manager, Transmission & Distribution (Guam Power Authority): Feb 1991 – Jan 1995

Assistant Manager, Transmission & Distribution (Guam Power Authority): Feb 1987 – Jan 1991

Superintendent, SCADA Systems (Guam Power Authority): Feb 1985 – Jan 1987

Systems Programmer and SCADA Supervisor (Guam Power Authority): Jan 1981 – Jan 1985

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FORMAL EDUCATION

1983–1985	Master of Business Administration - University of Guam
1974-1979	Bachelor of Business Administration - University of Guam
1973	Loyola University - Los Angeles, California
1971-1973	Northrop Institute of Technology - Inglewood, California
1971	Father Duenas Memorial High School, Mangilao, Guam

PROFESSIONAL ASSOCIATIONS AND CERTIFICATIONS

1978-1980	Power Systems Load Dispatcher Certification
1980-1981	SCADA Software Programmer Certification, TRW Controls, Houston, Texas

PROFESSIONAL ACTIVITIES

- Plant Tours on LNG, Combined Cycle and Renewable Energy at Hsinta Power Plant and the Taichung renewable energy consisting of four units of Coal-Fired Steam Turbine at 2,100 mw capacity and five units of LNG Combined Cycle totaling 2,226 mw. The configuration of the combined cycle is three Gas Turbine + one Steam Turbine) x five Units = (90.83 mw x 3 +172.2 mw) x five Units = totaling 2,226 Mw.

- LNG Receiving Terminal at Yung-An Taiwan- toured the Infrastructure of the Harbor, LNG Ship approaching to Berth, Mooring arrangements, Gangway rigged on board, LNG Unloading arms connection and finally the LNG Discharging Operation to in-ground storage tanks. The LNG liquid is converted to gas state and transported via 36" pipelines to the power plants.

- Toured renewable energy facility Taichung Wind Farm with an installed capacity of 36 mw one of the many installations of Taiwan's total wind capacity of 610 mw. Taichung Solar PV System- Installed capacity is around 6.5 mw on 13 hectare property.

- Veolia Pudong Water and Wastewater Dispatch Control Center, Shanghai, China -Aug. 2010. The DCC is a SCADA Controlled center which remotely monitors and controls all of Shanghai Cities' water and waste system.

- Equipped with the state of the art laboratory to test the quality of water and ensure water qualities are meeting industry standards.

- Customer Service Call Center and Trouble Dispatch operations equipped with GIS locations of distribution water lines.

- Waste-to-Energy Incineration Plant in Taiwan July 2007 with the CCU which incinerates trash and energy produced from incineration is converted to electricity. Also toured wastewater treatment plants in the region.

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